



Functional materials in secondary non aqueous Li/Na-batteries

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Li-ion batteries: intro

Portable electronics



Power tools



Can store up 3 times more energy compared to Ni-MeH

Transportation

Performance

(> energy)

Safety

(< hazard)



Smart grid

Scalability

(< costs)

(> calendar life)

(< environmental
costs)



Li-ion batteries: intercalation reactions

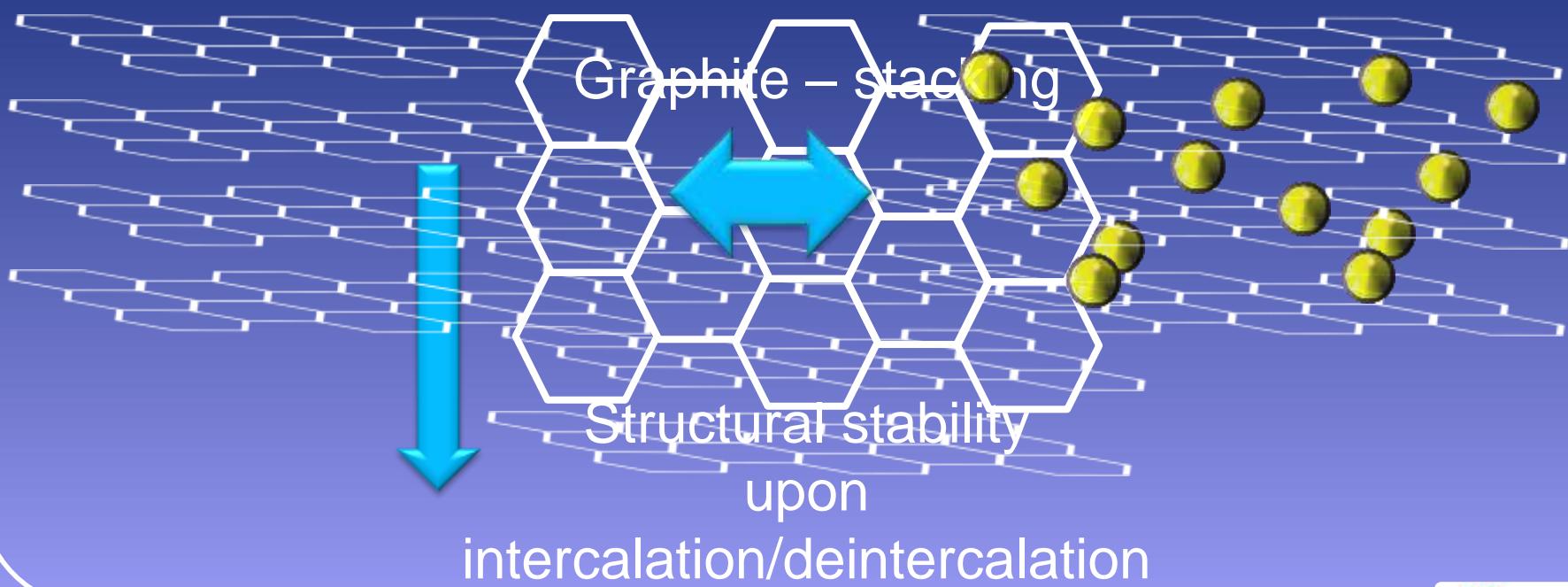


Graphite
(oxidized state)

Invariant host lattice

Graphite - layer (reduced state)

LiC_6





Graphite intercalation: theoretical capacity



$$Q(C, 1 \text{ mol}) = \frac{1}{6} \cdot F/\text{Coulomb}$$

$$Q_{th} = \frac{Q(C, 1 \text{ mol})}{AW(C)} \cdot \frac{1000}{3600} = \frac{(1/6) \cdot F}{12 \text{ mol/g} \cdot 3.6} = 372 \text{ mAg}^{-1}$$



$$\left\{ Q_{th} = x \cdot F / (3.6 \cdot MW_{Host}) \right\} / \text{mAg}^{-1}$$



Graphite intercalation: redox potential



C / liquid electrolyte (solvent & Li⁺ salt) / Li



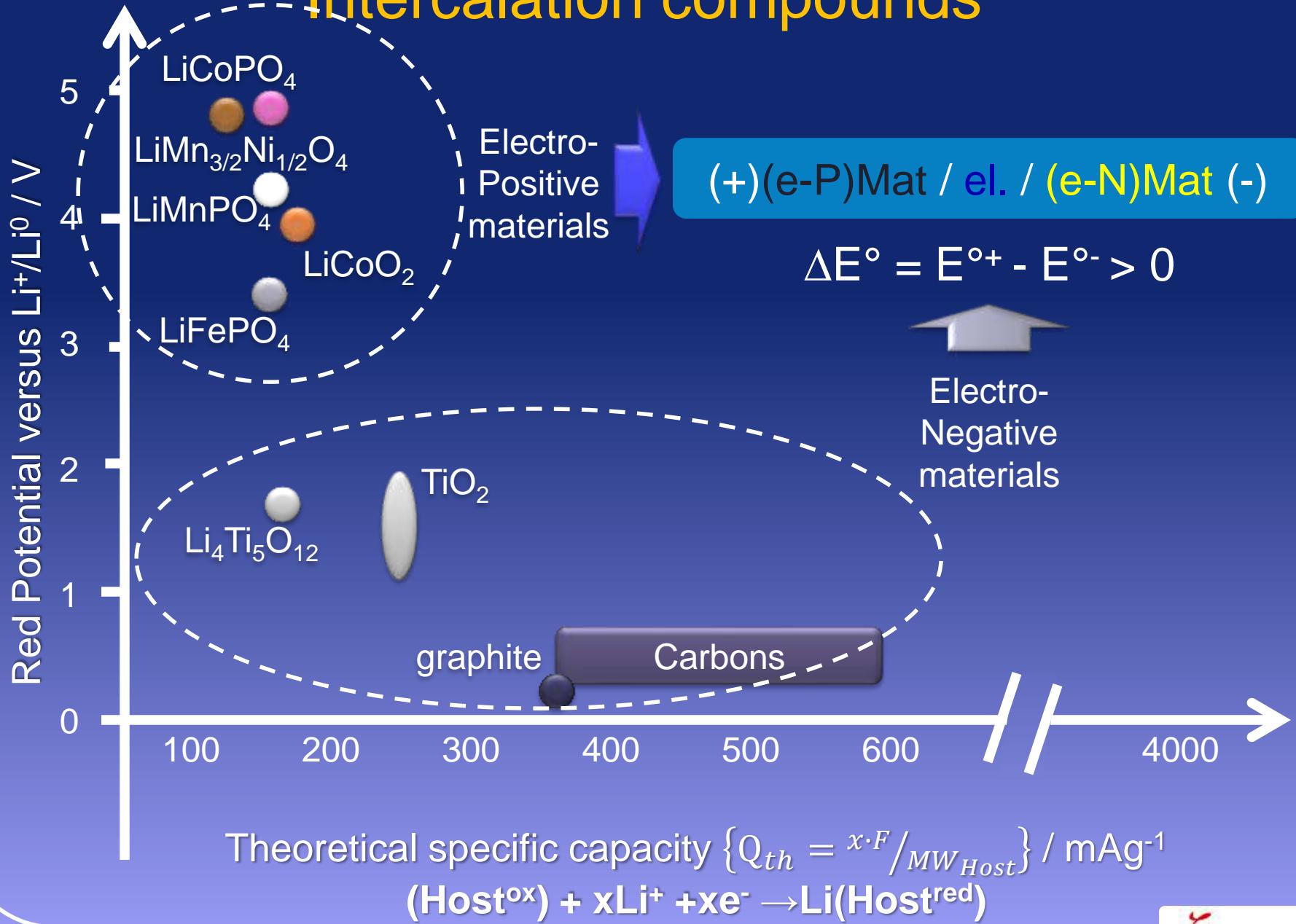
Fem?

$$\begin{aligned}\Delta_r G^o &= \Delta_f G^o (LiC_6) \\ \Delta_f G^o (LiC_6) &= -n \cdot F \cdot \Delta E^o\end{aligned}$$

$$E^o(C/LiC_6) = -\frac{\Delta_f G^o (LiC_6)}{n \cdot F} = -\frac{-15.1 \frac{kJ}{mol}}{1 \cdot 96485} = 0.156 V \text{ vs. } Li^+/Li$$



Intercalation compounds



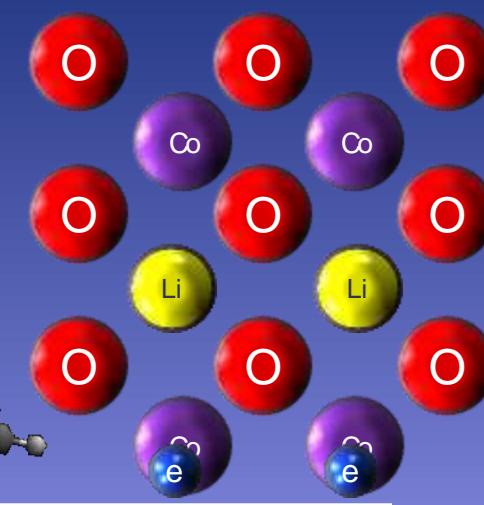
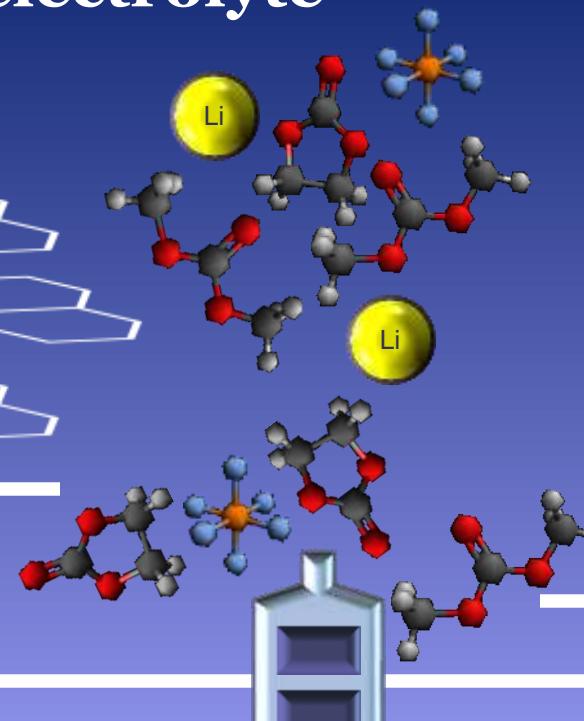


Li-ion batteries: fundaments



Non aqueous
electrolyte

Grafit - anode
(-)



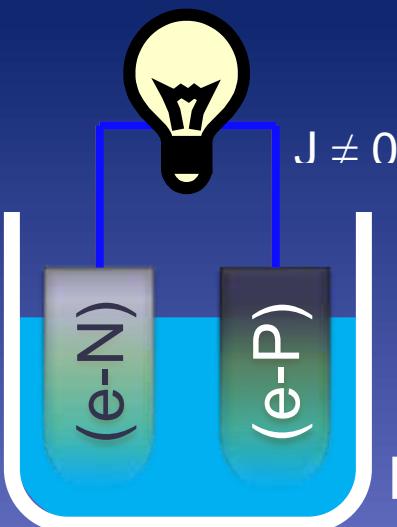
$LiCoO_2$ - cathode
(+)



Li-ion batteries: functional properties

(+)(e-P)Mat / electrolyte / (e-N)Mat (-)

$$\Delta E^\circ = E^{\circ+} - E^{\circ-} > 0 \rightarrow J = 0 \text{ mA/cm}^2 \rightarrow \text{OCV}$$

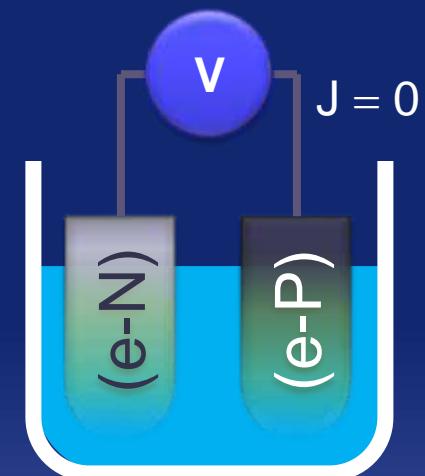


In discharge

$$J > 0 \text{ mA / cm}^2$$

$$\text{CCV} \rightarrow \Delta V = \Delta E^\circ - \eta$$

η overpotential

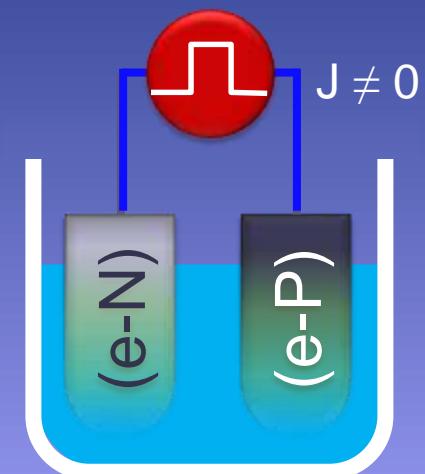


In charge

$$J < 0 \text{ mA / cm}^2$$

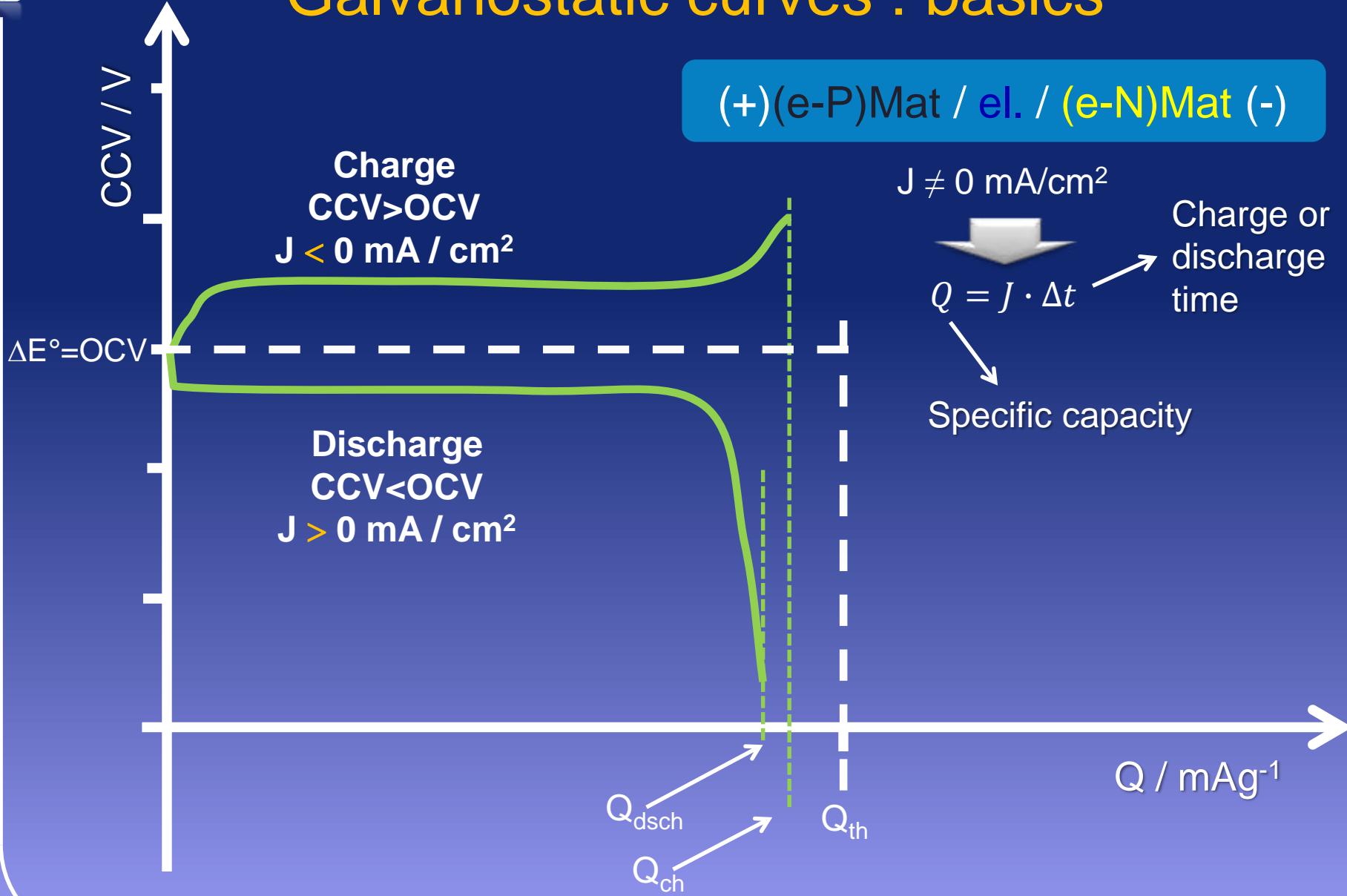
$$\text{CCV} \rightarrow \Delta V = \Delta E^\circ + \eta$$

η overpotential



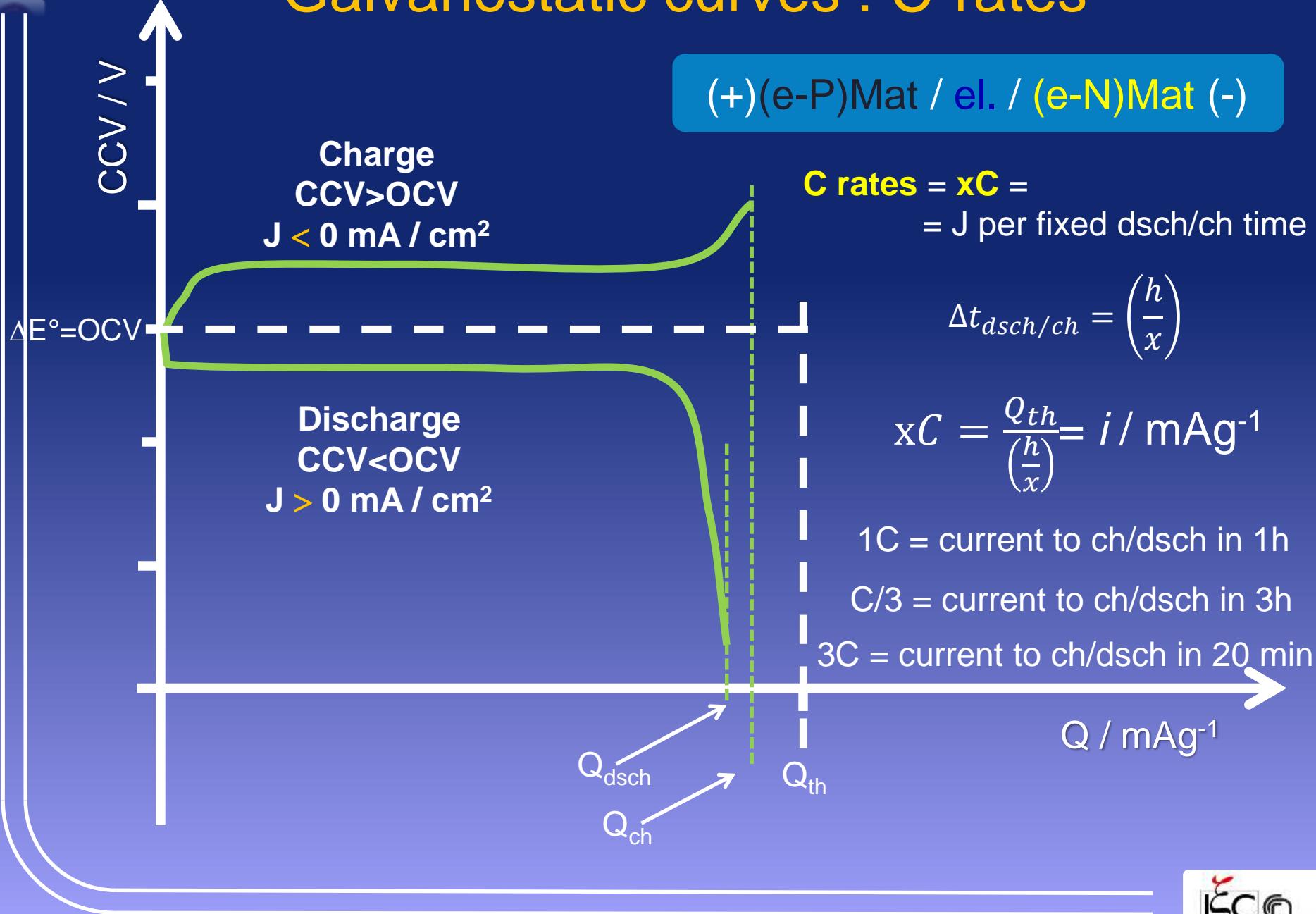


Galvanostatic curves : basics



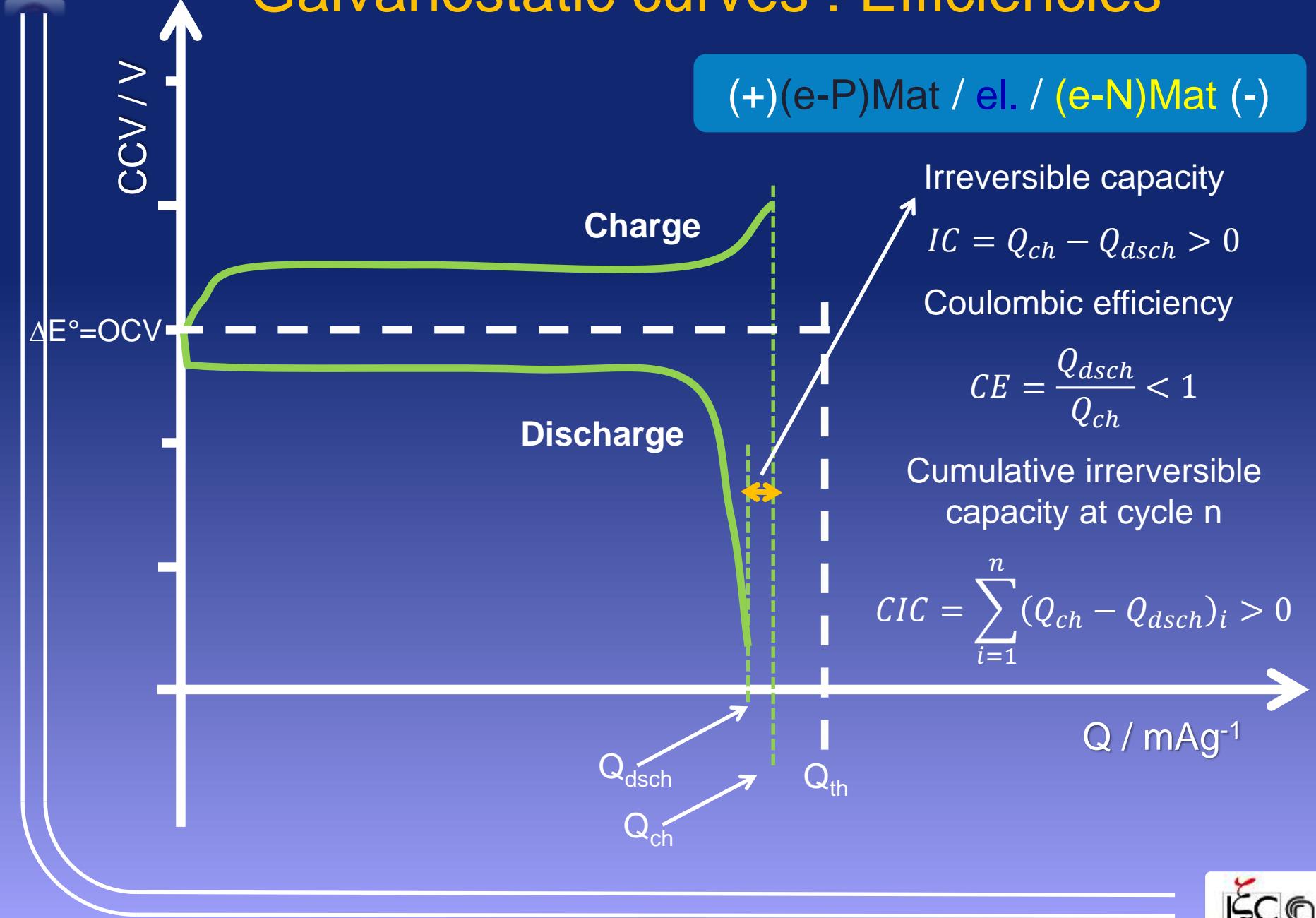


Galvanostatic curves : C-rates





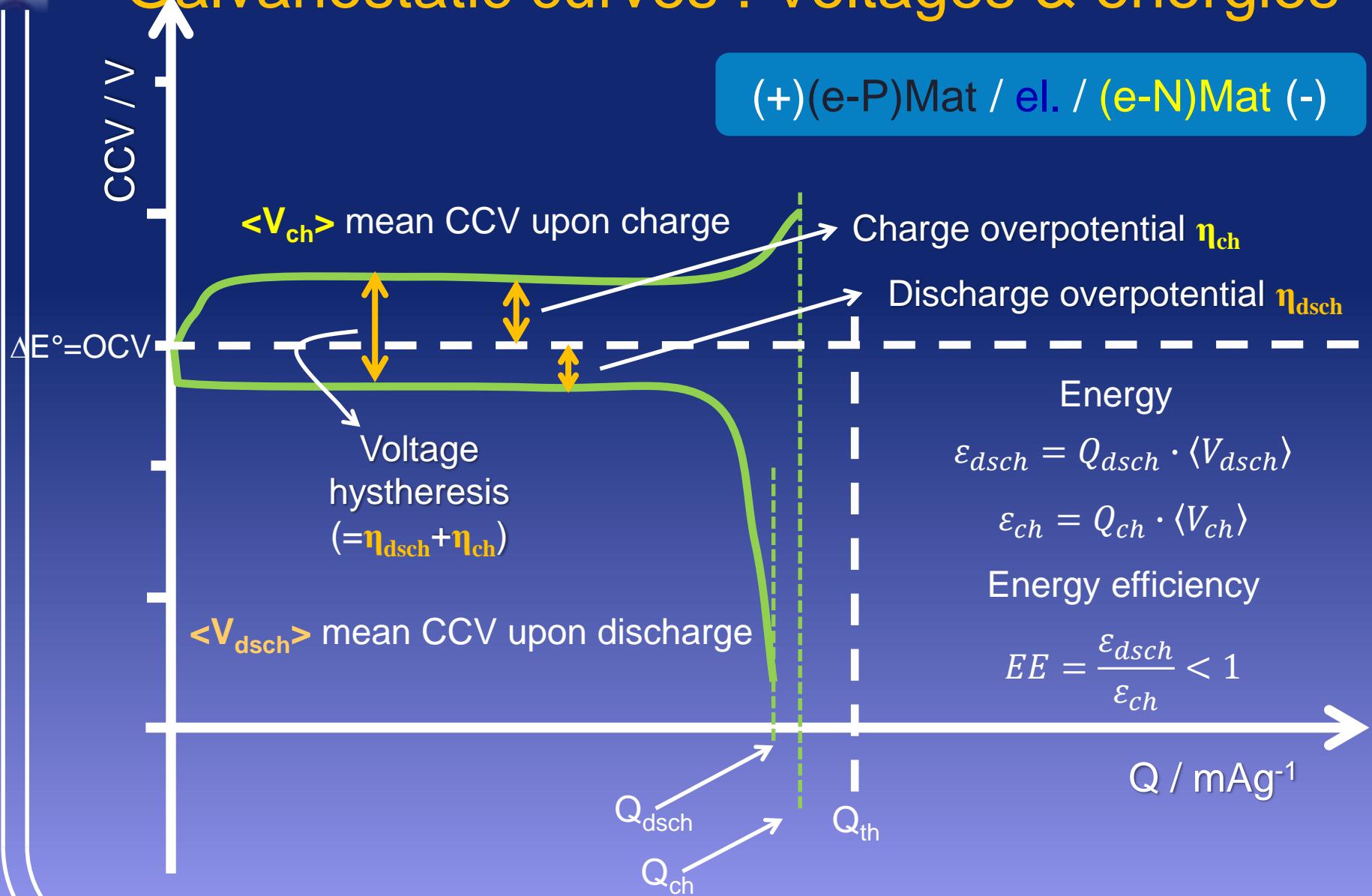
Galvanostatic curves : Efficiencies





Galvanostatic curves : Voltages & energies

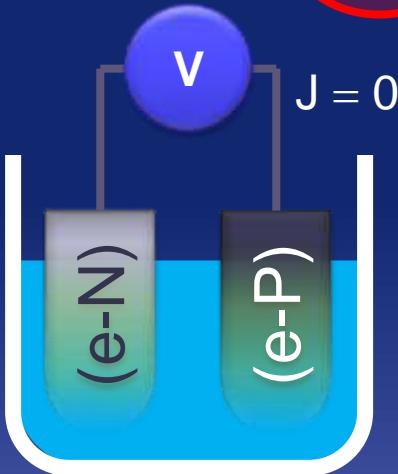
(+)(e-P)Mat / el. / (e-N)Mat (-)





Electrolytes for Li-ion batteries: intro

(+)(e-P)Mat / el. / (e-N)Mat (-)



Electrolyte:
medium for the
movement of ions

e⁻-insulating

easily **transport**
Li⁺-ions

electrochemically
inert at both
electrodes

Liquid solution
(Li⁺ salt and
solvent)

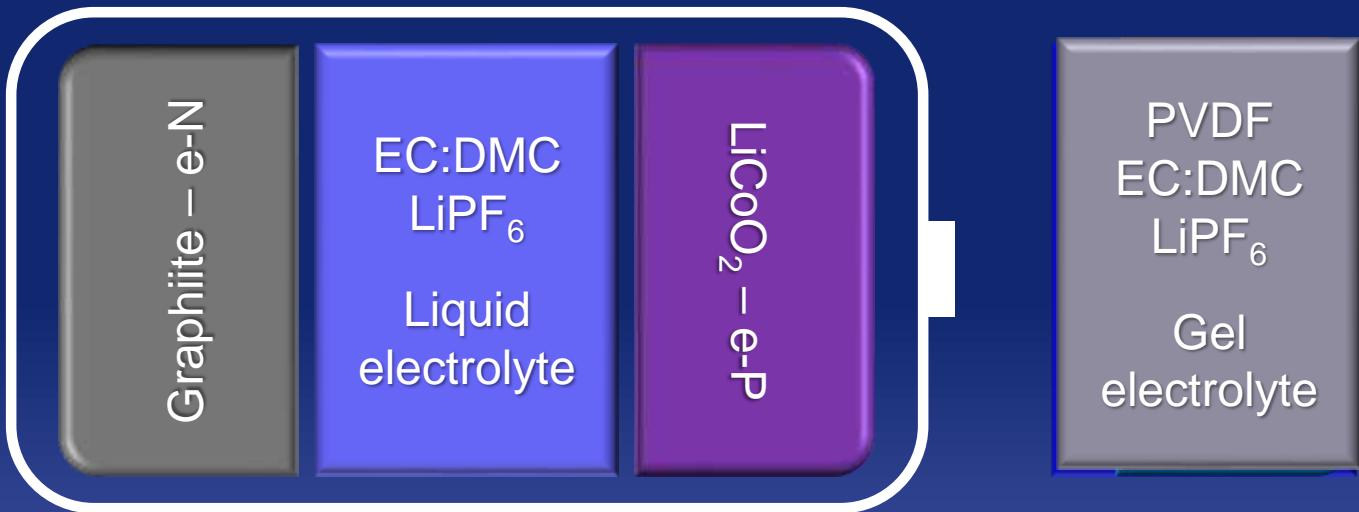
Gel electrolyte
(Li⁺ salt, solvent
and polymer)

Polymer
electrolyte (Li⁺
salt and
polymer)

Solid
electrolyte
(crystalline Li⁺
ionic conductor)



Li-ion battery: the puzzle challenge



3.5 V
30 mAh/g_{tot}
95 Wh/kg_{tot}

Transport

Performance
(> energy)

Safety

Smart grid

Scalability

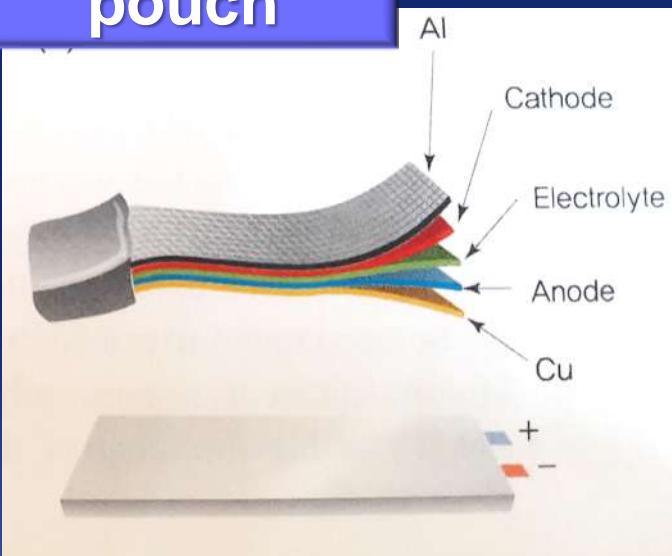
Duration

> capacity
No flammable
electrolyte



Li-ion battery: basic constituents

pouch



Positive electrode

1. *P-E active material*
2. Conductive agent
3. Polymeric binder
4. Current collector (aluminum)

Negative electrode

1. *N-E active material*
2. Conductive agent
3. Polymeric binder
4. Current collector (copper)

Others

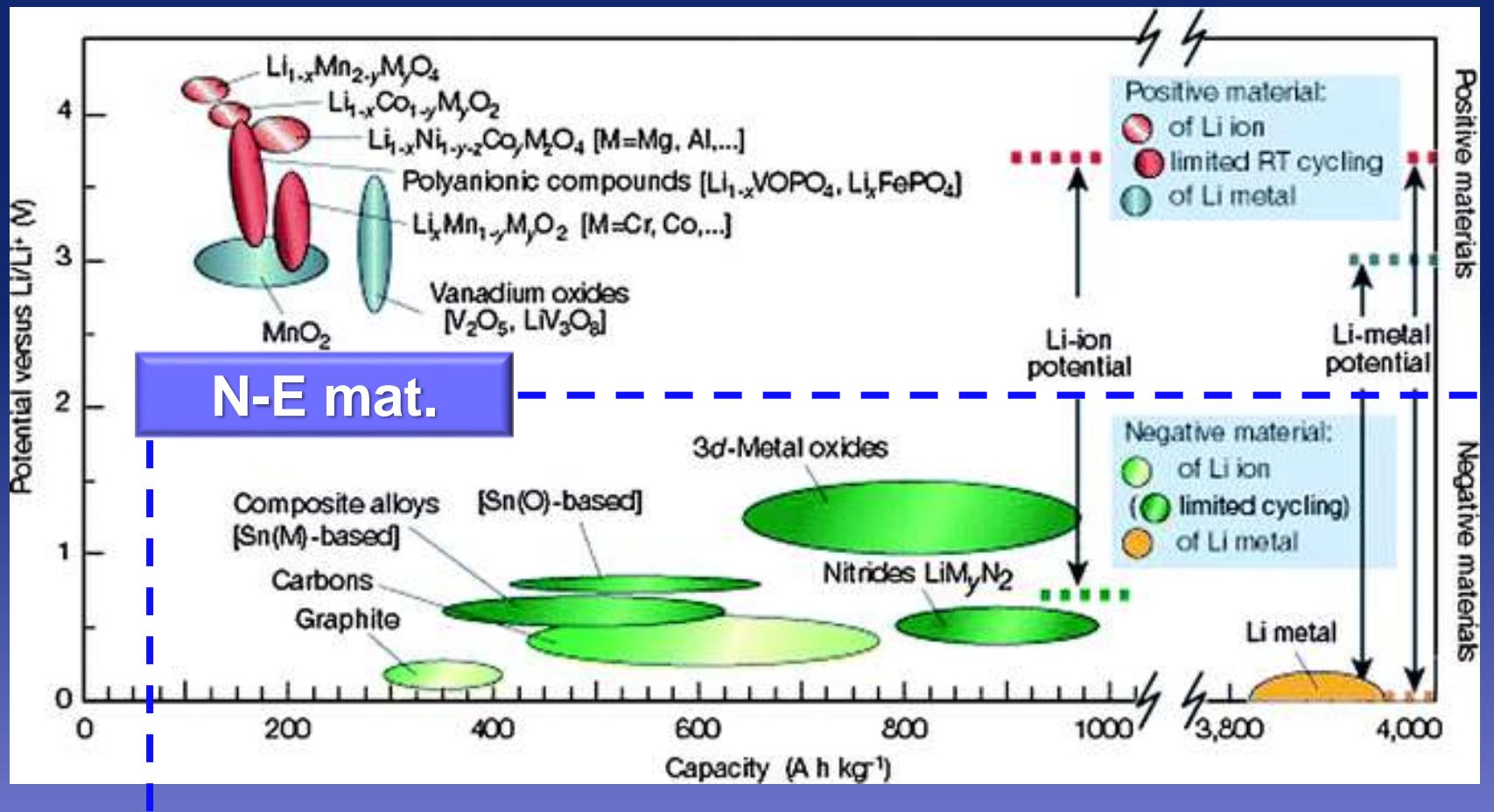
1. Al tab (+)
2. Ni tab (-)
3. Outer case
4. Safety components (safety vent, thermal shutdown circuit)

Electrolyte

1. Separator
2. *Lithium salt*
3. *Electrolyte solvent*
4. Additives



Li-ion battery: negative electrodes



Low potential: E° close but above 0 V vs. Li

Invariant lattice: stable structure upon lithiation

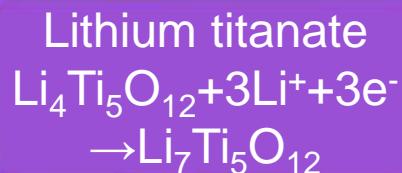
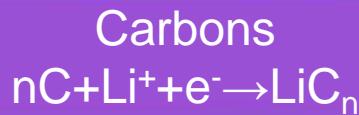
High reversibility: electrochemical reaction in ch/dsch



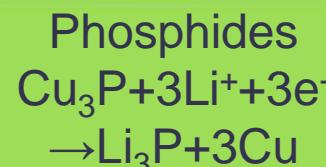
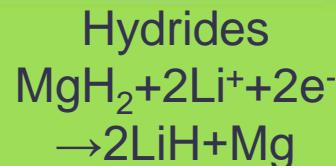
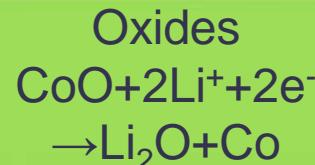
Li-ion battery: negative electrodes families



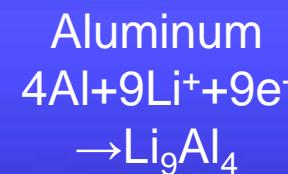
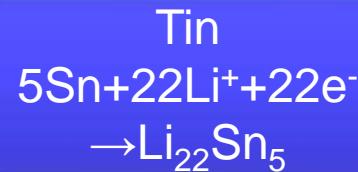
Intercalation chemistry



Conversion chemistry



Alloying chemistry



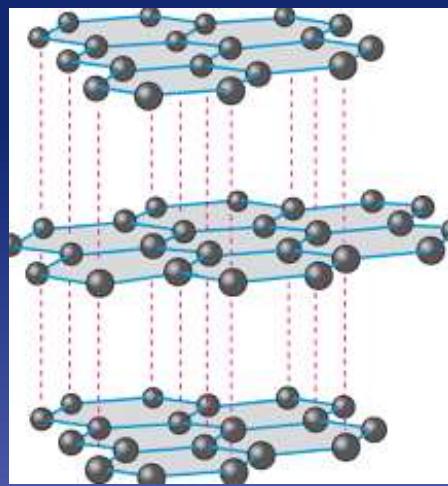
Lithium





Negative electrodes: graphite

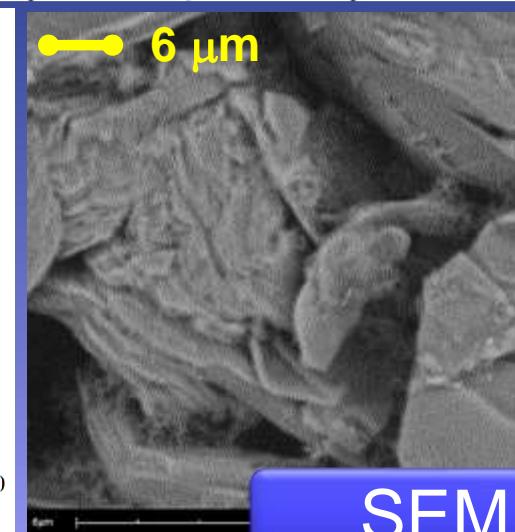
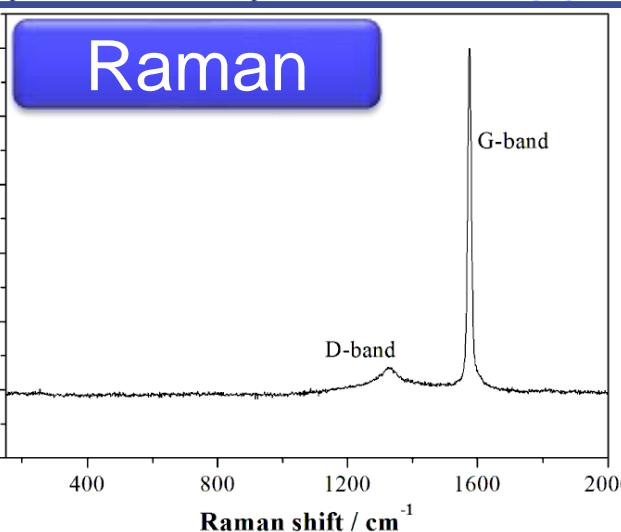
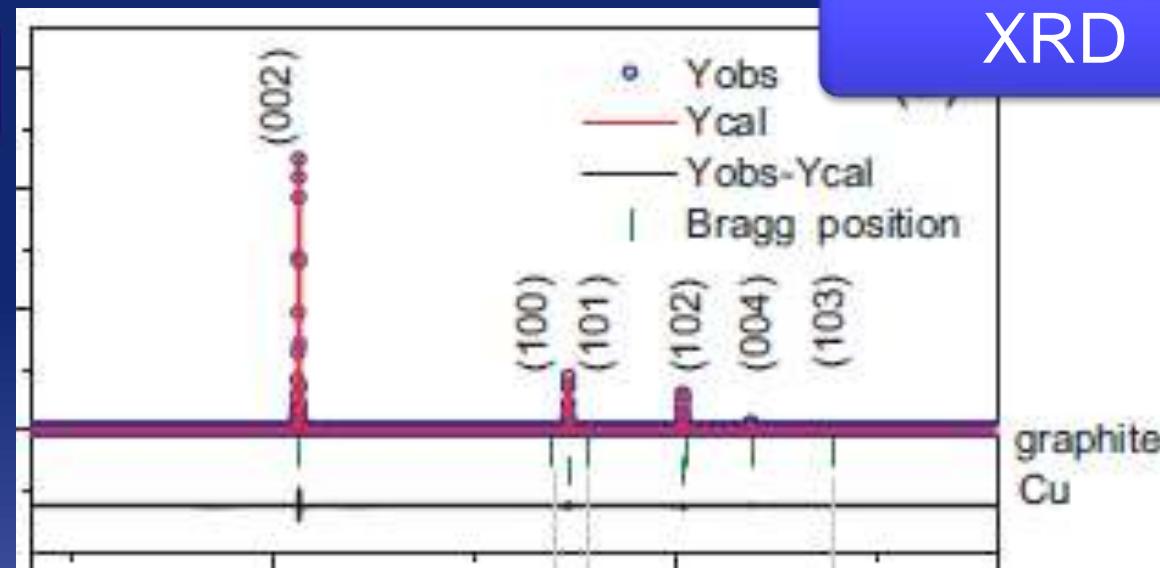
Graphite
hP6 SG 194



Collector: Cu

Theoretical capacity:
372 mAh/g

Nominal voltage:
0.1 V vs. Li



SEM



Negative electrodes: graphite in Li cells

Graphite
 $6C + Li^+ + e^- \rightarrow LiC_6$

Theoretical capacity: 372 mAh/g

Thermodynamic reduction potential:

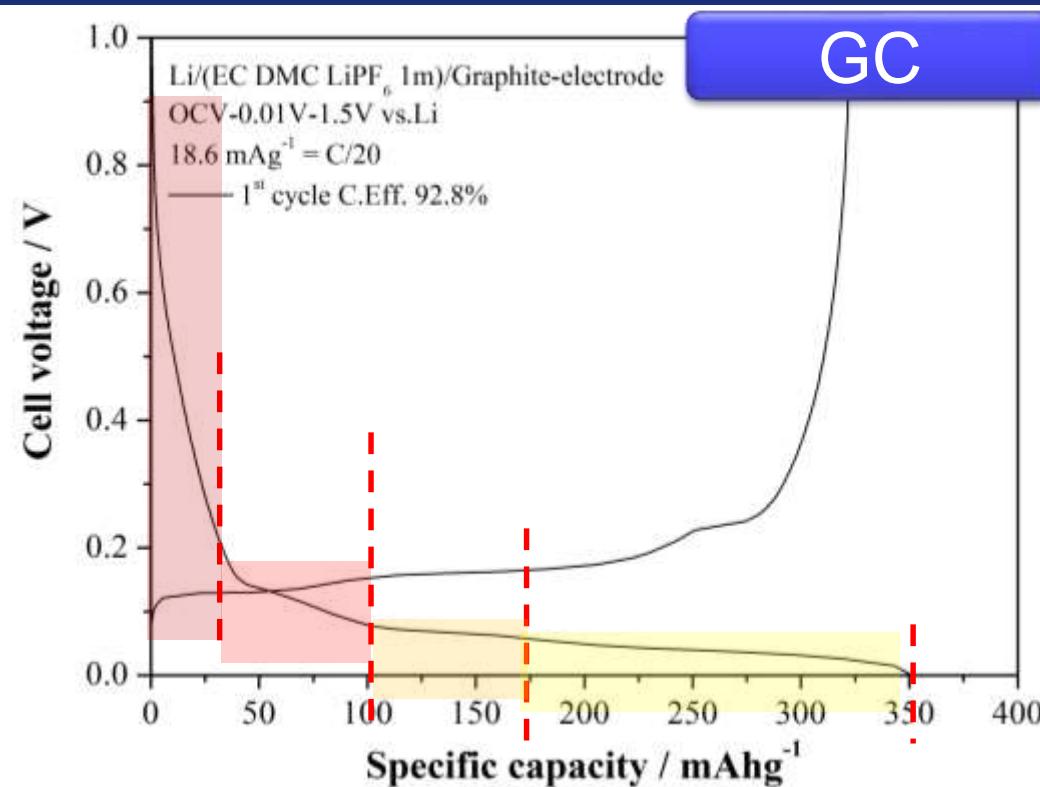
$$\Delta_r G^o = \Delta_f G^o (LiC_6)$$

$$\Delta_f G^o (LiC_6) = -n \cdot F \cdot \Delta E^o$$

$$E^o = 0.156 V \text{ vs Li}$$

(+)Graphite / electrolyte / Lithium (-)

Lithium secondary half cell



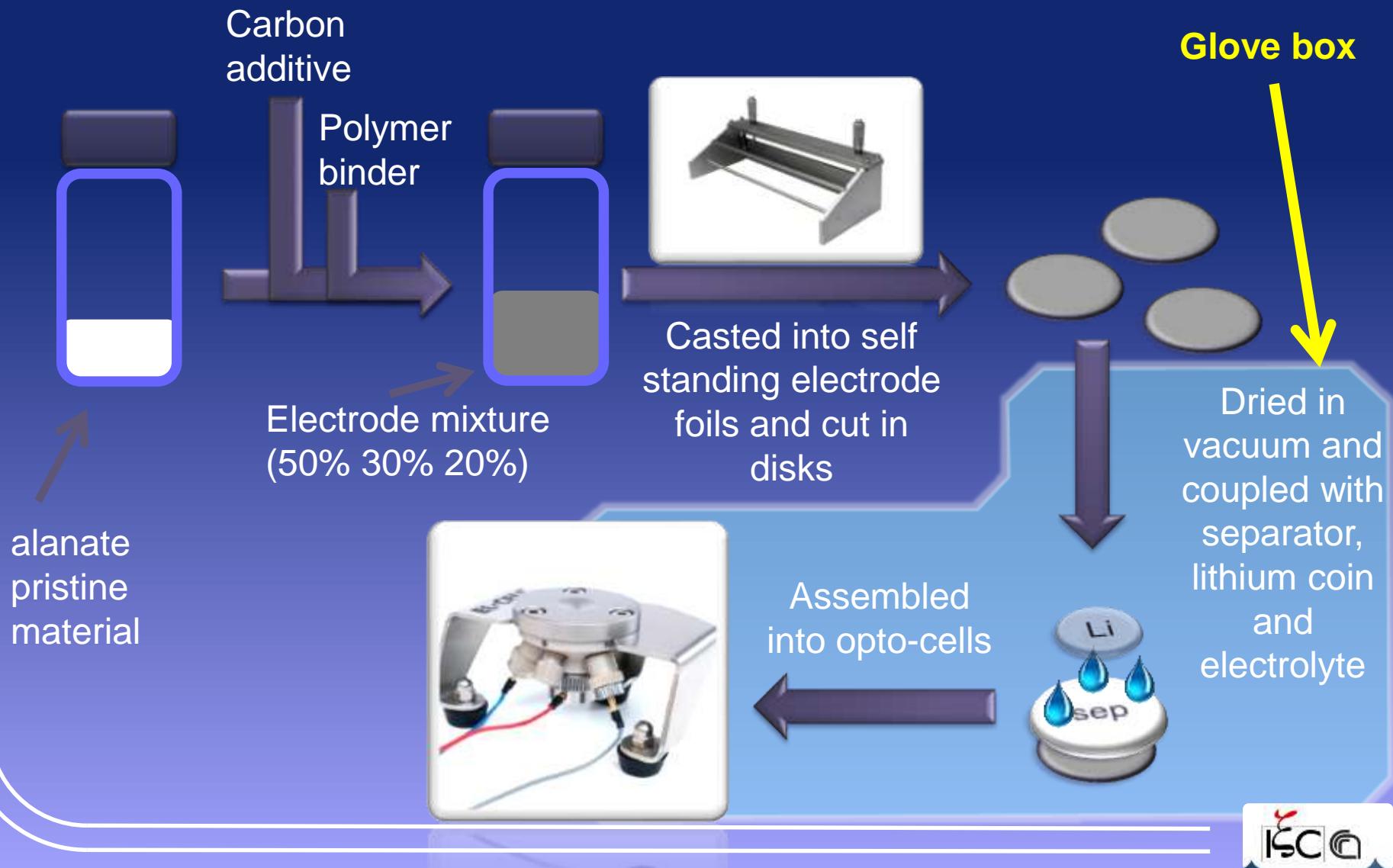
~~Single potential plateau~~

Multiple consecutive reactions upon lithiation

Investigation using coupled techniques
e.g. in operando XRD

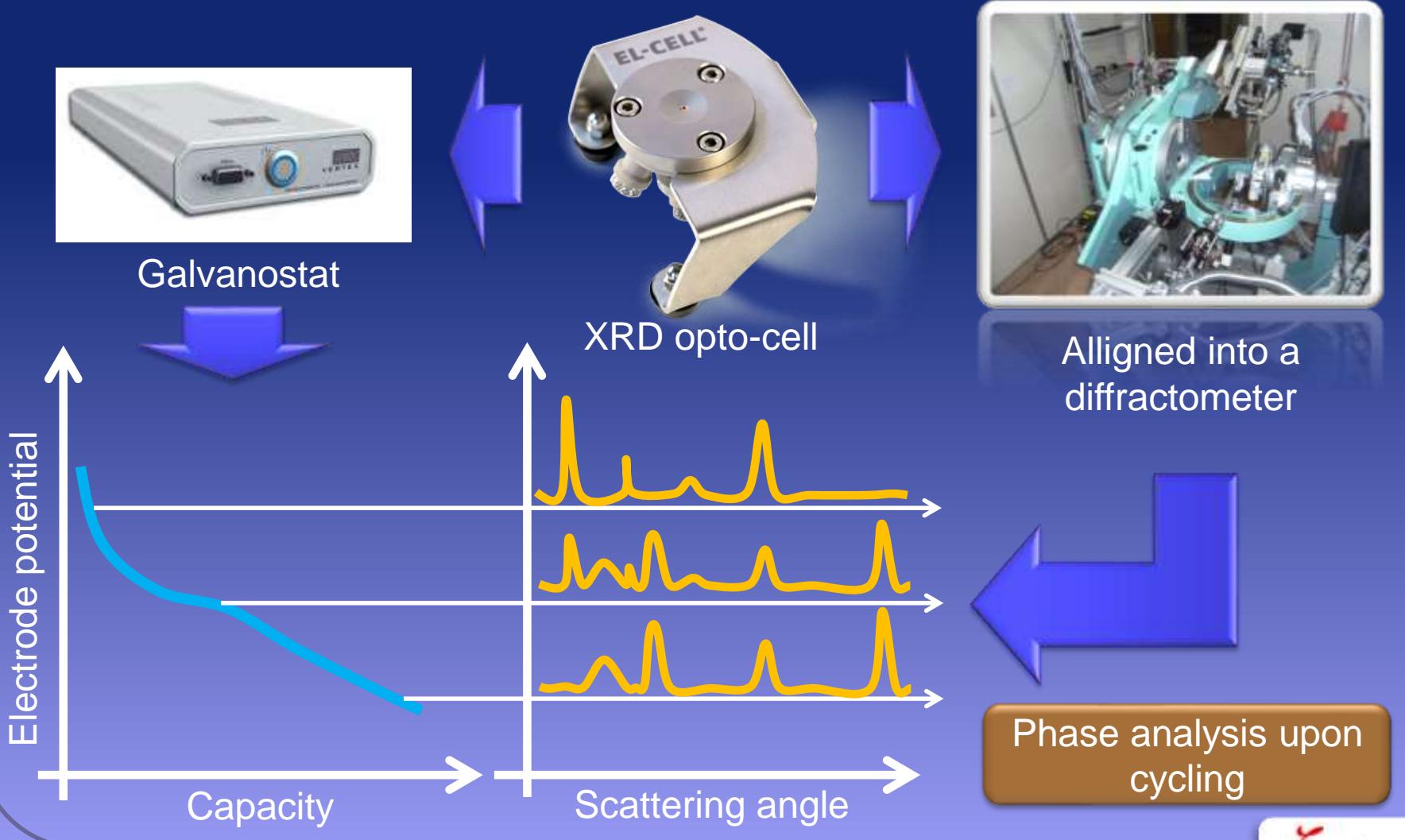
In operando experiments

The study of the electrochemical lithium incorporation into materials can be carried out by in operando techniques



In operando XRD experiments

The in operando analysis implies the simultaneous electrochemical cell discharge/charge and XRD tests

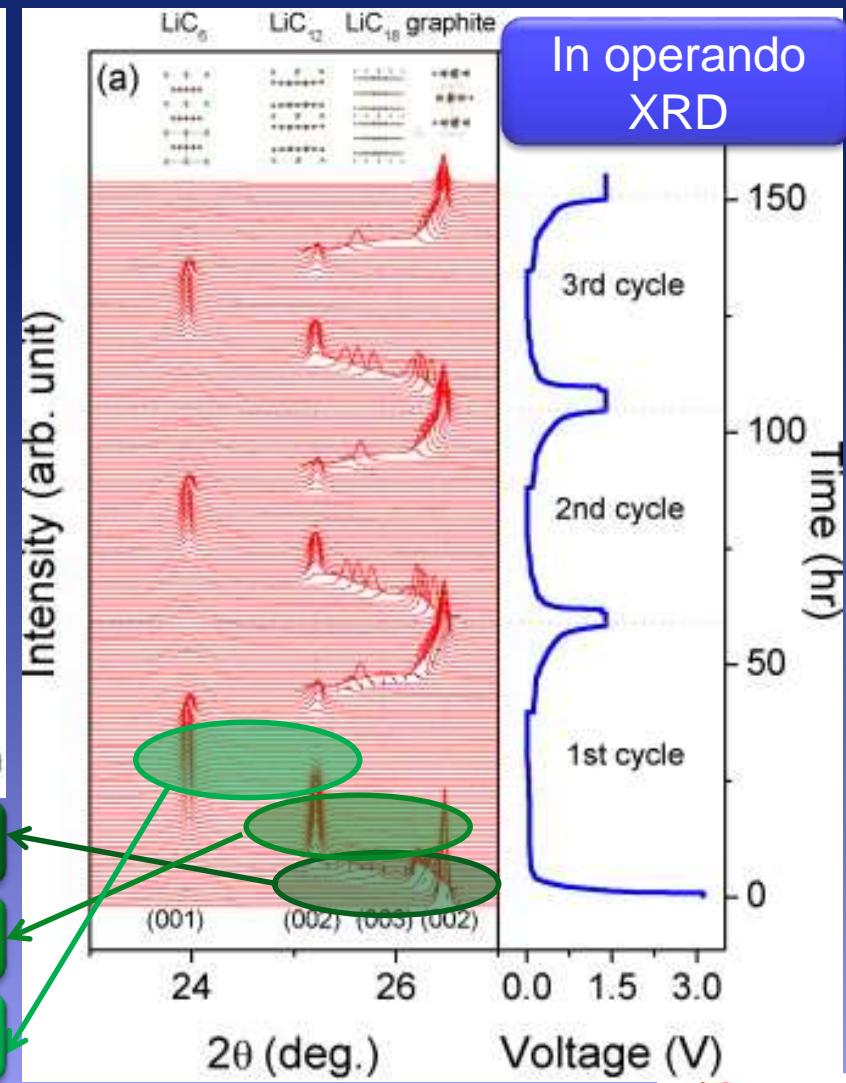
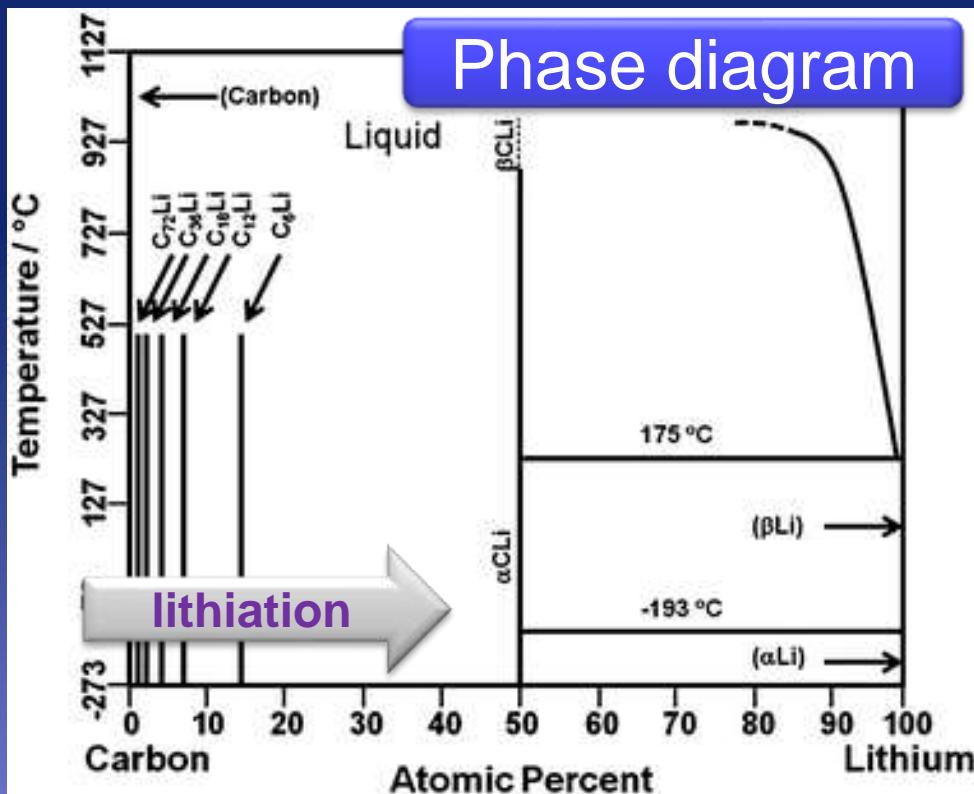




Negative electrodes: graphite in Li cells

Graphite

(+)Graphite / electrolyte / Lithium (-)

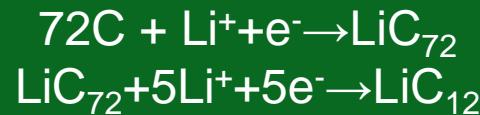
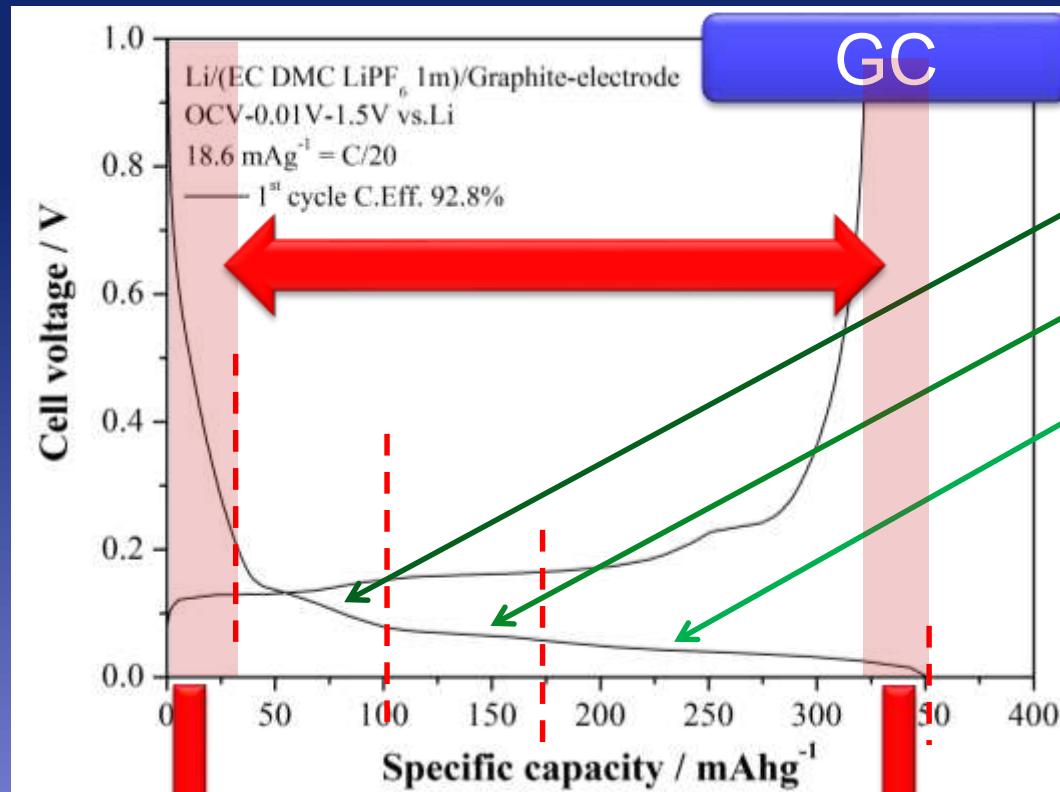




Negative electrodes: graphite in Li cells

(+)Graphite / electrolyte / Lithium (-)

Lithium secondary half cell



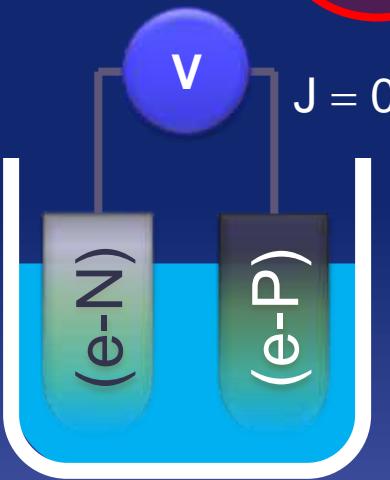
CCP>0.25
&
irreversible
capacity

Solid
electrolyte
interphase
formation

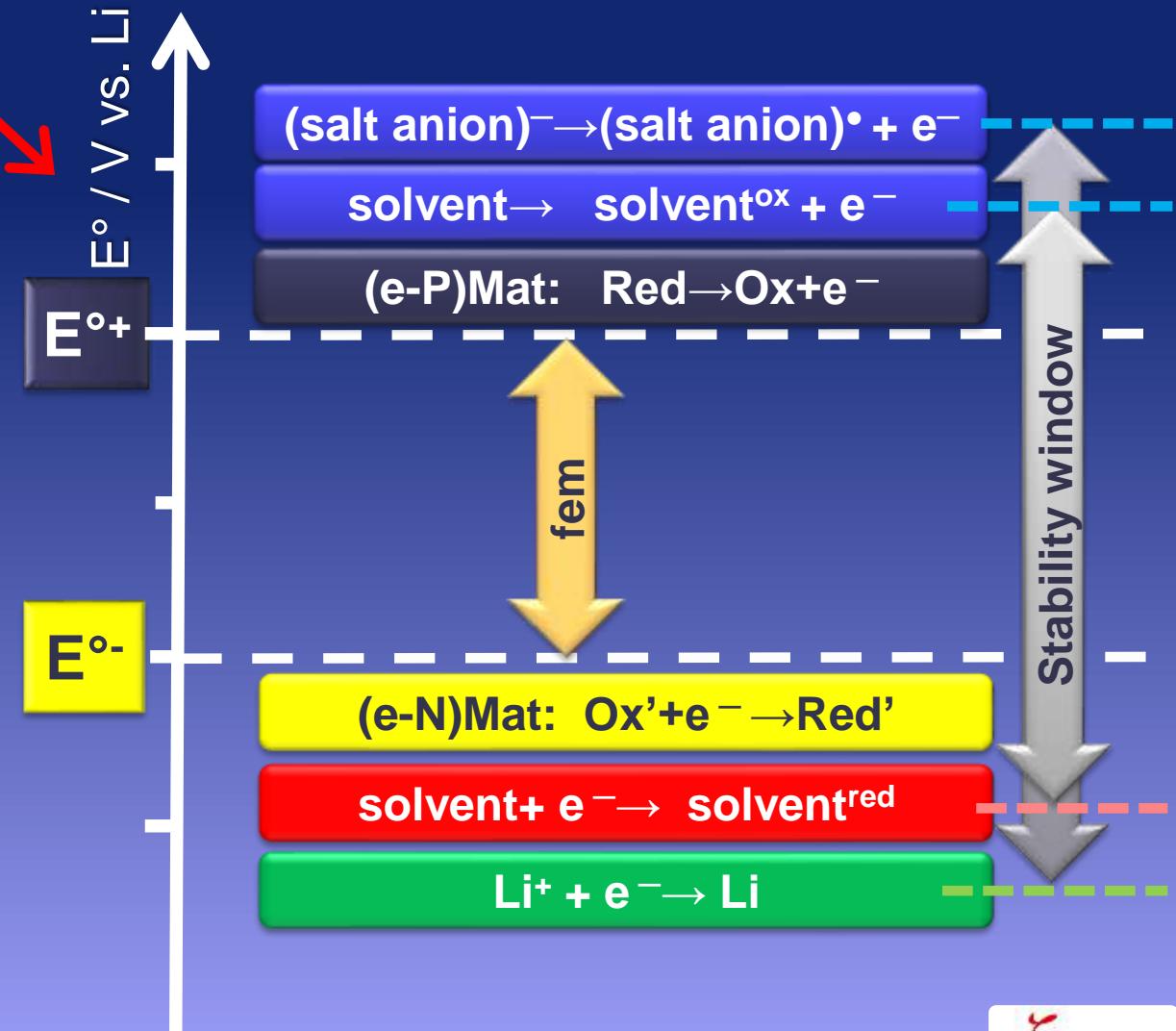


Electrolytes for Li-ion batteries: stability

(+)(e-P)Mat / el. / (e-N)Mat (-)



The **stability window** limits of the electrolyte should exceed the cathodic and the anodic thermodynamic potentials of the electrodes

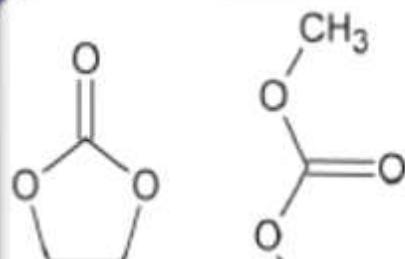




Irreversible degradation of electrolytes

Electrolyte:
medium for the
movement of ions

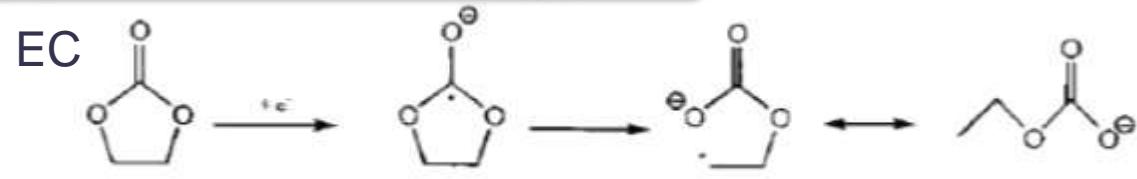
Solvents: organic
carbonates
(cyclic/linear)



Liquid solution
(Li⁺ salt and
solvent)

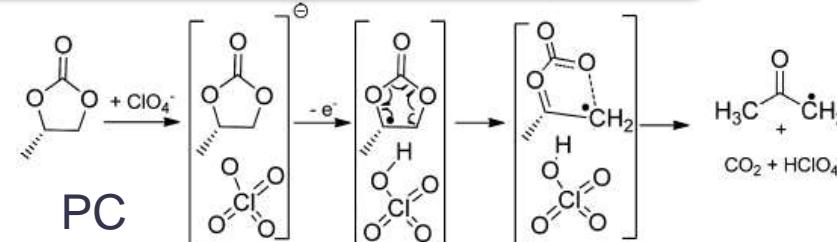
Salts: inorganic
salts/complex
organic salts

solvent + e⁻ → solvent^{red}



E° = 1.3 vs Li (exp, CV on Pt)

solvent → solvent^{ox} + e⁻



E° = 5.2 vs Li (DFT & CV on Pt)

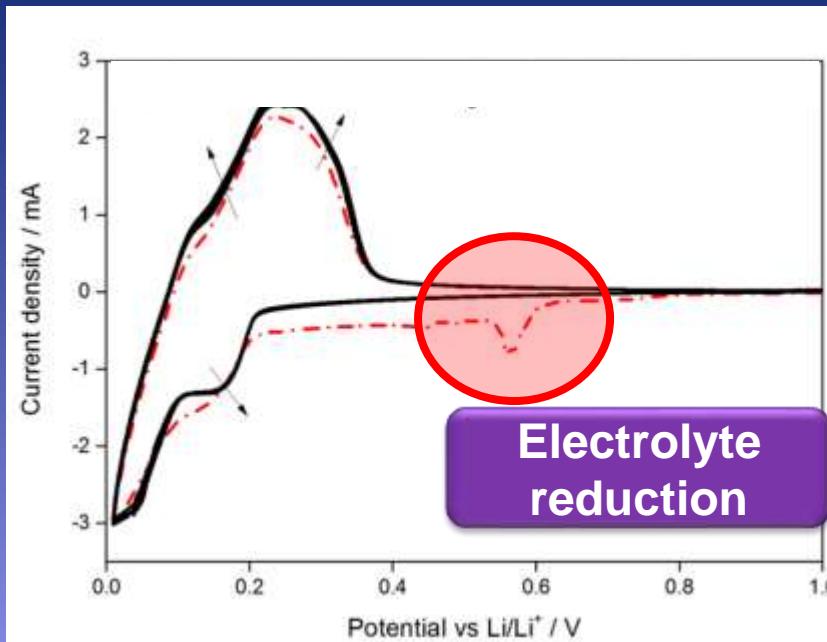


Electrochemical stability window of an electrolyte

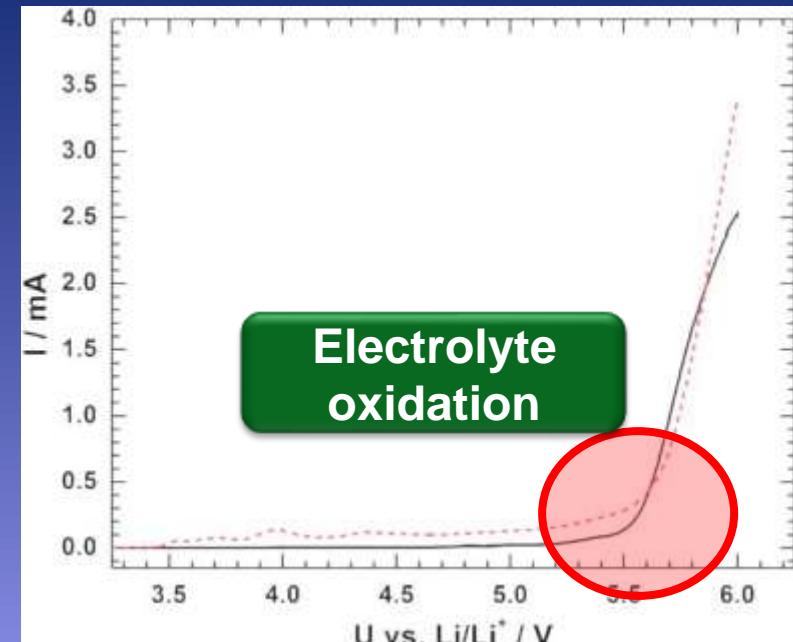
(+) Carbon/ electrolyte / Lithium (-)



Cathodic polarization (Cyclic voltammetry)



Anodic polarization (linear sweep voltammetry)



Only in the first cycle then the interface becomes inert

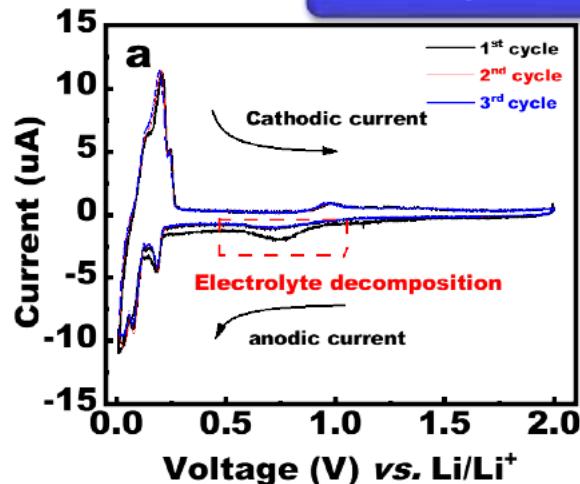


Electrolyte decomposition over graphite

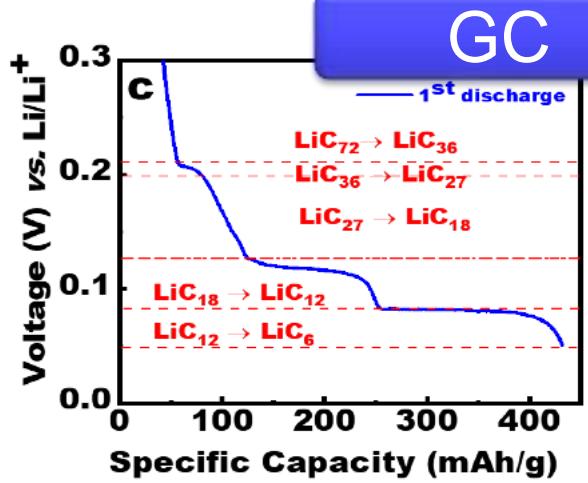
(+)Graphite / electrolyte / Lithium (-)

SEI formation analysis

CV



GC



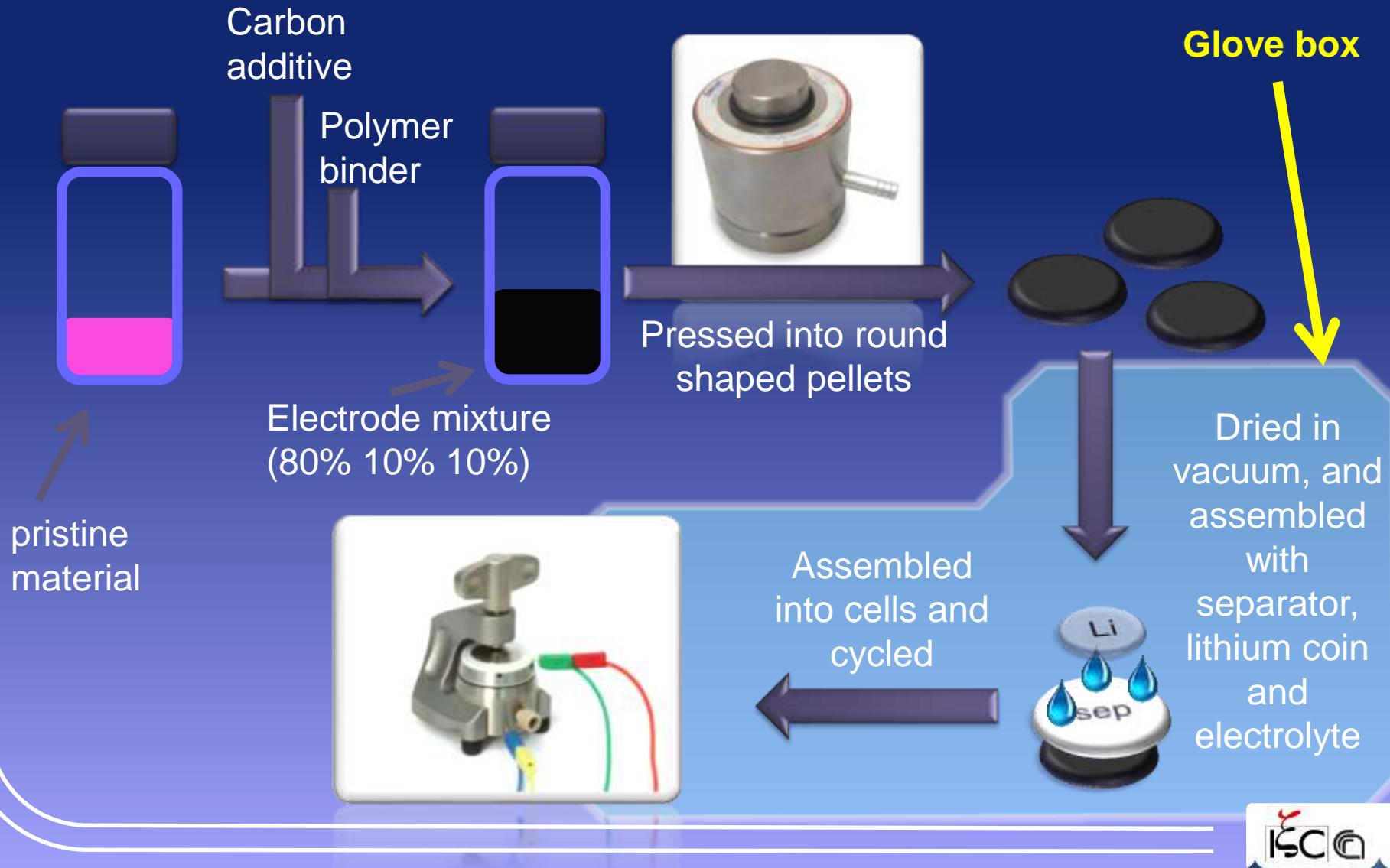
1. The electrolyte decomposes at 0.8-0.7 V vs. Li
2. The decomposition occurs only in the first cycle
3. This irreversible reaction leads to capacity loss
4. This irreversible reaction does not alter the lithium intercalation into the graphite lattice

Ex situ investigation of the graphite surface



Post-mortem sample preparation procedure

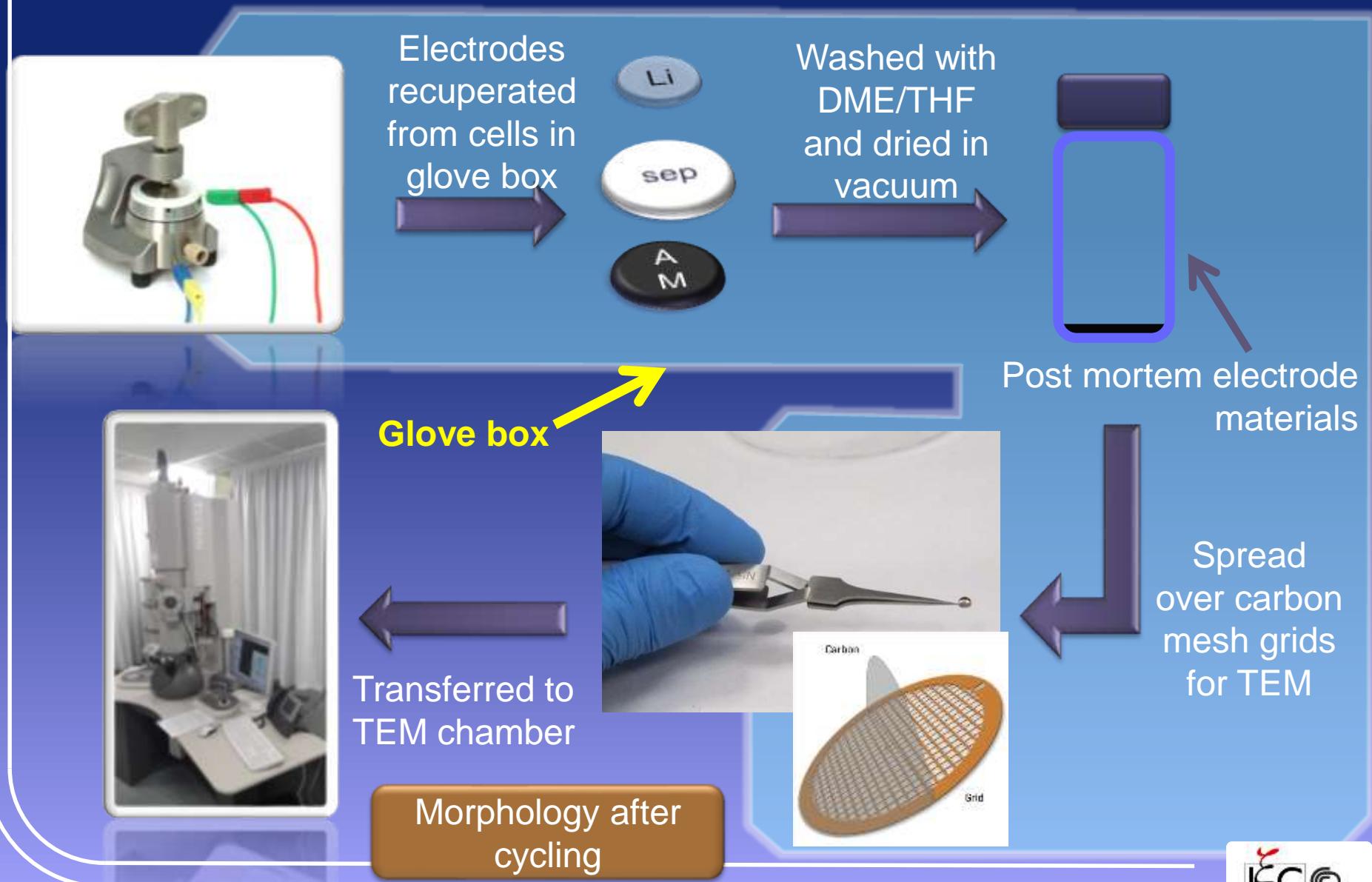
The preparation of the samples analysed ex situ requires a multistep procedure





Post-mortem TEM sample preparation procedure

The preparation of the samples analysed by TEM requires a multistep procedure



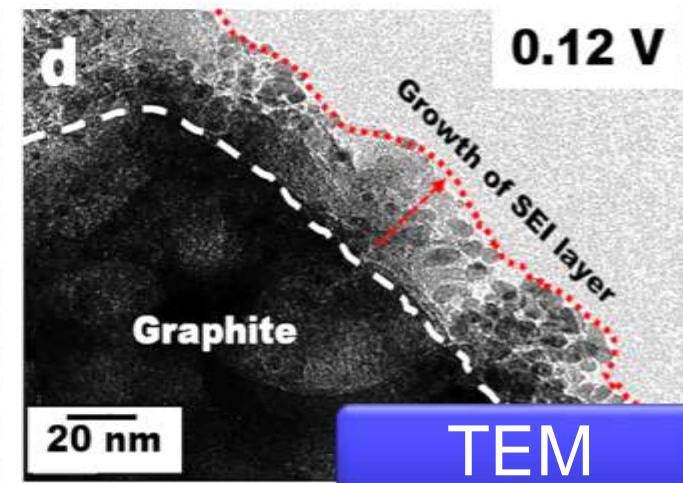
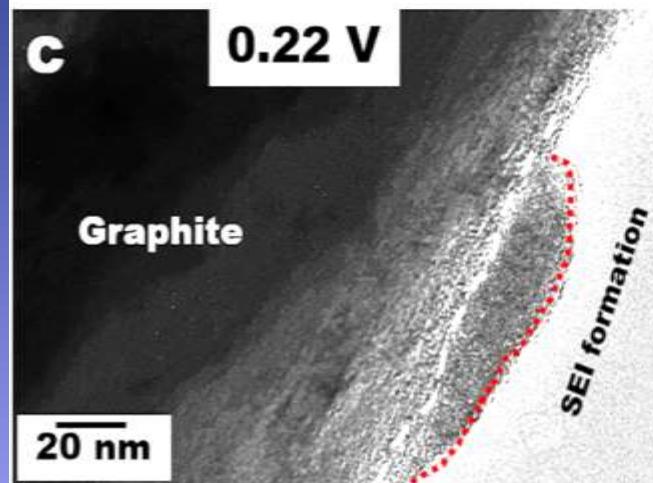
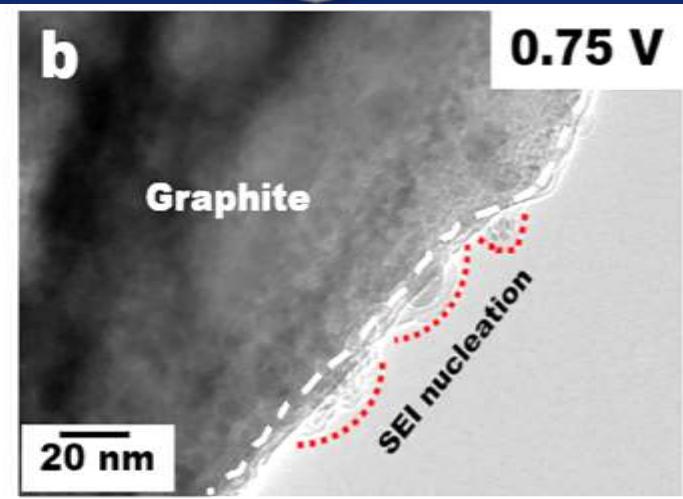
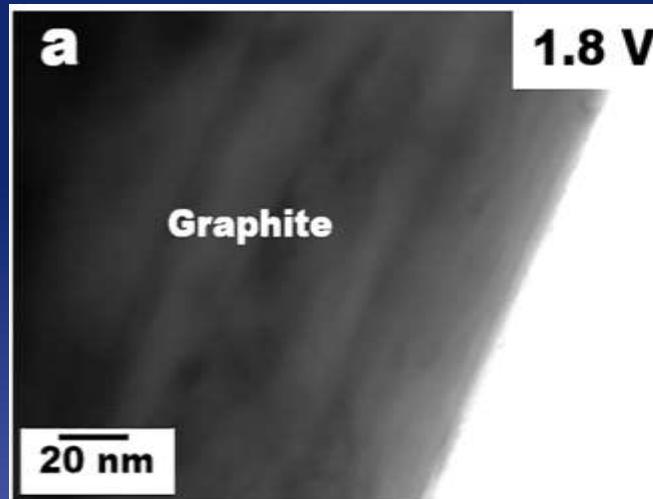
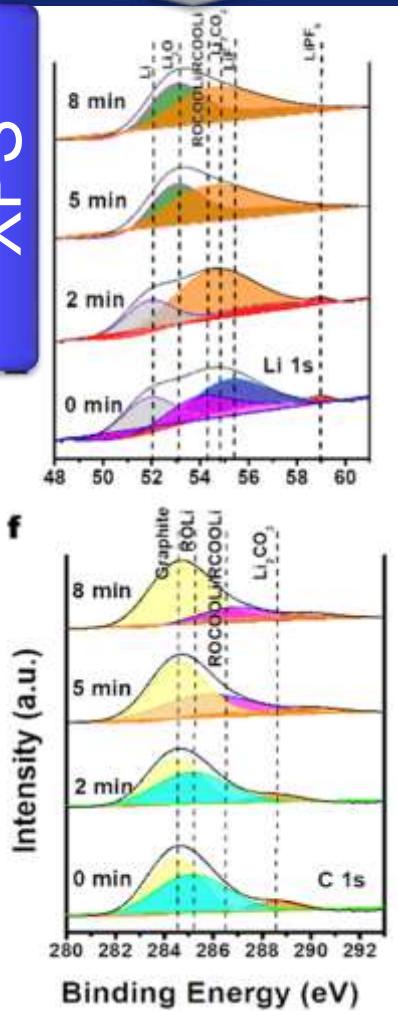


Electrolyte decomposition over graphite

(+)Graphite / electrolyte / Lithium (-)

Ex situ analysis

XPS

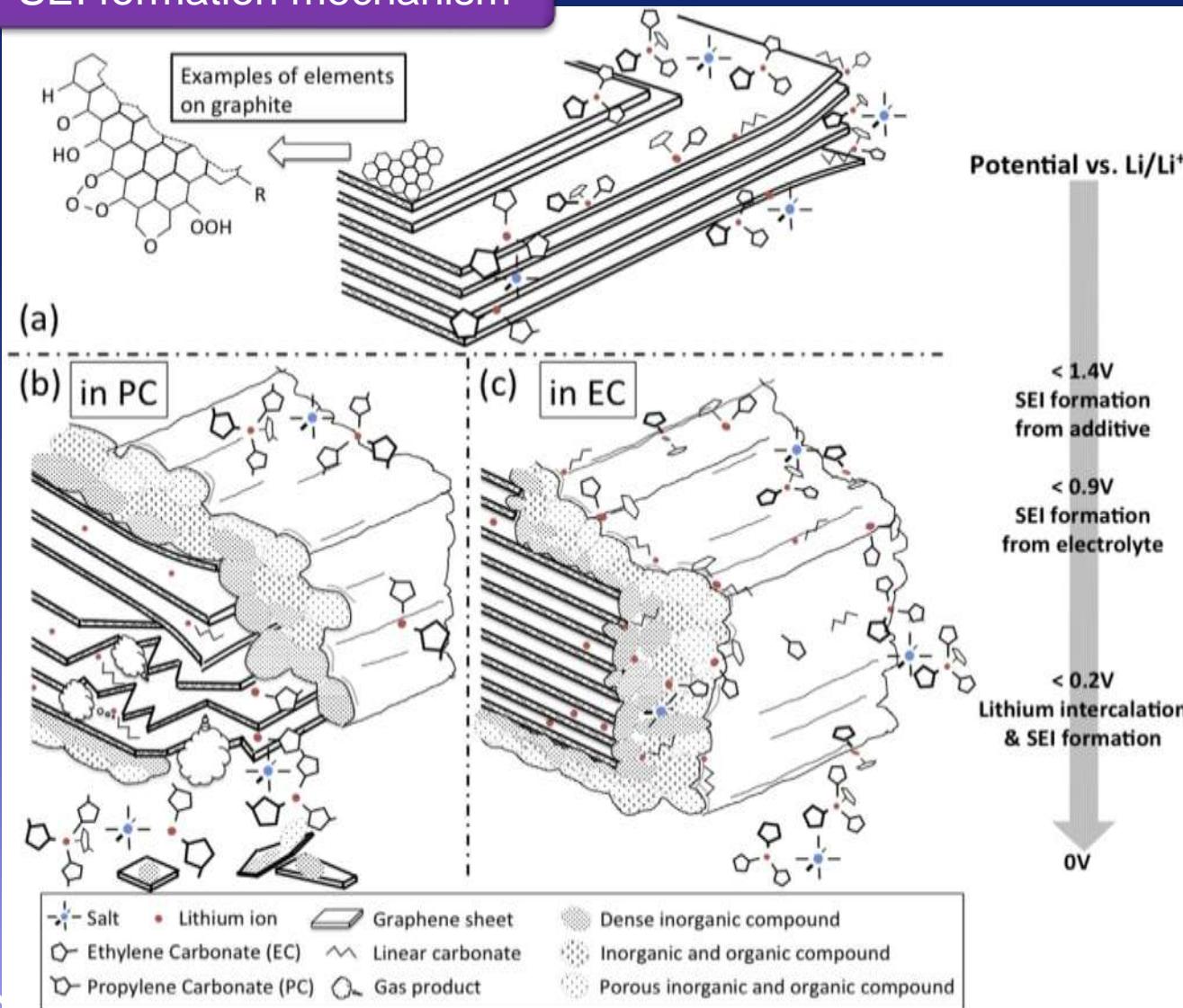


TEM



Solid electrolyte interphase over graphite

SEI formation mechanism

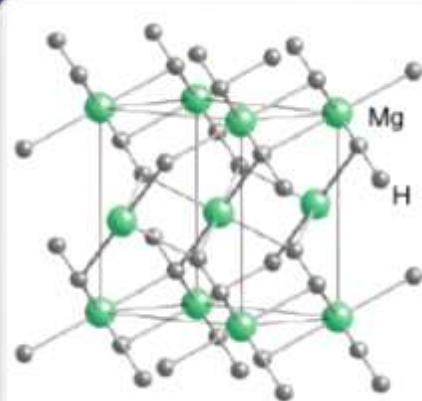


1. The SEI is an interphase layered over the surface of graphite;
2. SEI forms spontaneously upon discharge in Li-cells;
3. SEI has a complex inorganic/organic nature;
4. SEI allows lithium transport;
5. After formation the SEI inhibit charge-transfer to the electrolyte.



Negative electrodes: MgH₂

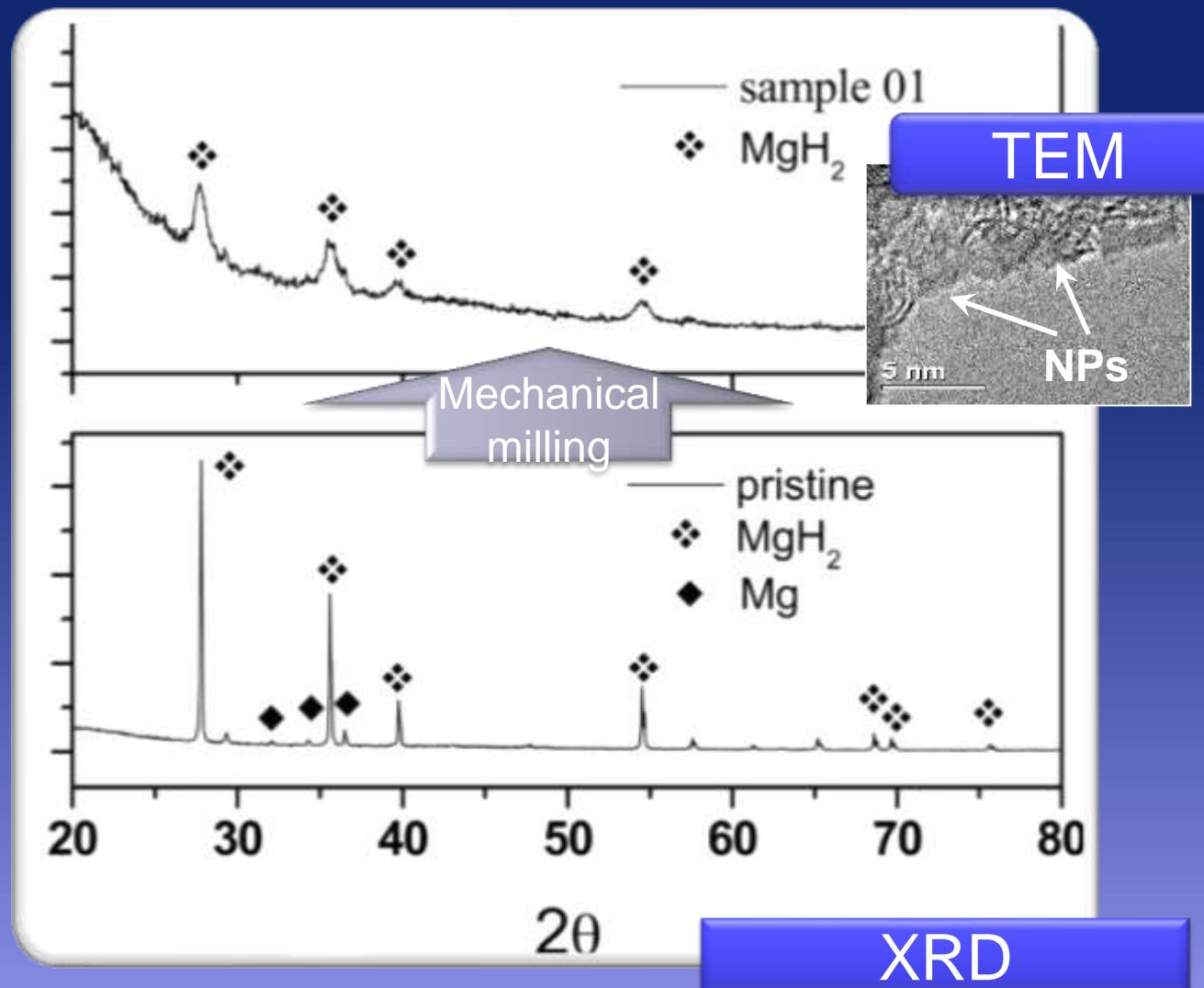
Mg hydride
tP6 SG 136



Collector: Cu

Theoretical capacity:
2048 mAh/g

Nominal voltage:
0.5 V vs. Li



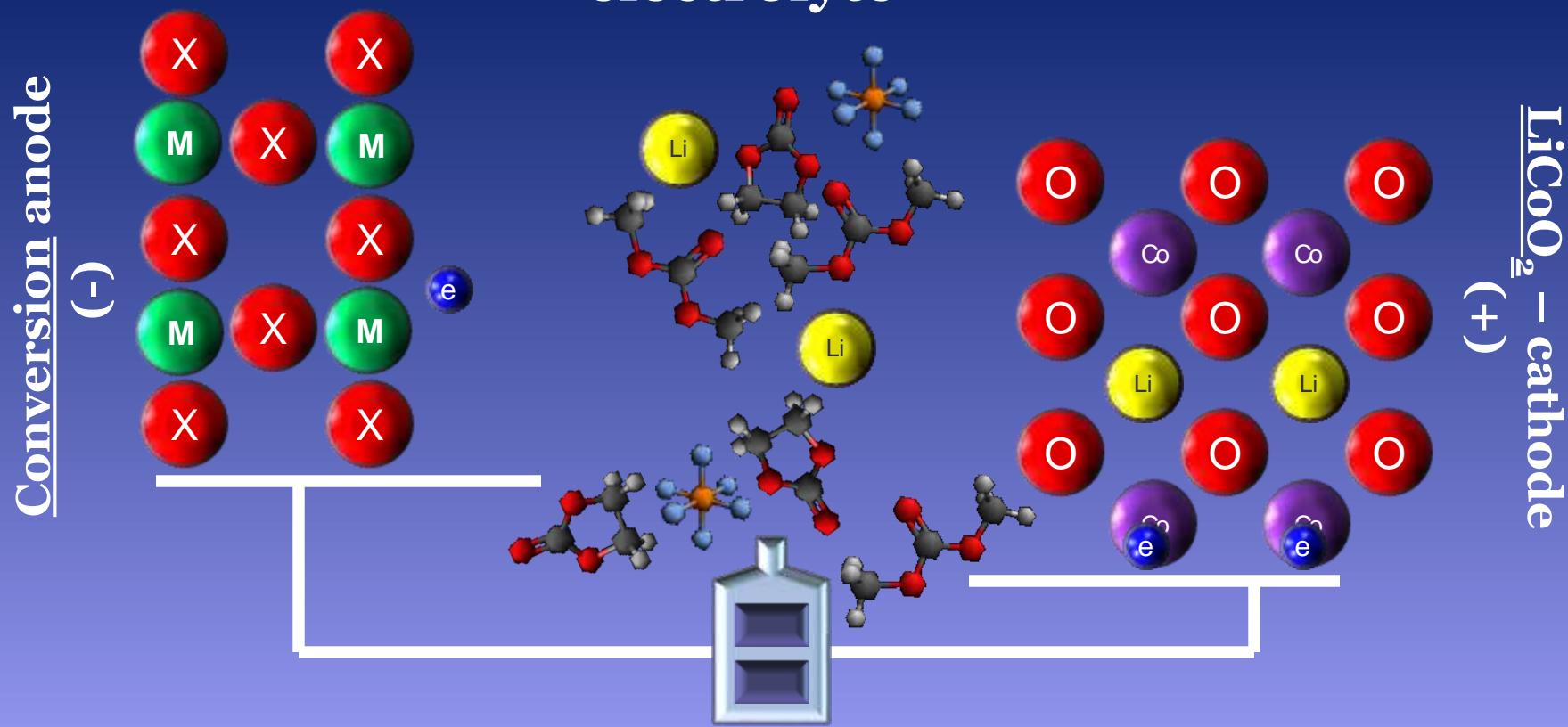


Li-ion N-E materials: Conversion reactions



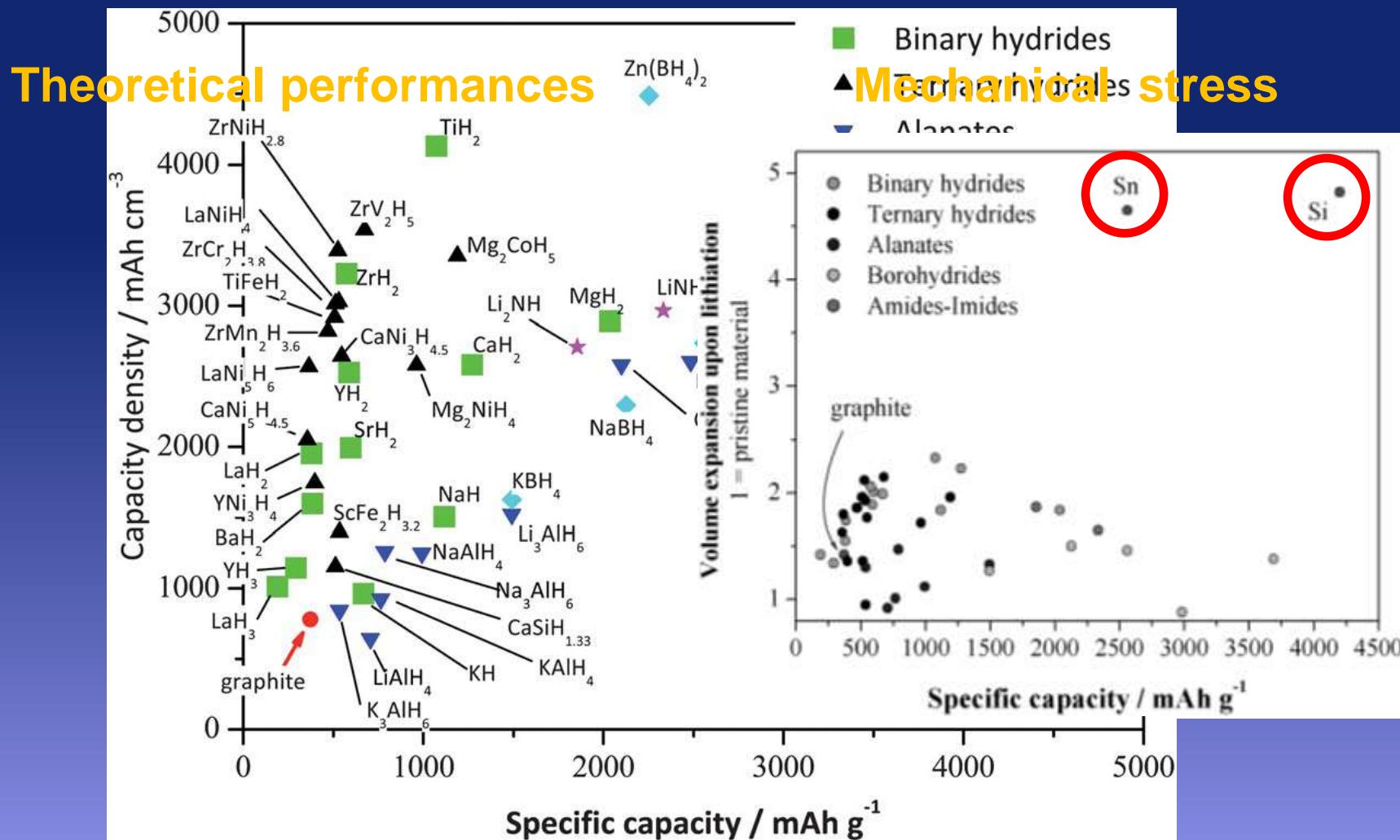
$X = O, S, F, P, H$

Non aqueous
electrolyte



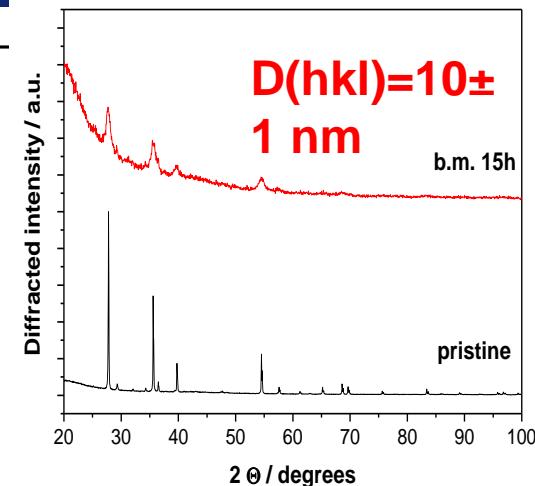
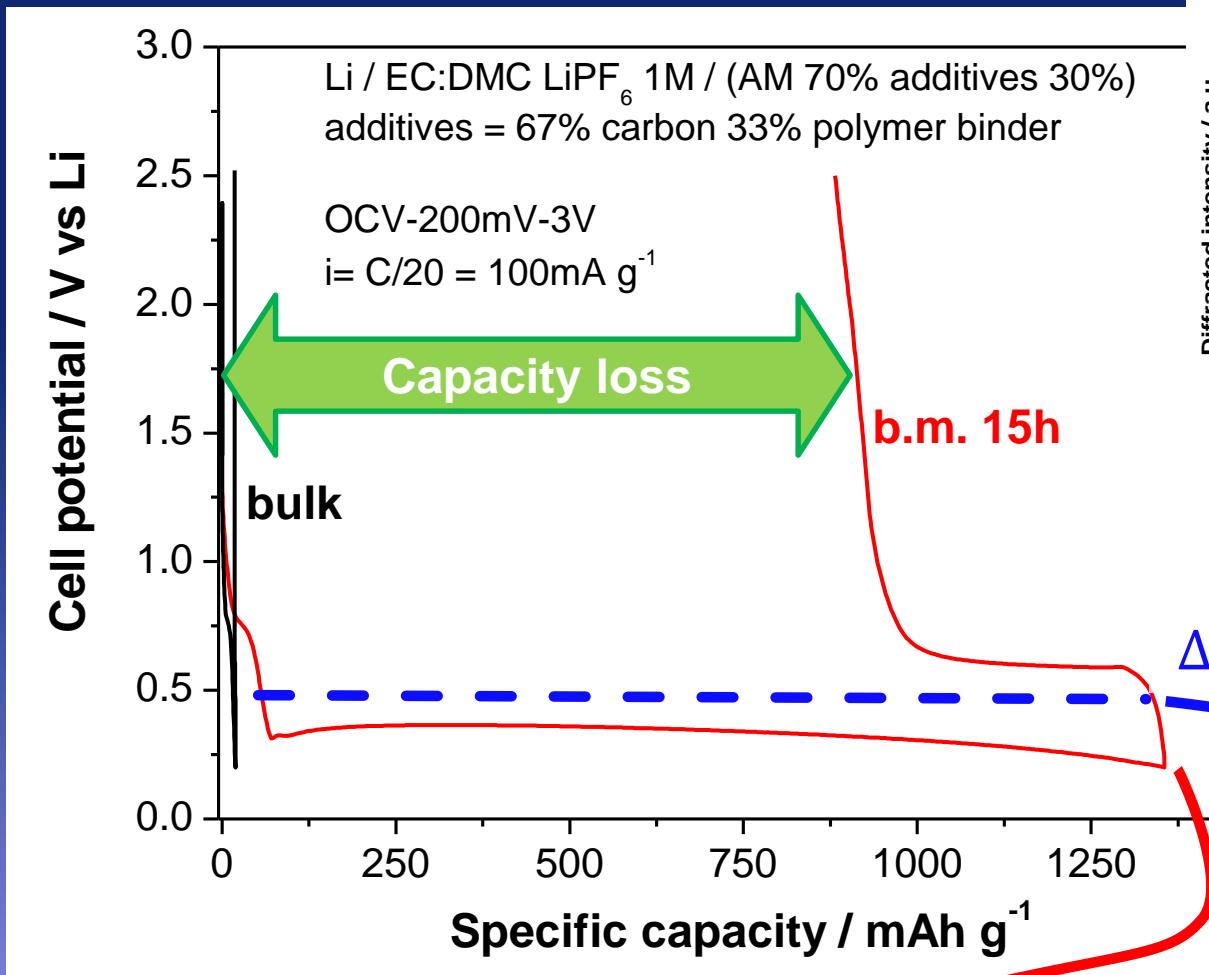


Hydrides conversion reactions: advantages





(+)MgH₂/ electrolyte / Lithium (-)



$$\Delta_r G^{\circ} = -n \cdot F \cdot \Delta E^{\circ}$$

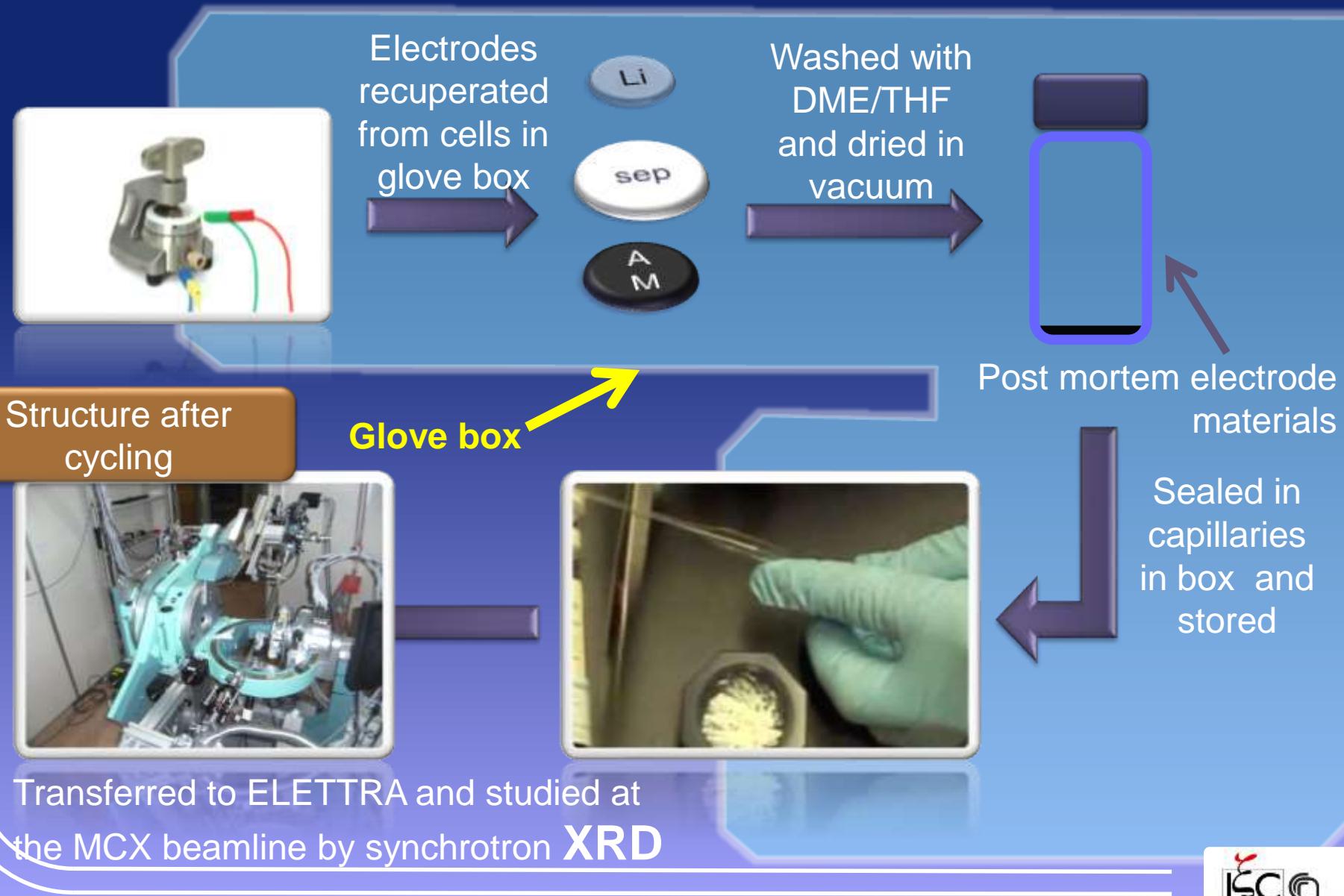
Theoretical capacity
2048 mAhg⁻¹

Thermodynamic E°
0.52 V vs Li



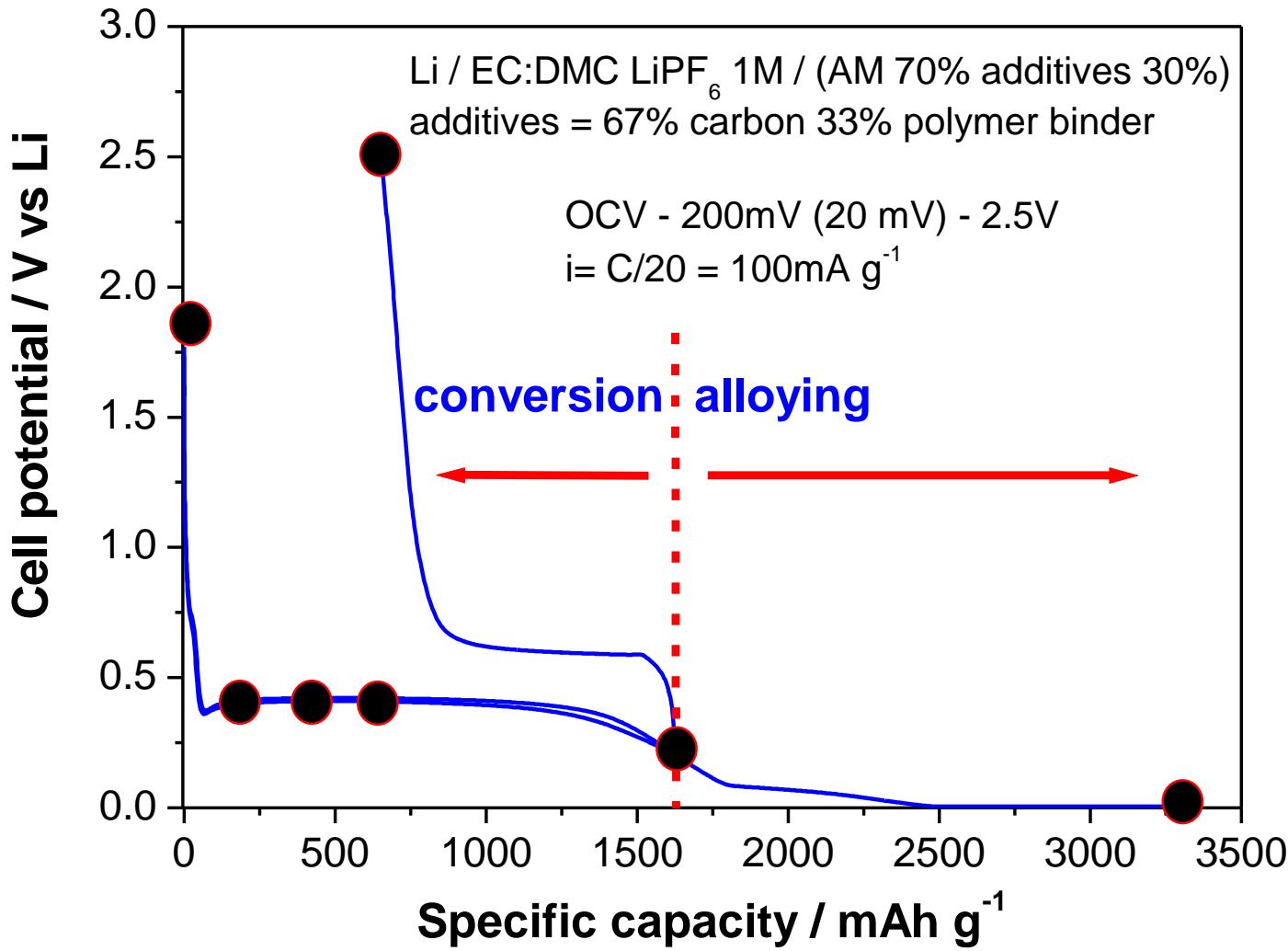
Post-mortem XRD sample preparation procedure

The preparation of the samples analysed by TEM requires a multistep procedure





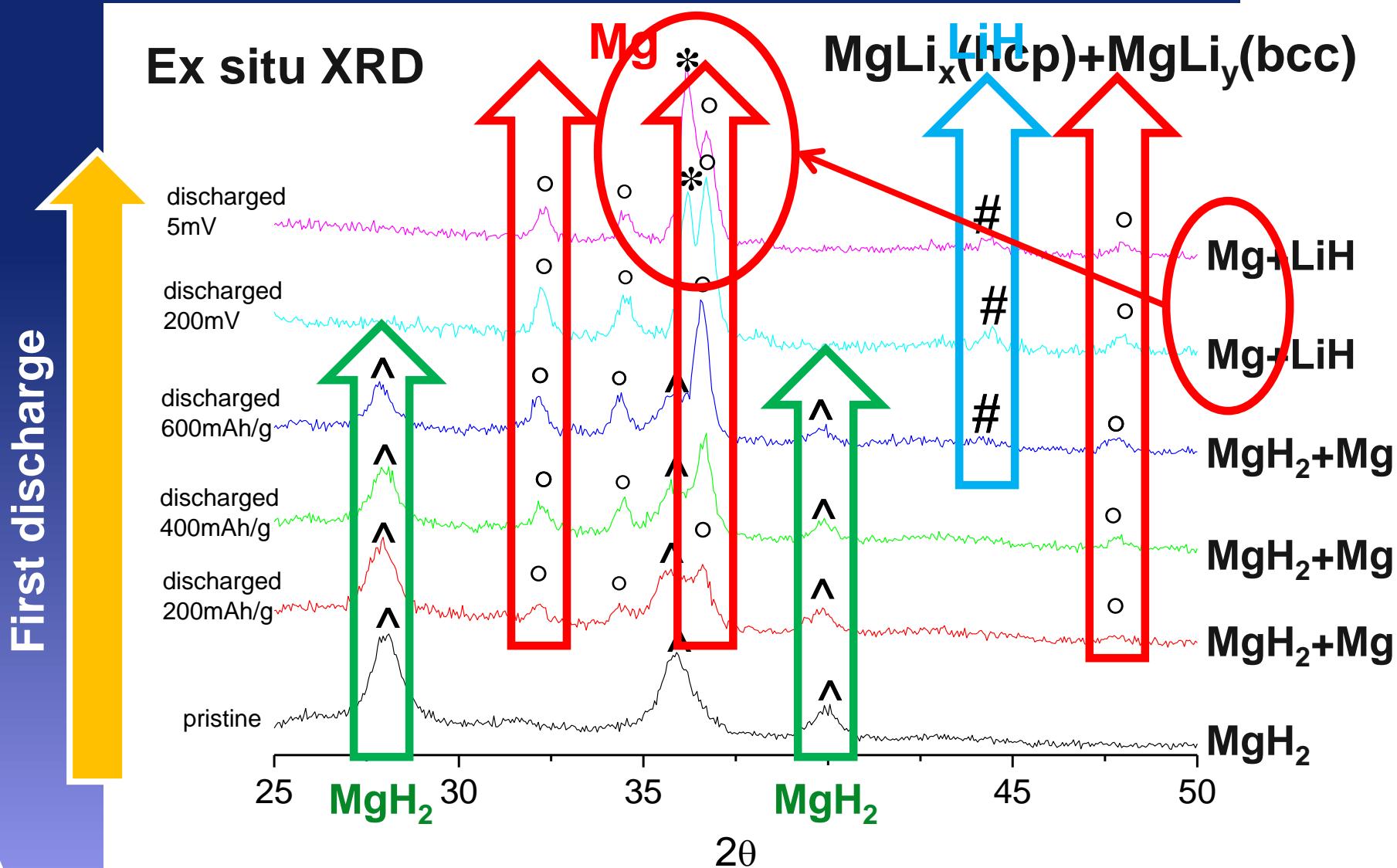
MgH₂: ex situ reaction mechanism



(+)MgH₂/ electrolyte / Lithium (-)



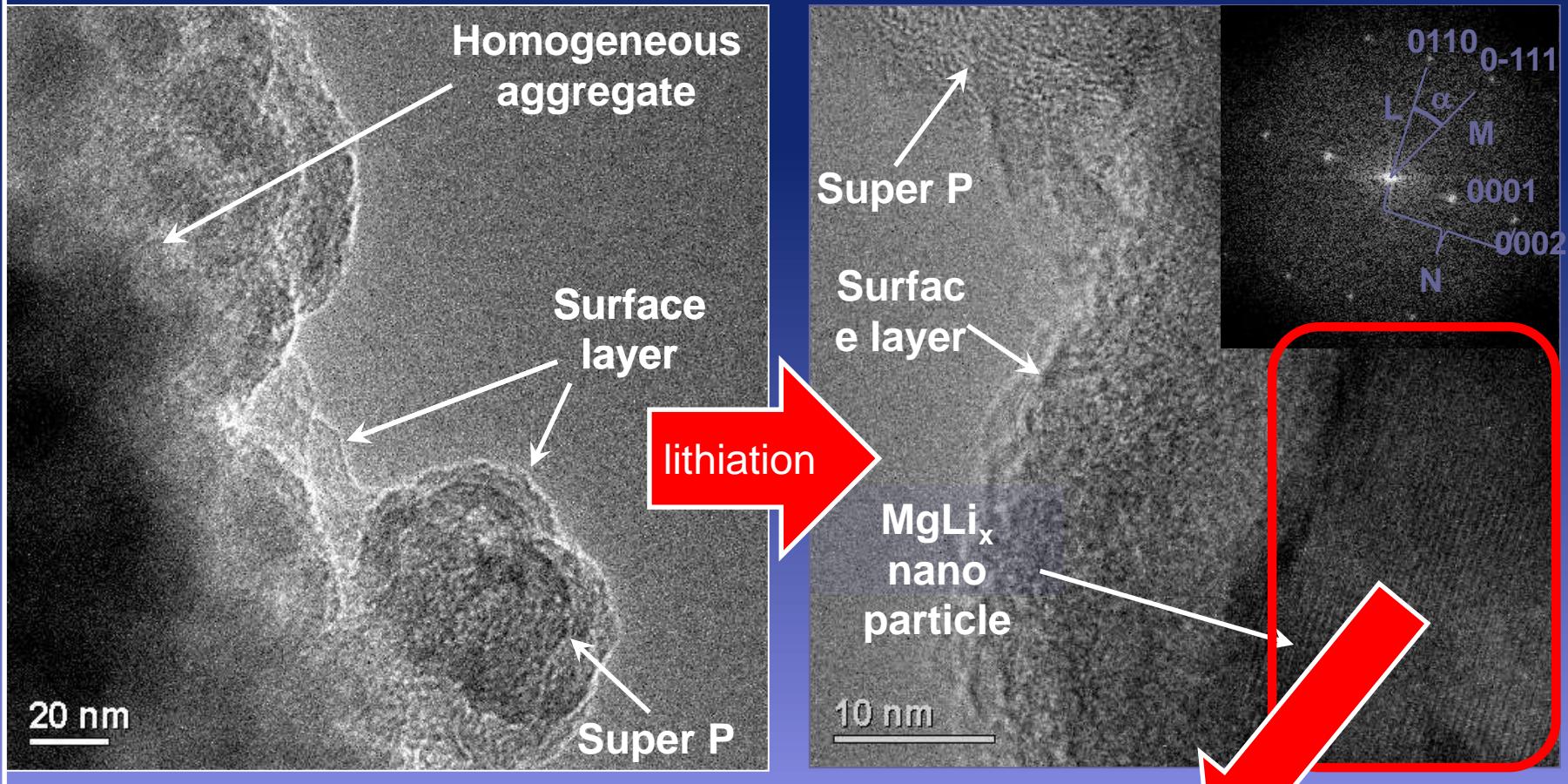
MgH₂: XRD study





MgH₂: TEM study

MgH₂ fully discharged at 200 mV in a lithium cell

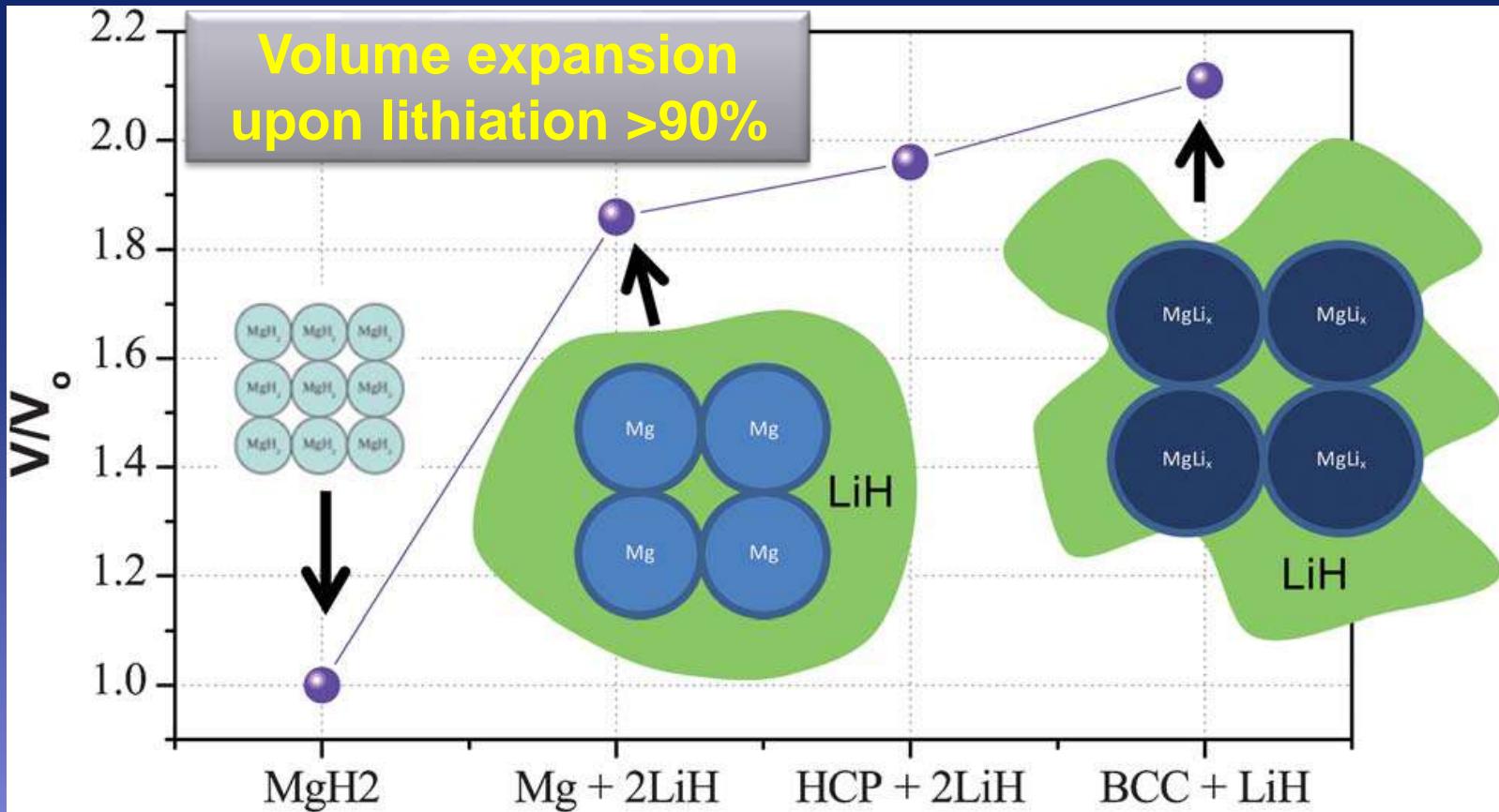


NANO-PARTICLES SINTERING UPON DISCHARGE



MgH₂ conversion in Li-ion cell

Nature of the capacity losses

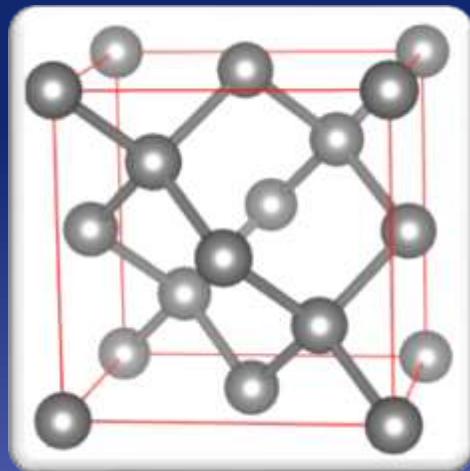


**Electrode pulverization
Loss of electron conductivity**



Alloying reactions and volume changes

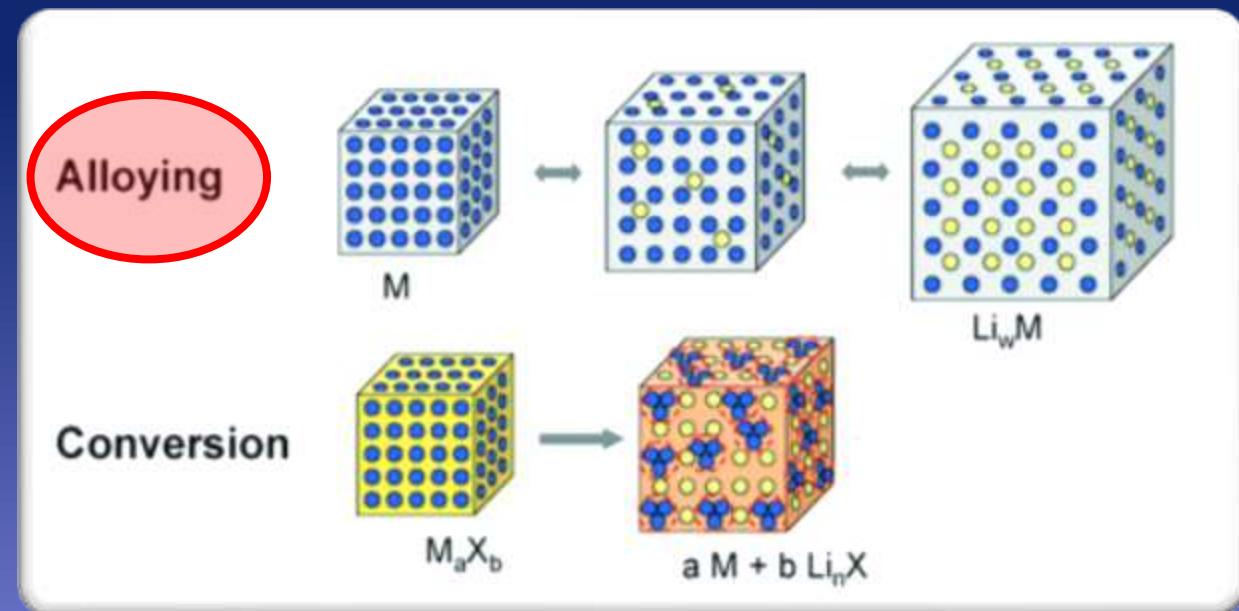
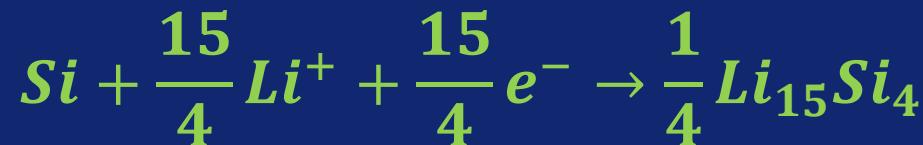
Silicon
cF8 SG 227



Collector: Cu

Theoretical capacity:
3579 mAh/g

Nominal voltage:
0.2-0.5 V vs. Li

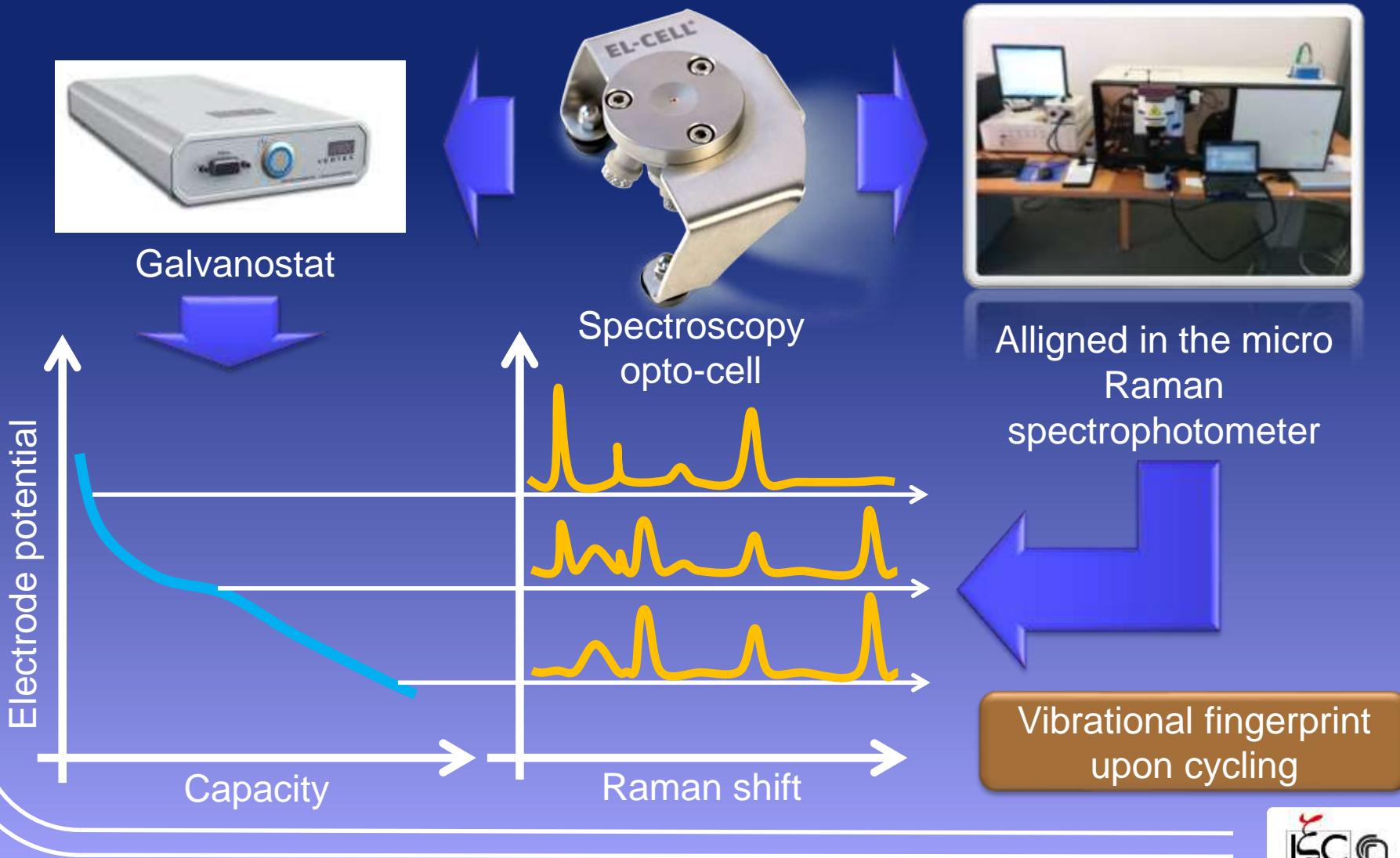


Si volume expansion
upon lithiation +320%



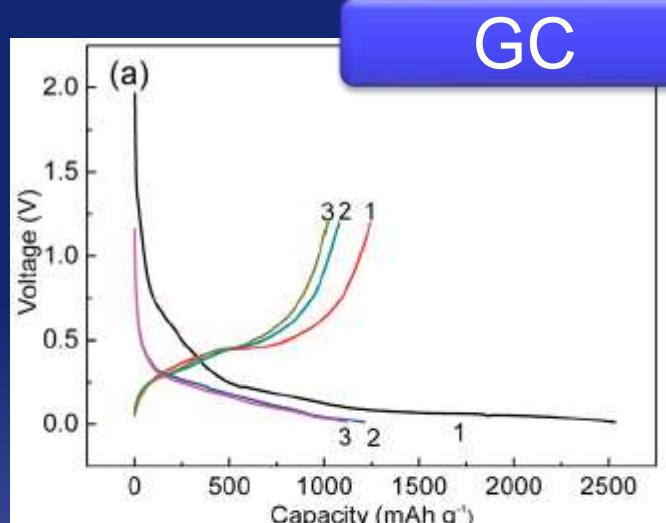
In operando Raman experiments

The in operando analysis implies the simultaneous electrochemical cell discharge/charge and Raman tests

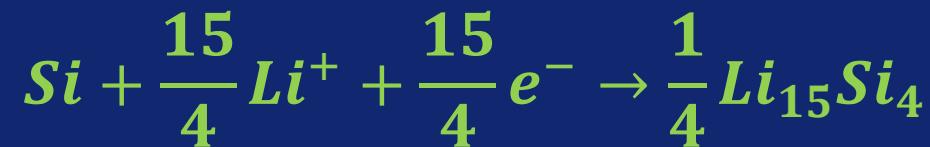
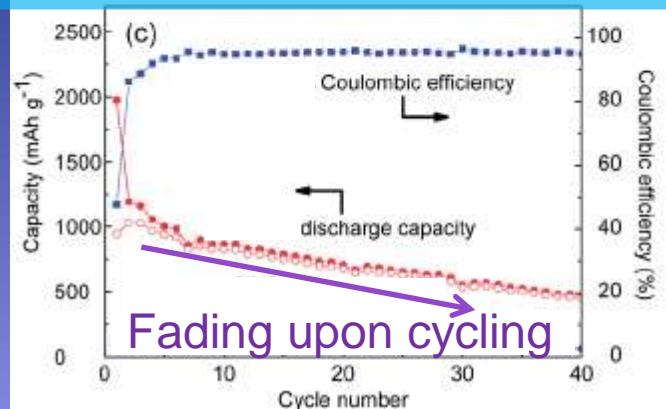




Lithium loading into the silicon lattice

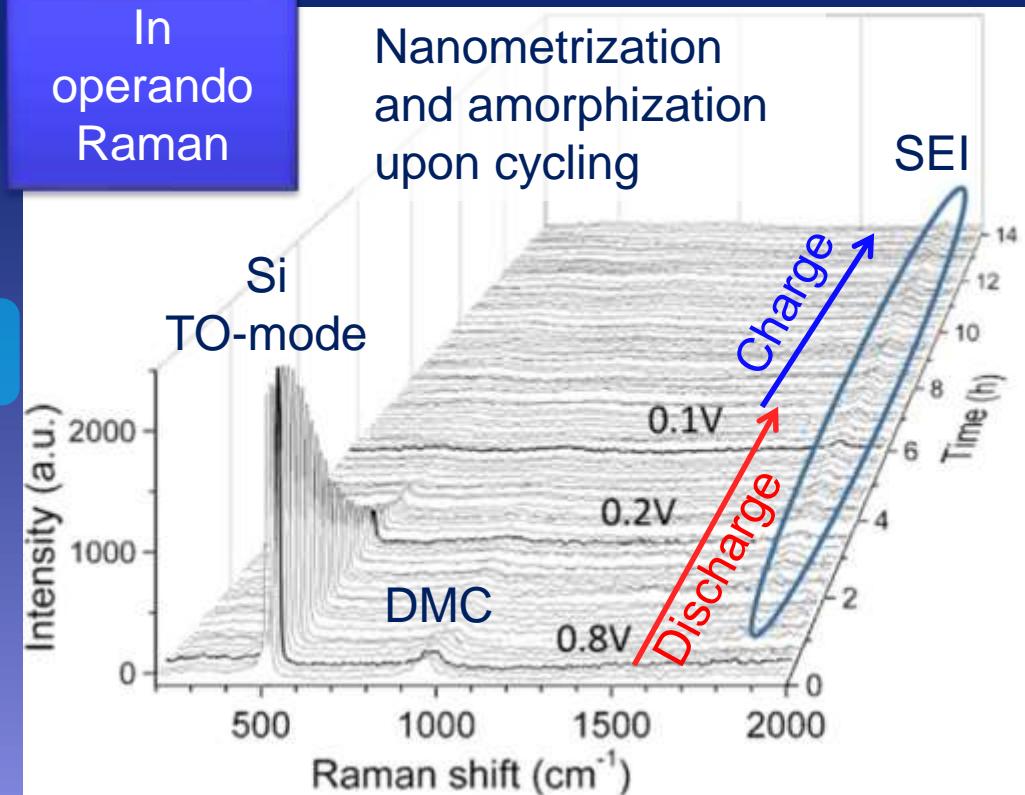


(+)Si/ electrolyte / Lithium (-)



In
operando
Raman

Nanometrization
and amorphization
upon cycling



How to handle the volume expansion?

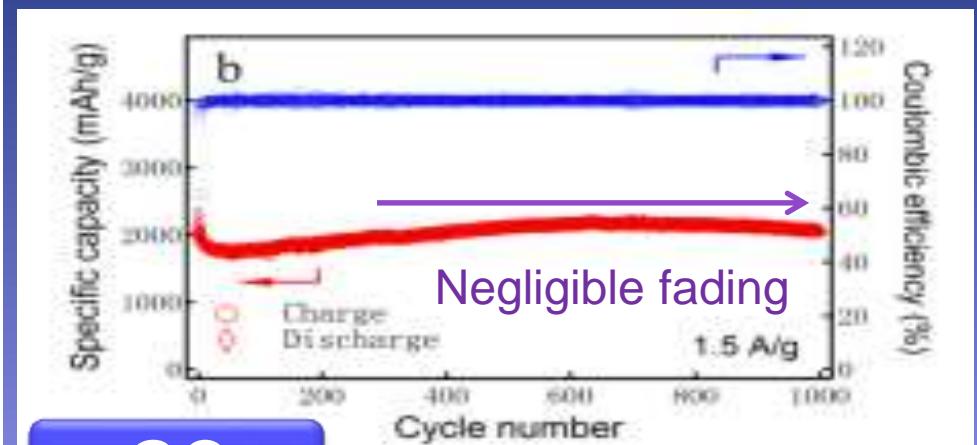
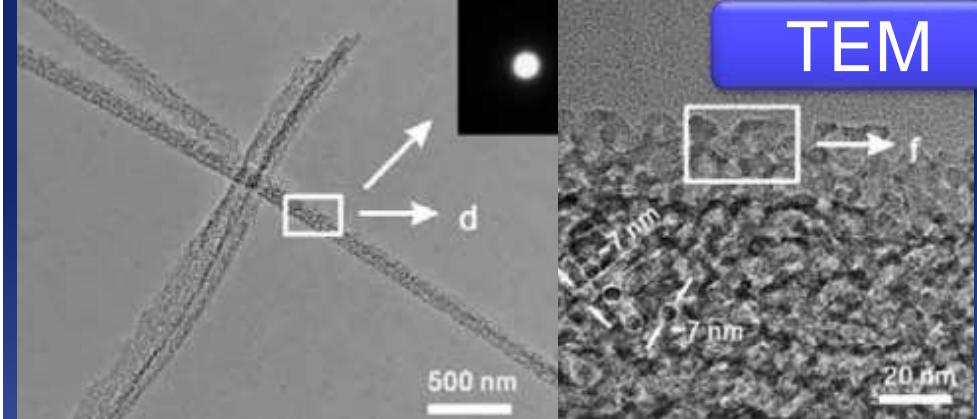
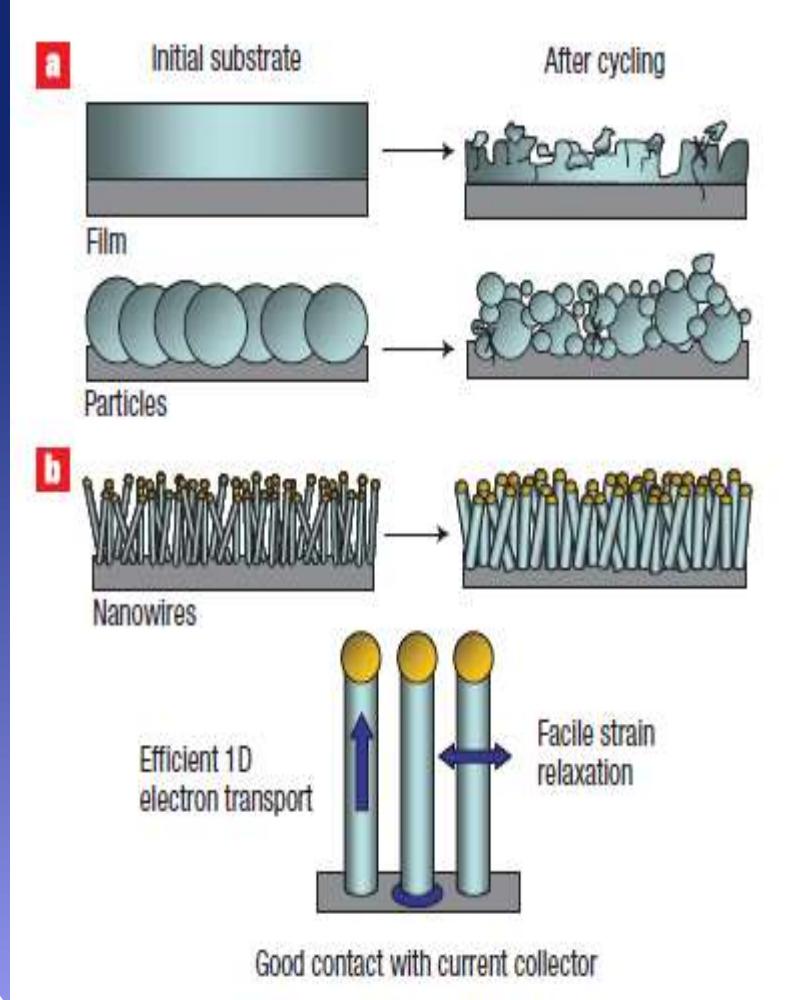


Buffering the volume by going nano

Morphological changes of Si upon lithiation



Hierarchical materials can expand and shrink buffering the stress/strain

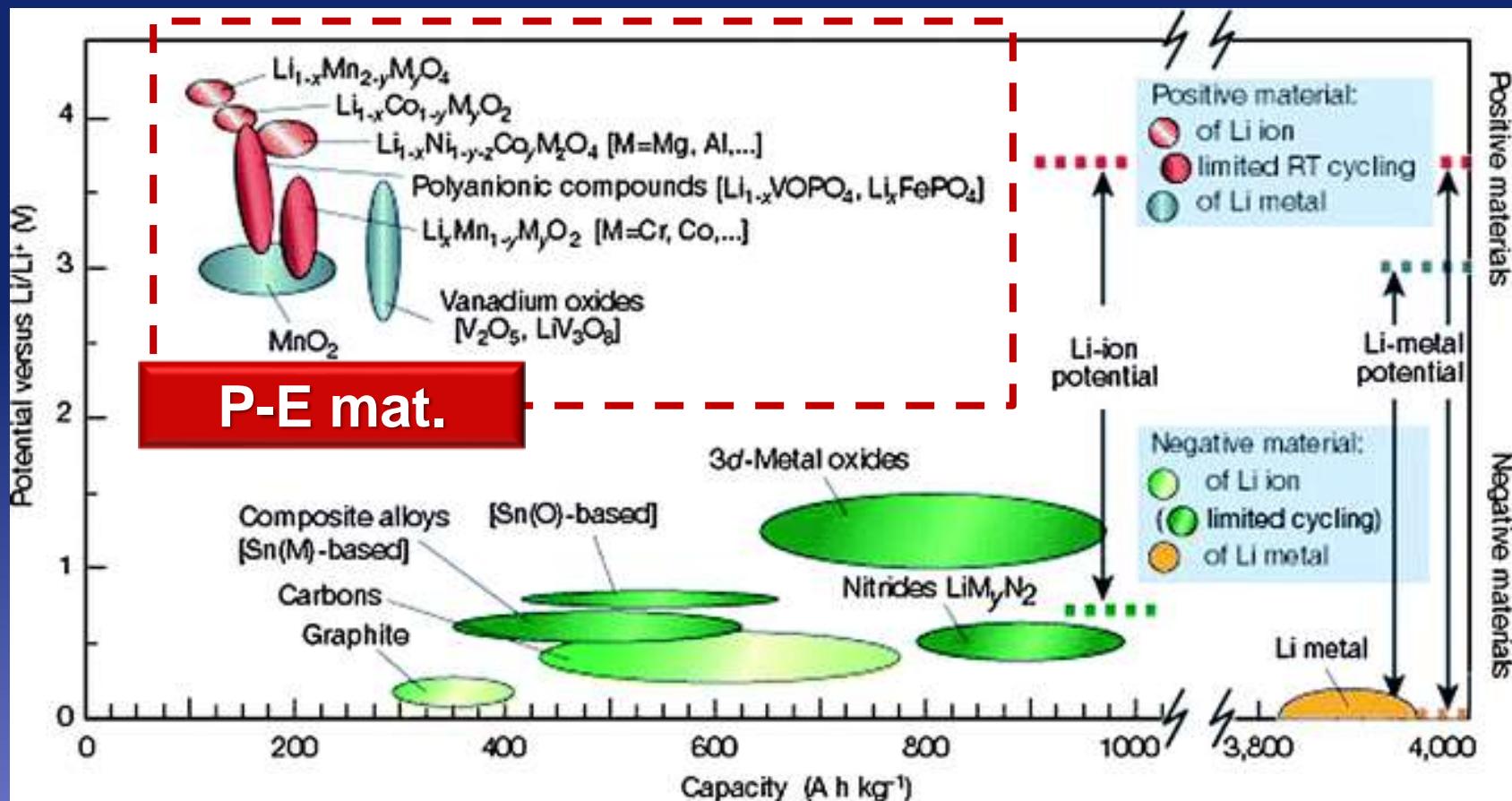


GC

(+)Si/ electrolyte / Lithium (-)



Li-ion battery: positive electrodes



High potential: E° close but below the anodic stability of the electrolyte

Invariant lattice: stable structure upon lithiation

High reversibility: electrochemical reaction in ch/dsch



Li-ion battery: positive electrodes families



Intercalation
chemistry

Layered phases
 $\text{Li}_{\frac{1}{2}}\text{CoO}_2 + \frac{1}{2}\text{Li}^+ + \frac{1}{2}\text{e}^- \rightarrow \text{LiCoO}_2$

Spinel phases
 $\text{Ni}_{\frac{1}{2}}\text{Mn}_{\frac{3}{2}}\text{O}_4 + \text{Li}^+ + \text{e}^- \rightarrow \text{LiNi}_{\frac{1}{2}}\text{Mn}_{\frac{3}{2}}\text{O}_4$

Olivine phases
 $\text{FePO}_4 + \text{Li}^+ + \text{e}^- \rightarrow \text{LiFePO}_4$

Conversion
chemistry

Fluorides
 $\text{FeF}_3 + \text{Li}^+ + \text{e}^- \rightarrow \text{LiF} + \text{FeF}_2$

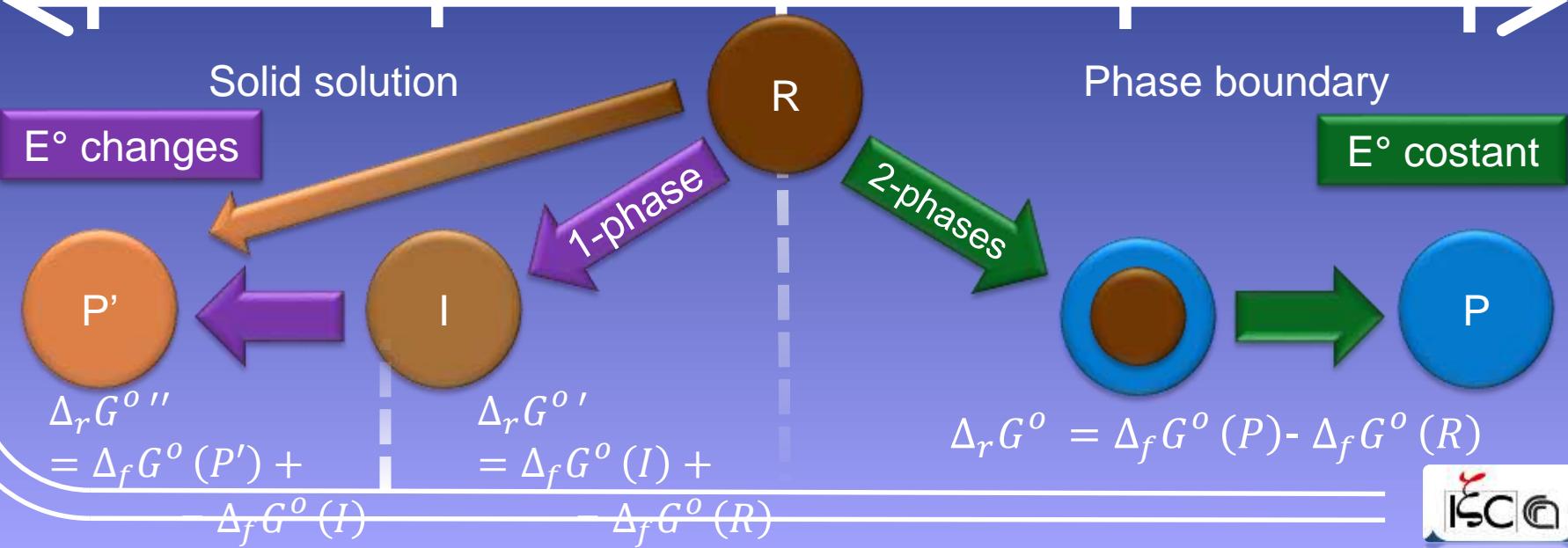
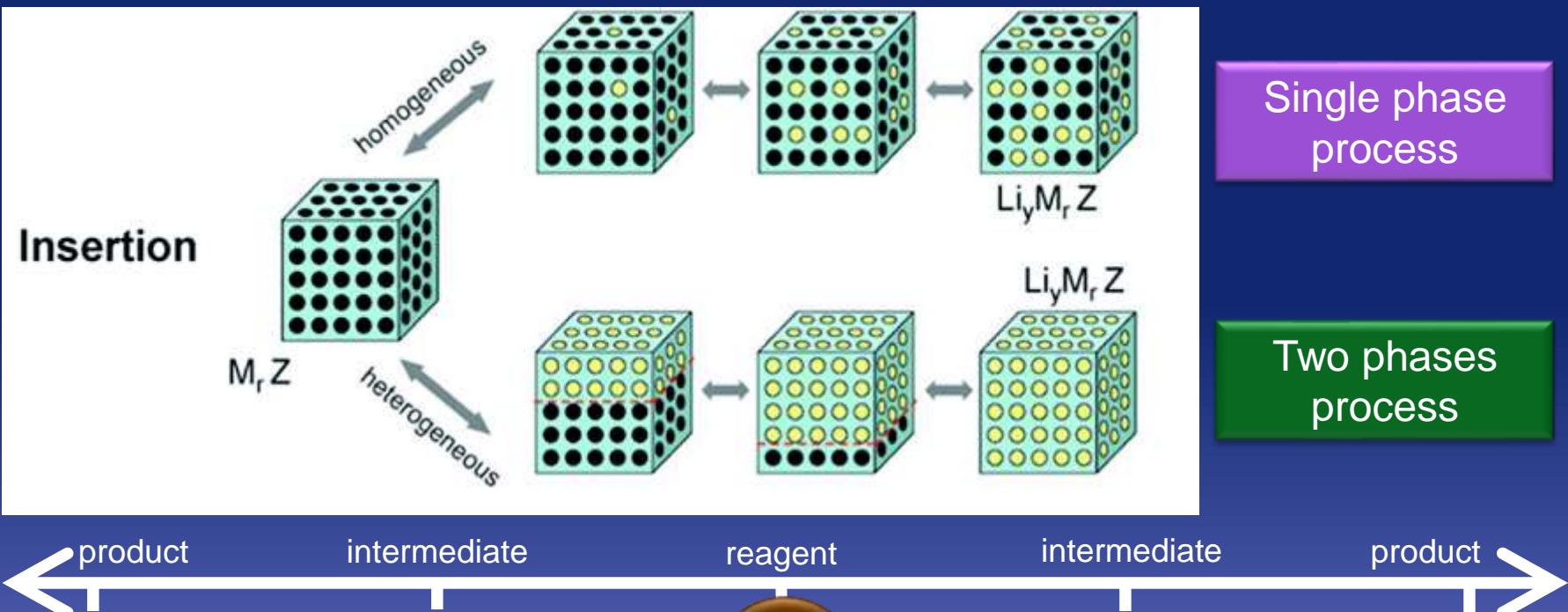
Anionic redox
chemistry

Oxygen
 $\text{O}_2 + 2 \text{Li}^+ + 2 \text{e}^- \rightarrow \text{Li}_2\text{O}_2$

Sulphur
 $\text{S} + 2 \text{Li}^+ + 2 \text{e}^- \rightarrow \text{Li}_2\text{S}$



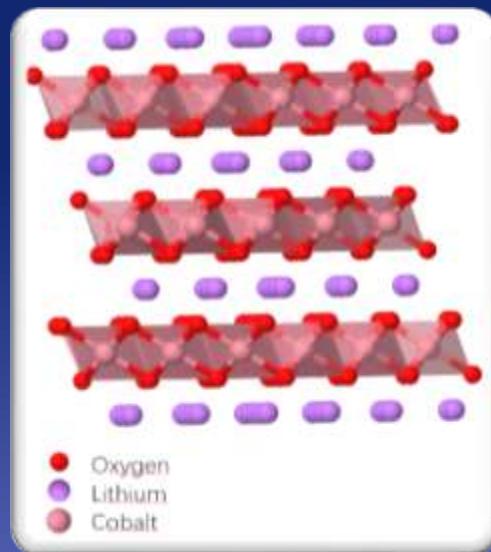
Intercalation chemistry at the P-E





Layered positive electrodes: LiCoO_2

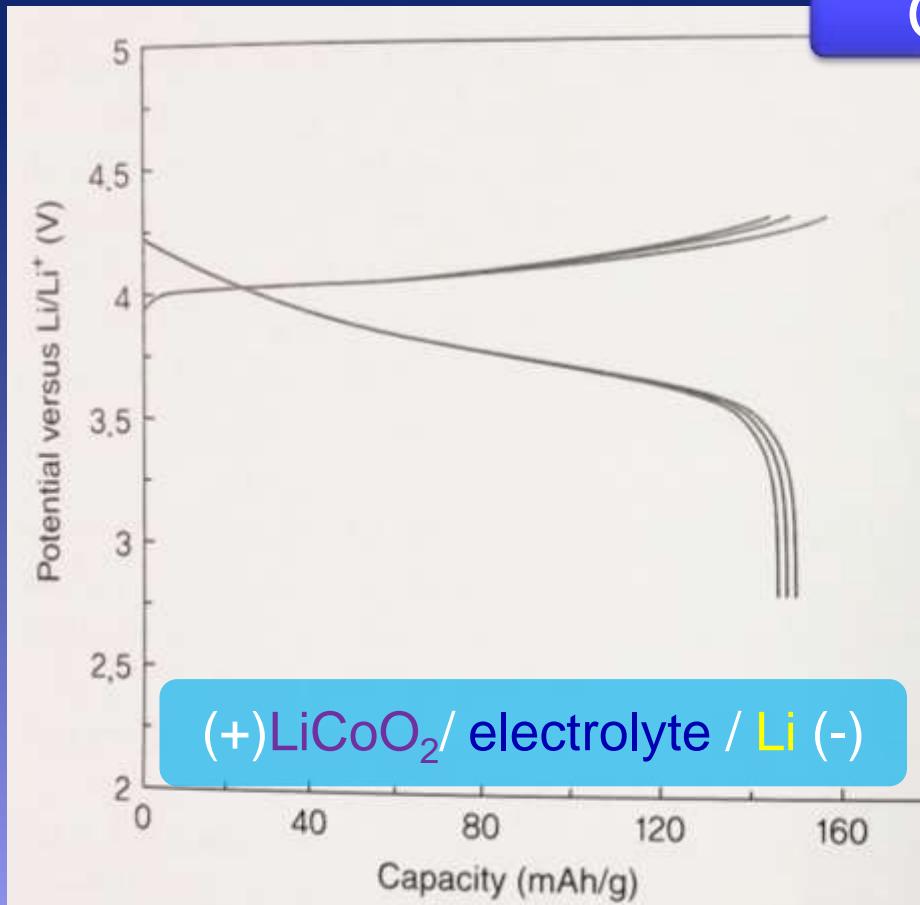
LiCoO_2 / LCO
cI2 SG 229



Collector: Al

Theoretical capacity:
137 mAh/g (0.5 Li_{eq})

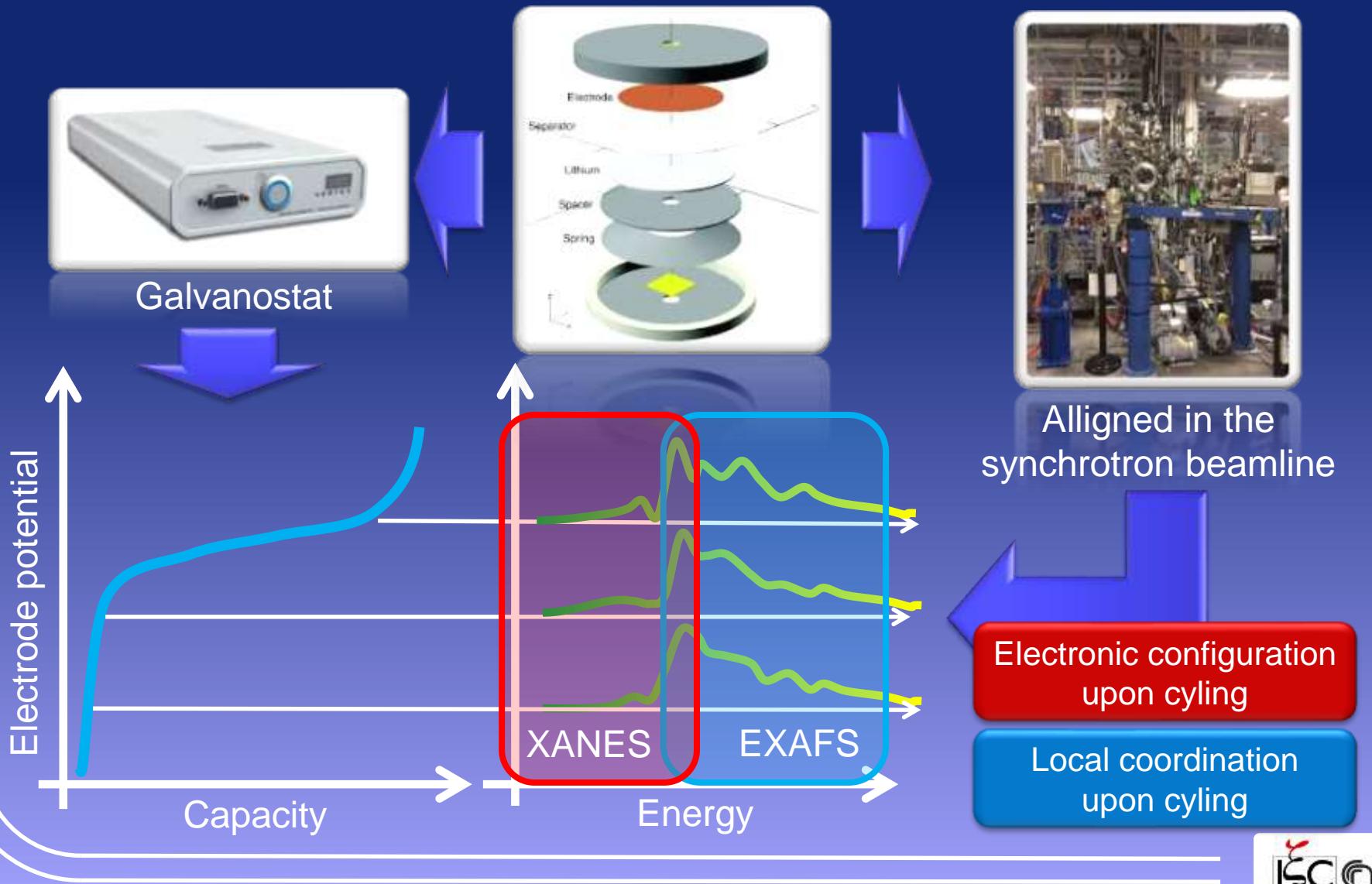
Nominal voltage:
3.3-4.3 V vs. Li





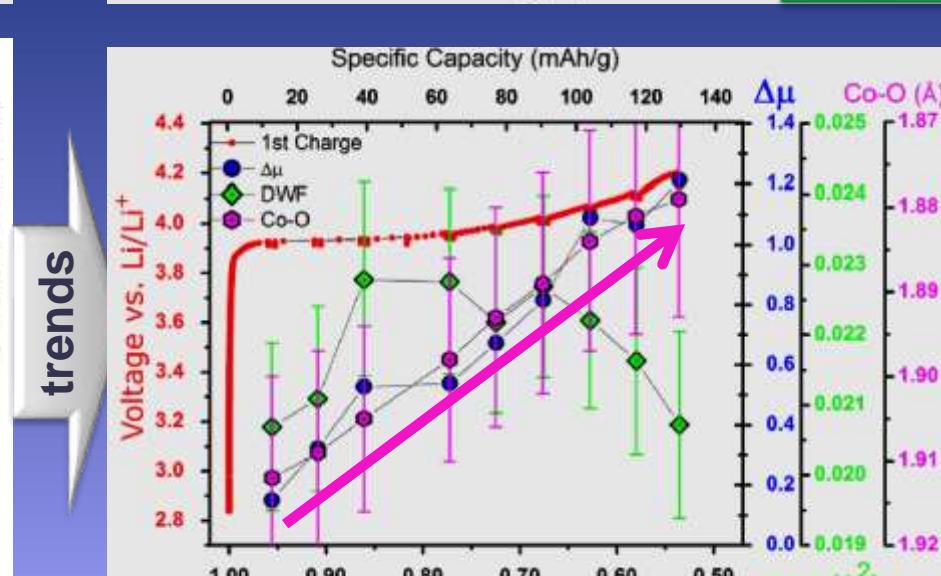
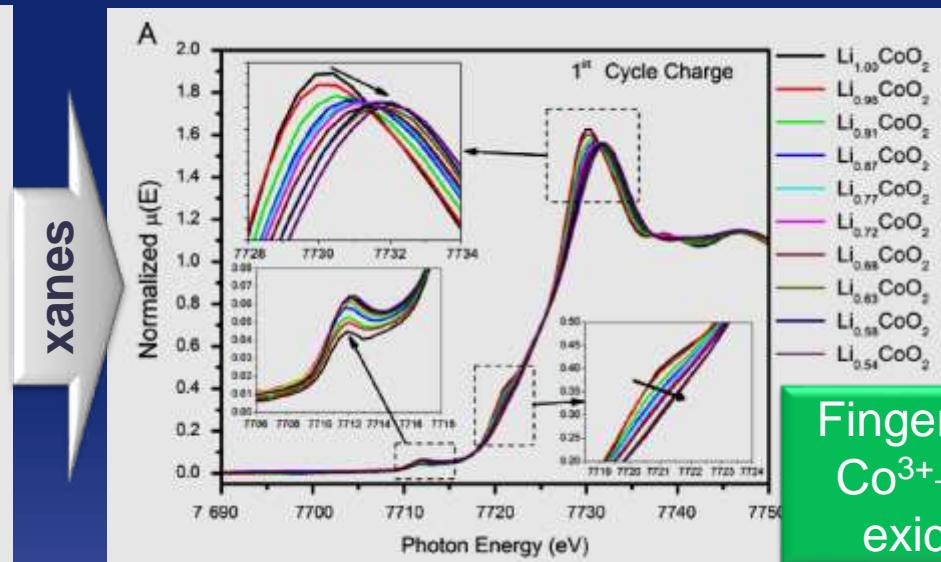
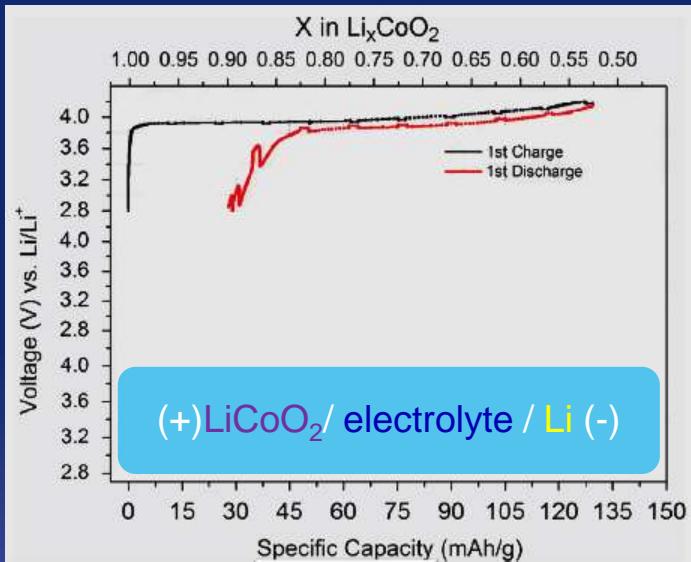
In operando XAS experiments

The in operando analysis implies the simultaneous electrochemical cell discharge/charge and XAS tests at synchrotrons



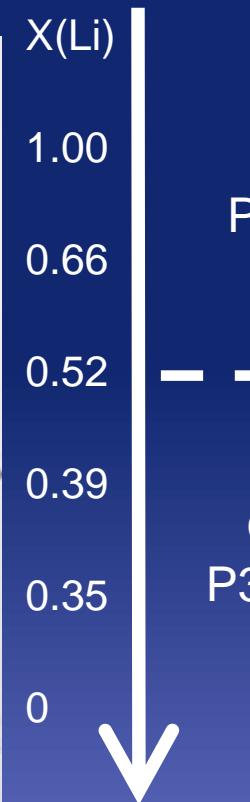
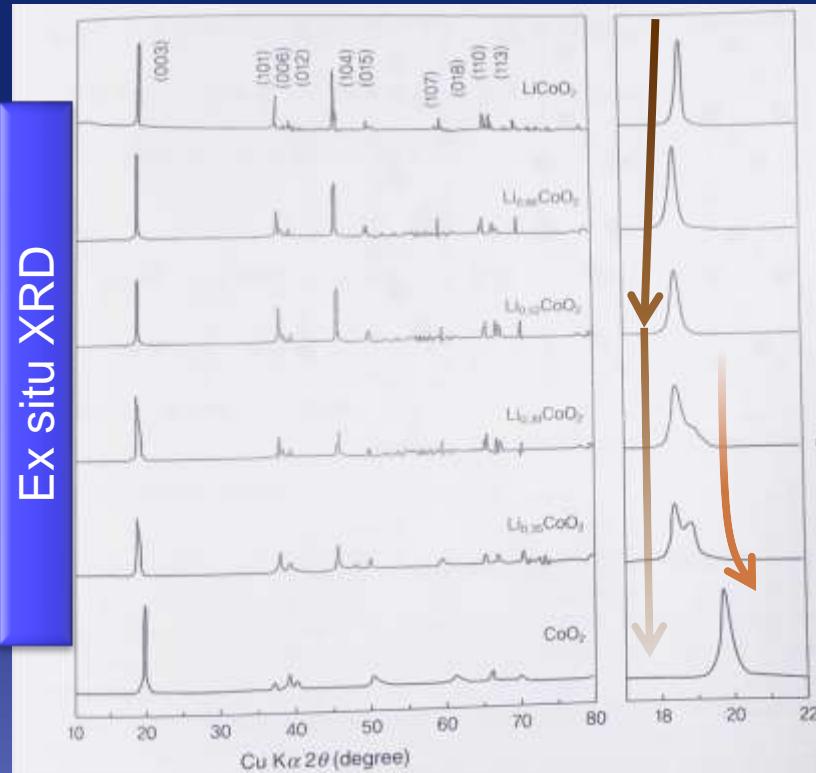


Homogeneous/heterogeneous intercalation: LiCoO_2





Homogeneous/heterogeneous intercalation: LiCoO_2



Peak shifts

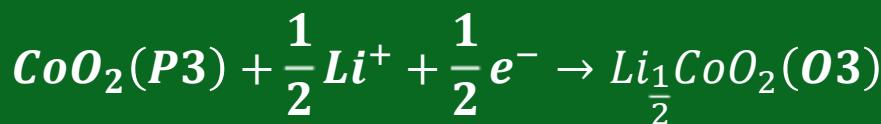
— — — — —

O3 fades
P3 increases

LiCoO_2
(O3)

$\text{Li}_{1/2+x}\text{CoO}_2$
(O3)

CoO_2
(P3)



$$\Delta_r G^o = \Delta_f G^o (\text{O}3) - \Delta_f G^o (\text{P}3)$$

heterogeneous



$$\Delta_r G^o = \Delta_f G^o (\text{O}3_{\text{Li}=1/2+x}) +$$

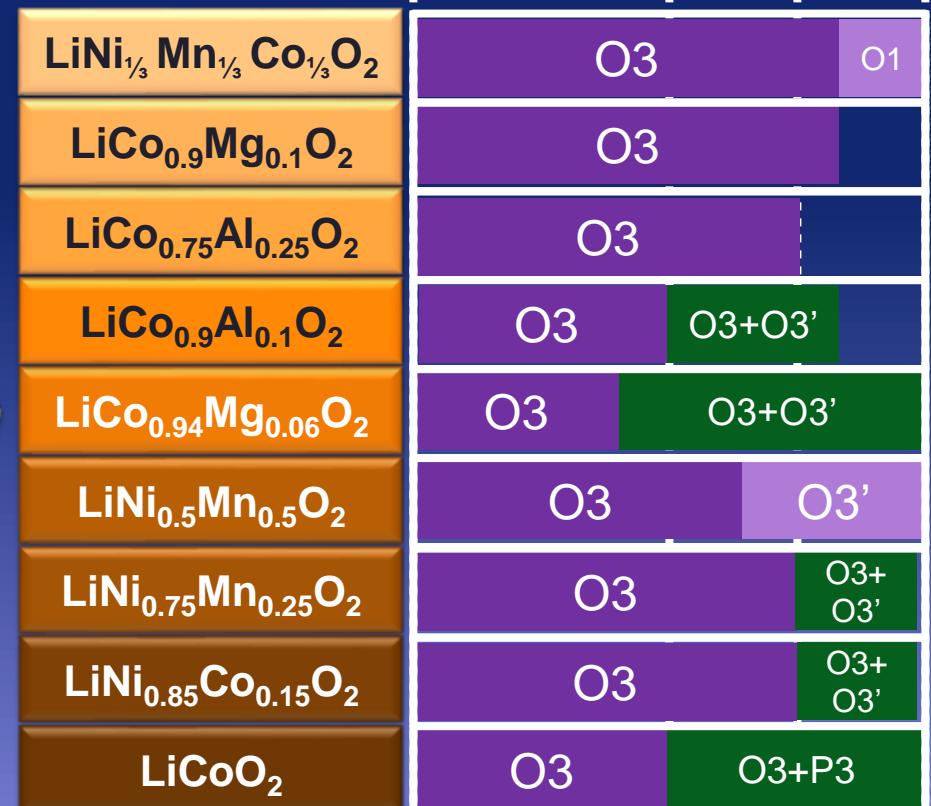
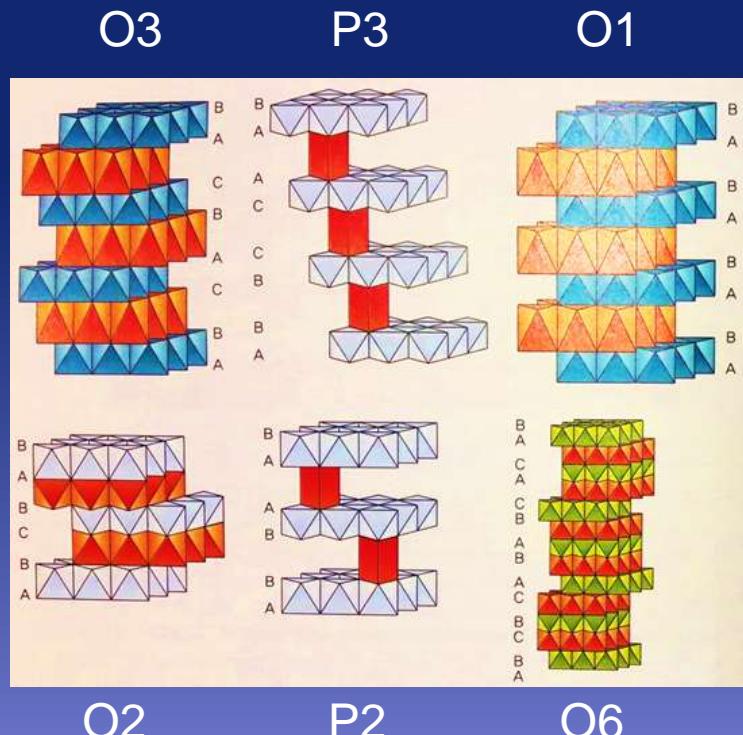
homogeneous

$$- \Delta_f G^o (\text{O}3_{\text{Li}=1/2})$$



Layered positive electrode materials

Stoichiometry of the LiMO_2 -layered phases drives the stacking disorder and the electrochemical lithium de-intercalation/intercalation.

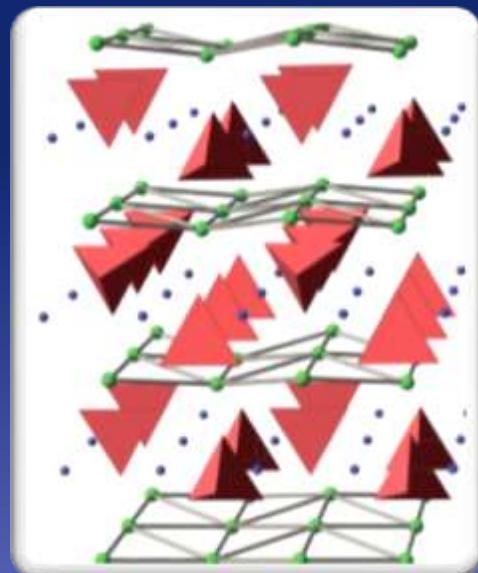


Overlithiation allows to increases homogeneous reversibility (Li-rich layered)



Olivine lattice: diffusion in 1D channels

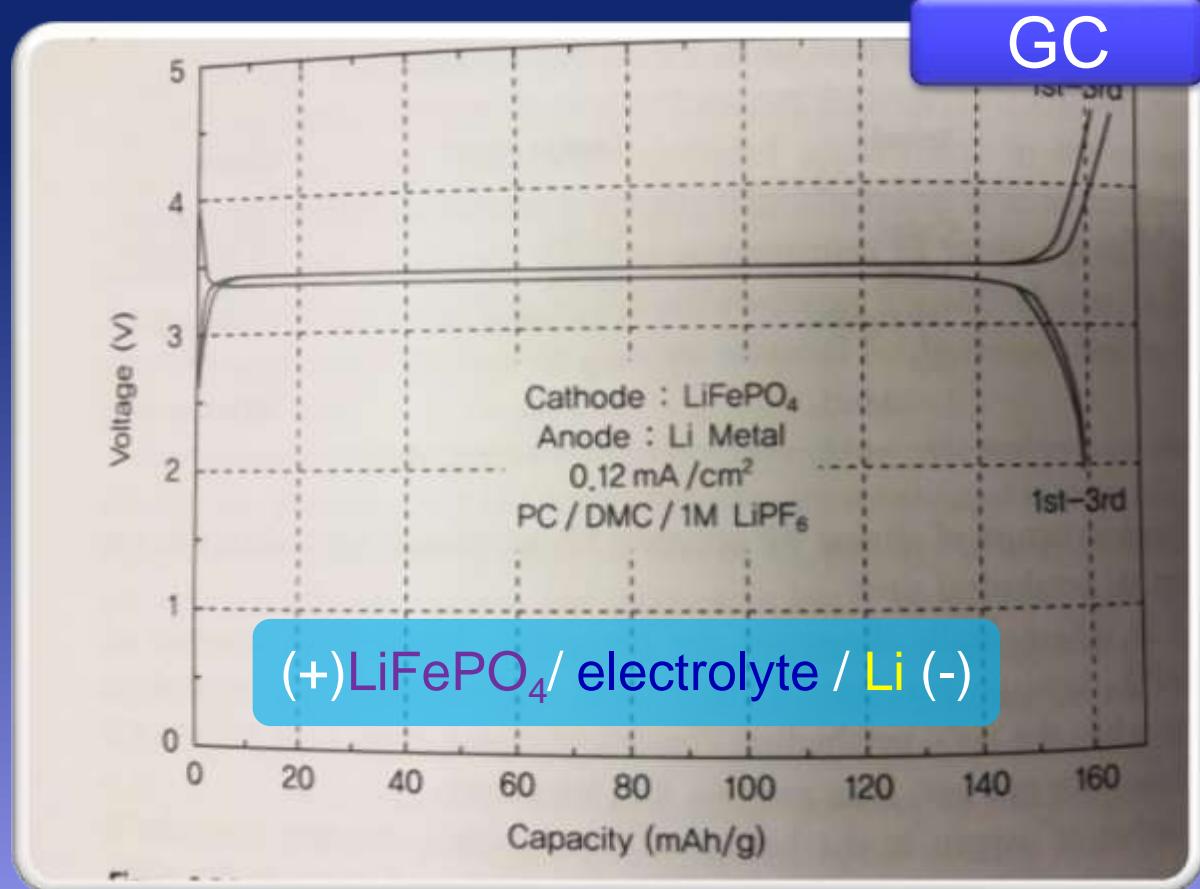
LiFePO_4 / LFP
oP28 SG 62



Collector: Al

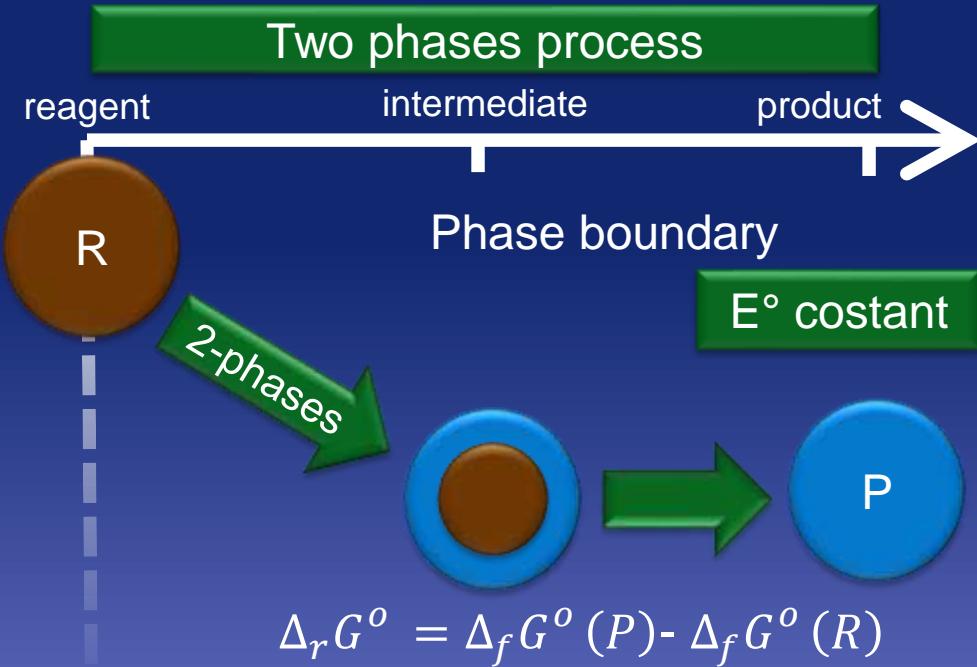
Theoretical capacity:
170 mAh/g (1 Li_{eq})

Nominal voltage:
3.45 V vs. Li

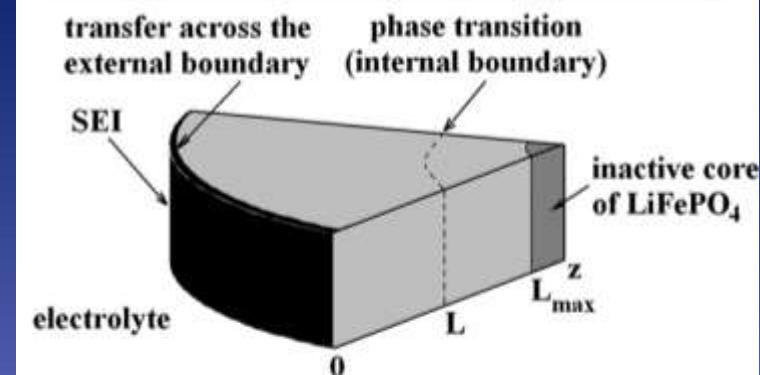




Olivine intercalation mechanism



Solid state **diffusion** od lithium ions through the particle limits the redox reactivity



Electrochemical methods (CV, PITT, GITT)

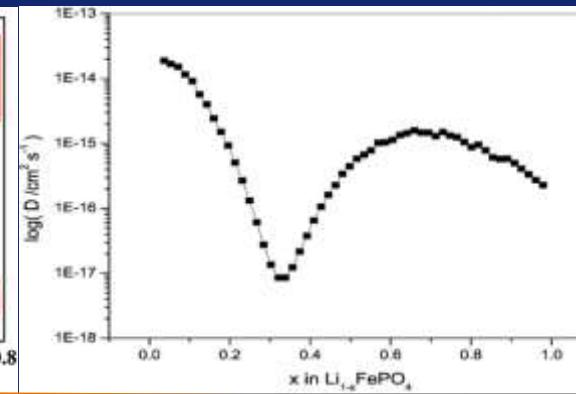
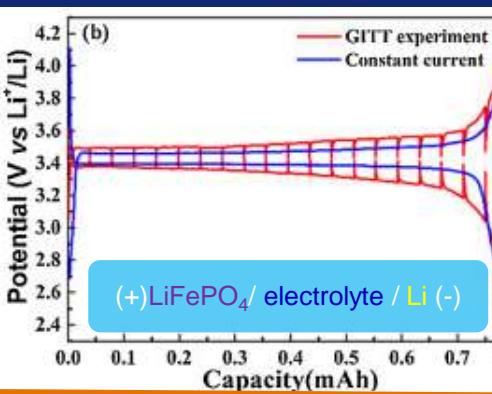
Impedance spectroscopy (EIS)

Li-NMR (chemical diffusion)

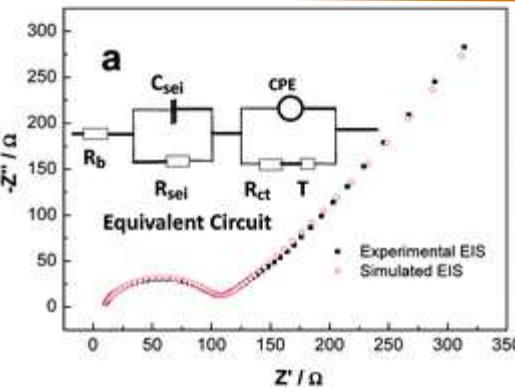


LiFePO₄ diffusion coefficients

GITT

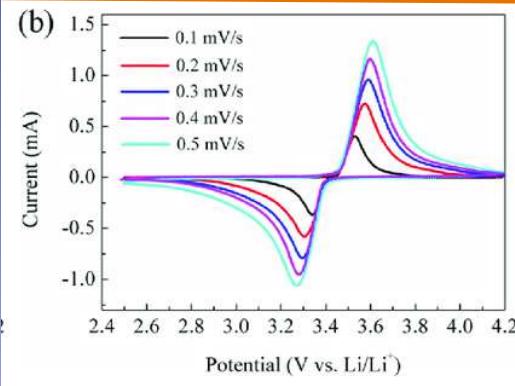


EIS



X in Li _x FePO ₄ (cm ² s ⁻¹)	LiFePO ₄
0.1	4.85×10^{-16}
0.2	5.91×10^{-16}
0.3	3.33×10^{-16}
0.4	4.14×10^{-16}
0.5	
0.6	
0.7	
0.8	

CV



Cathode materials	LiFePO ₄
Anodic D (cm ² s ⁻¹)	6.91×10^{-16}
Cathodic D (cm ² s ⁻¹)	6.56×10^{-16}

How to improve transport?

Particle size to shorten diffusion paths

Particle morphology to enhance (001) diffusion

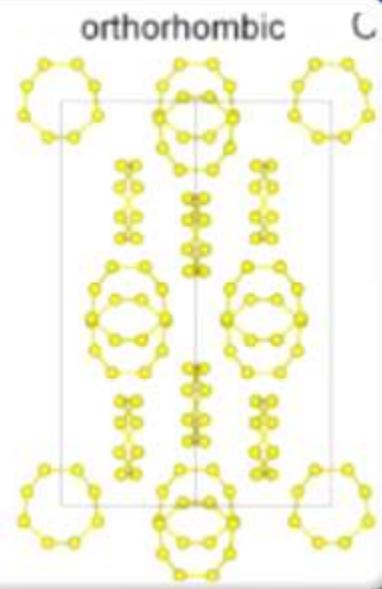
Iperivalent cation doping to induce Li⁺ voids

Redox inactive doping to stabilize the lattice



Sulphur – anionic redox chemistry at the positive electrode

S_8
tF64 SG 70



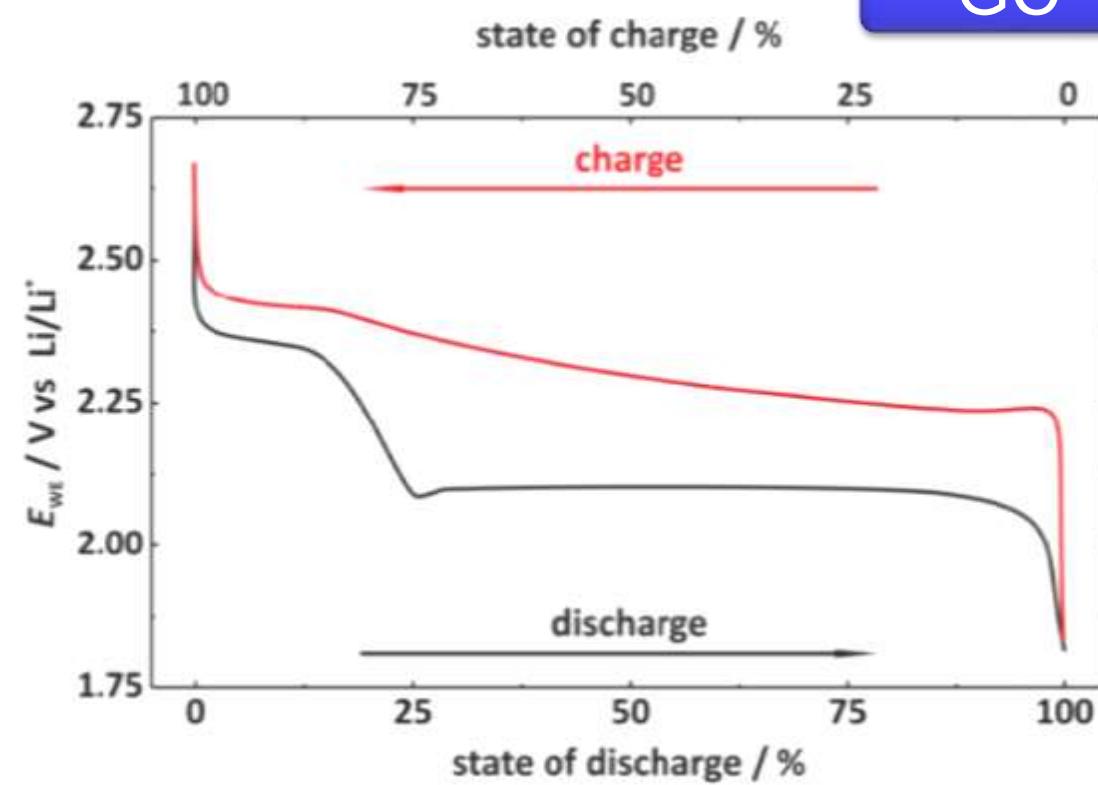
Collector: Al

Theoretical capacity:
1675 mAh/g (2 Li_{eq})

Nominal voltage:
2.3-2.1 V vs. Li



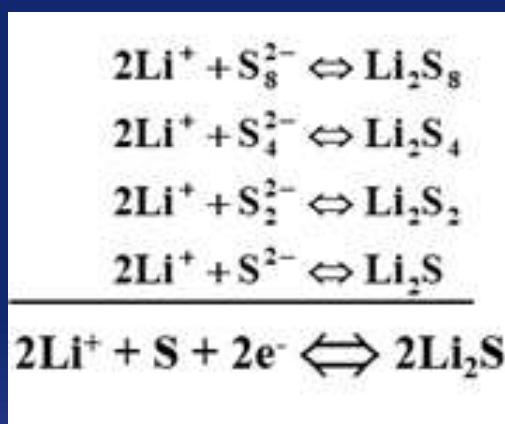
GC



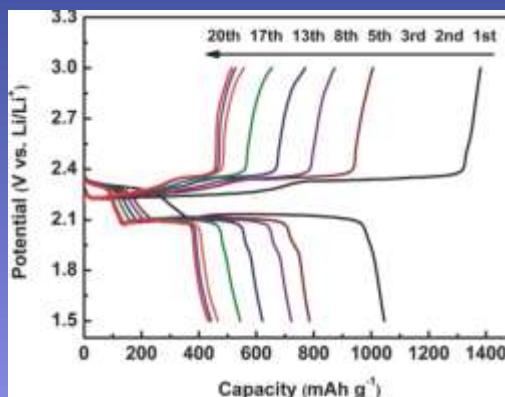
(+)S/ electrolyte / Li (-)



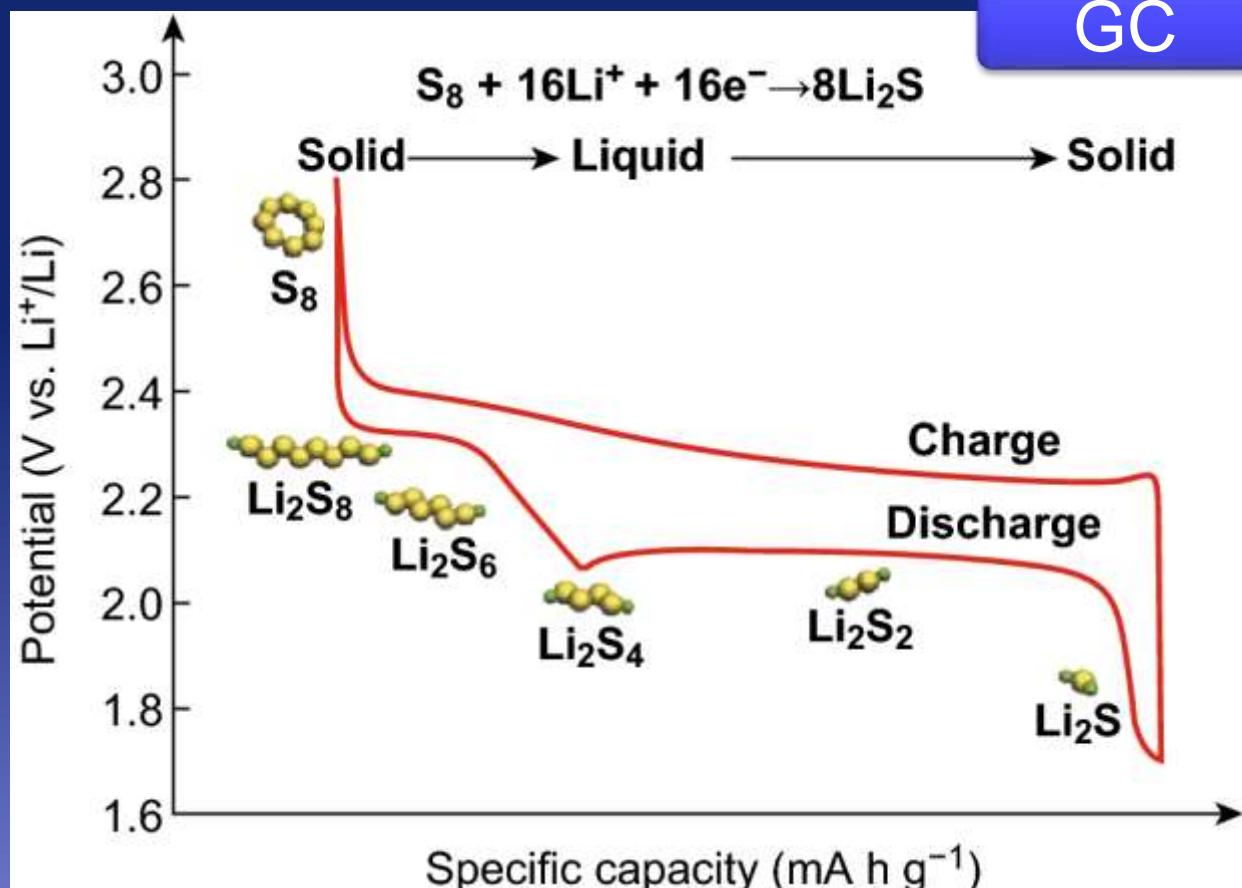
Sulphur – long chain polysulphide and solubility



S loss upon cycling



Capacity fading

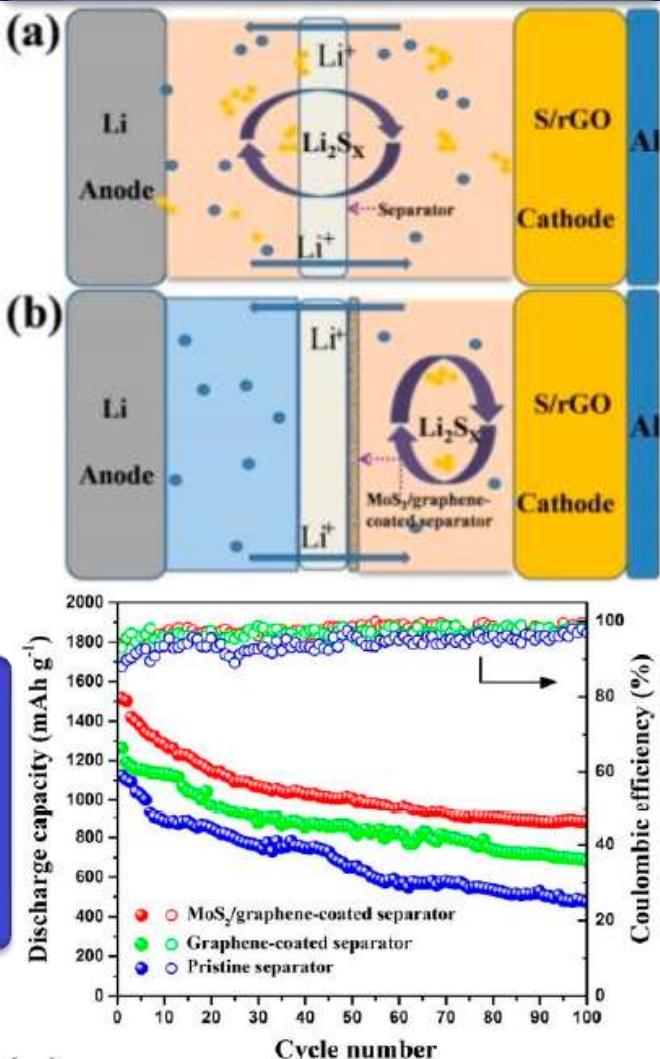


Mitigation strategies



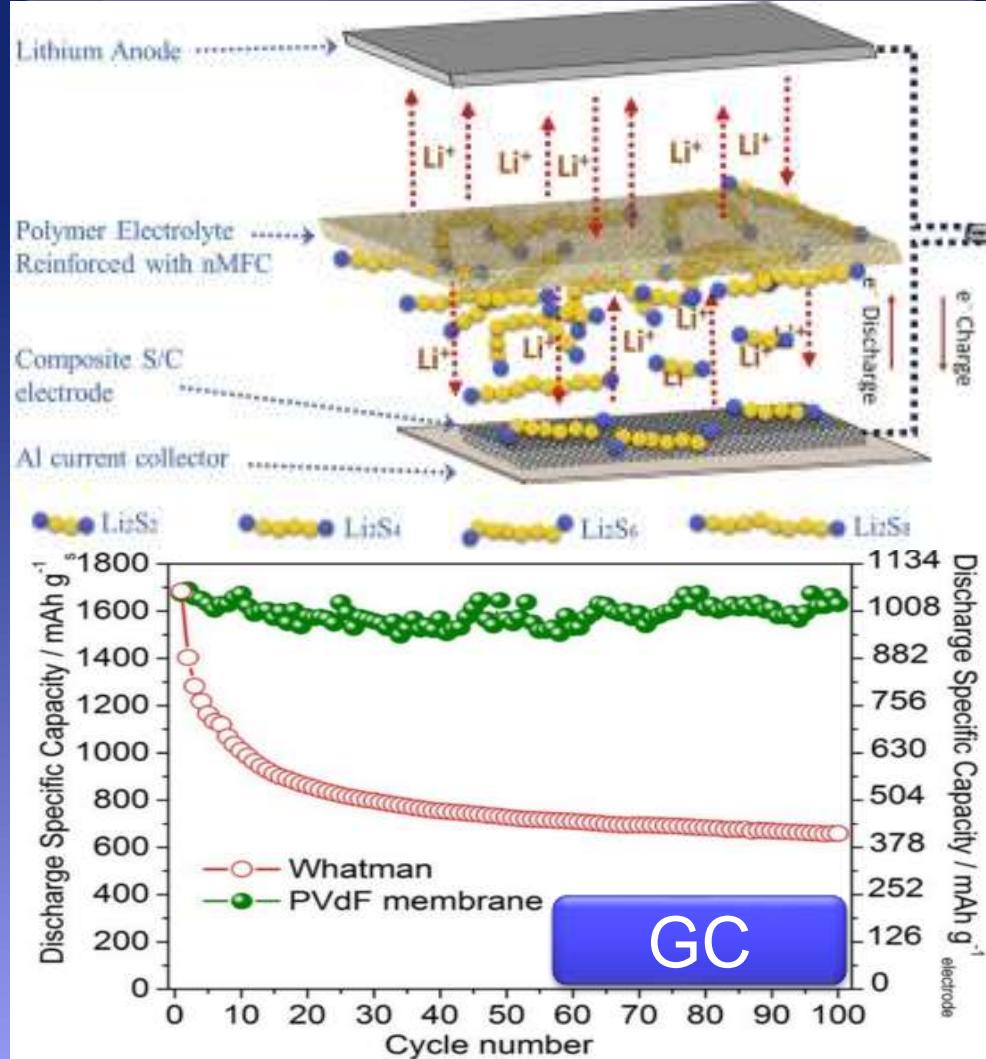
Sulphur – mitigation strategies

Polysulphide absorption on the separator



GC

Polymeric electrolytes to limit diffusion

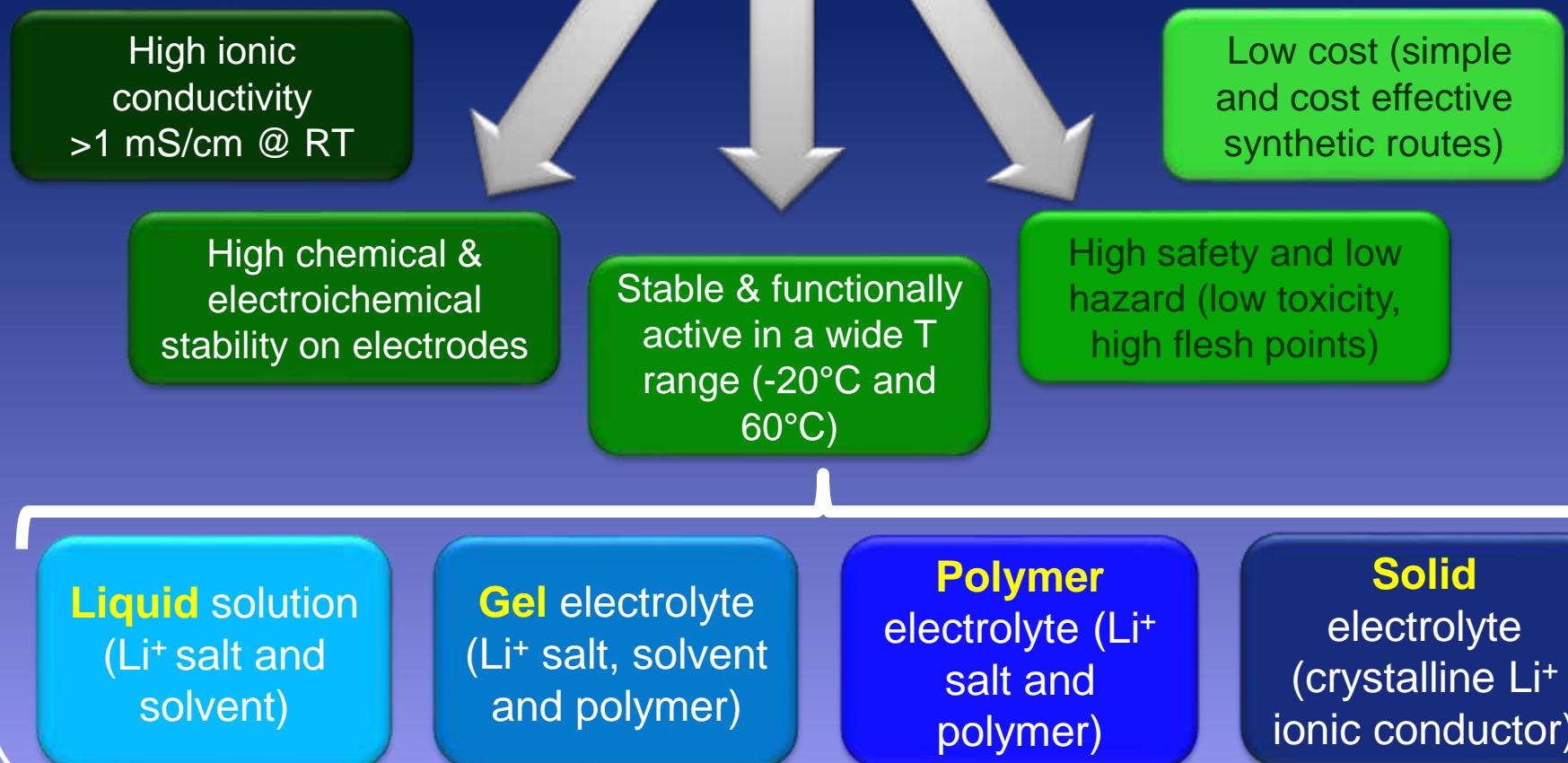


GC



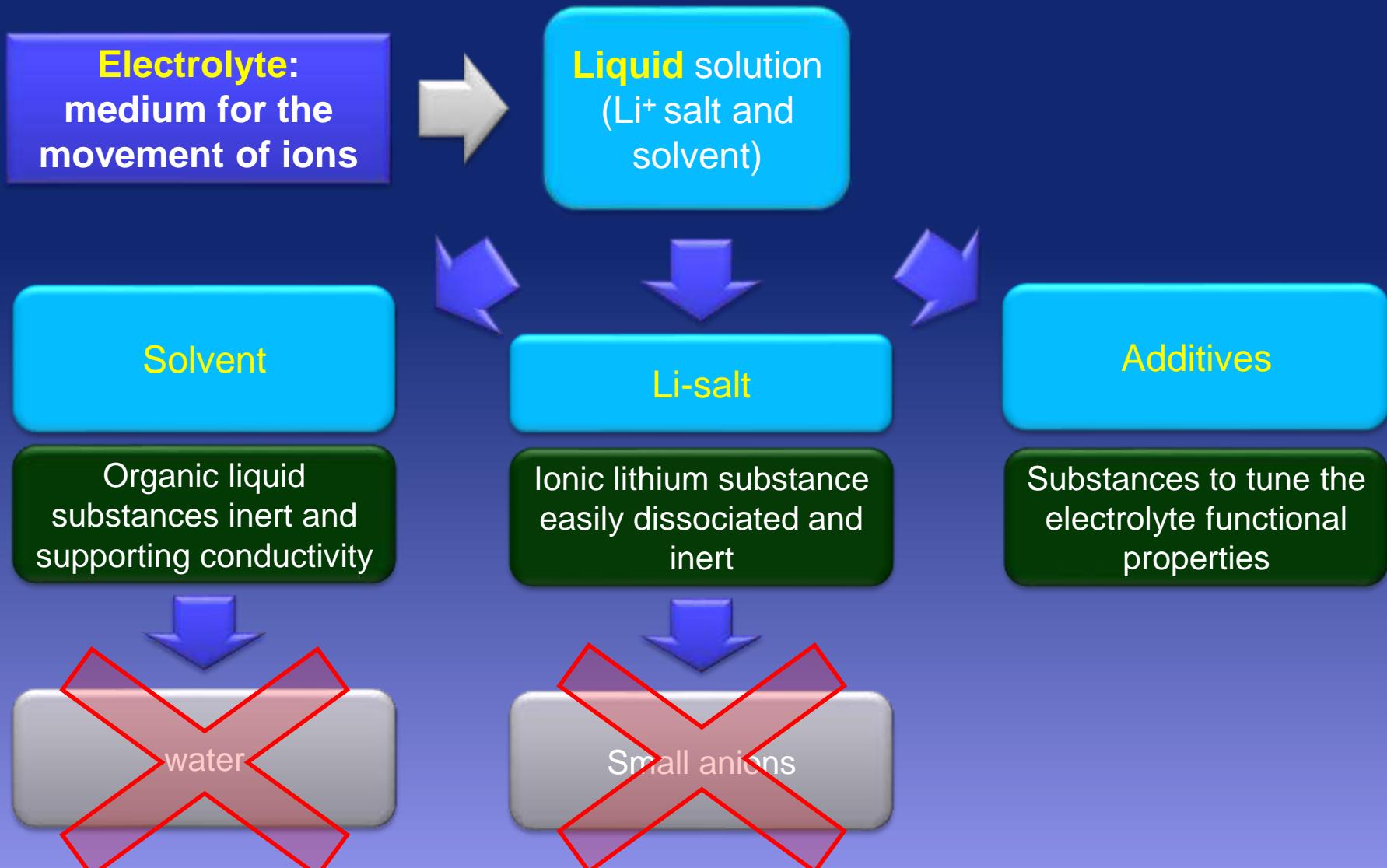
Electrolytes for Li-ion batteries requirements

Electrolyte:
medium for the
movement of ions





Liquid electrolytes





Solvents: requirements

Electrolyte:
medium for the
movement of ions



Solvent

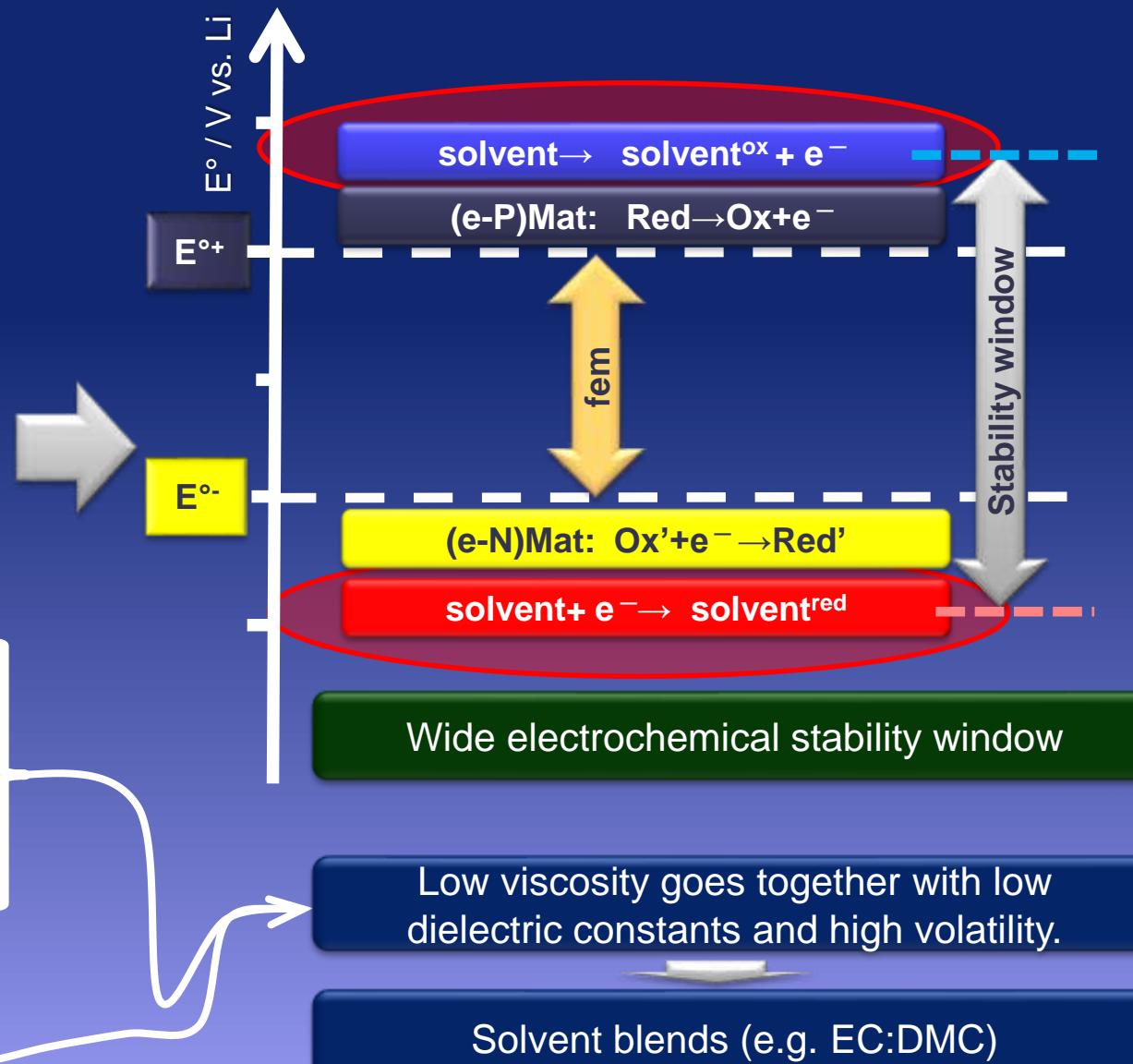
Aprotic (avoid reaction
with Li metal)

High dielectric
constant ($\epsilon > 20$, to
dissolve Li salts)

Low viscosity (<1cP)

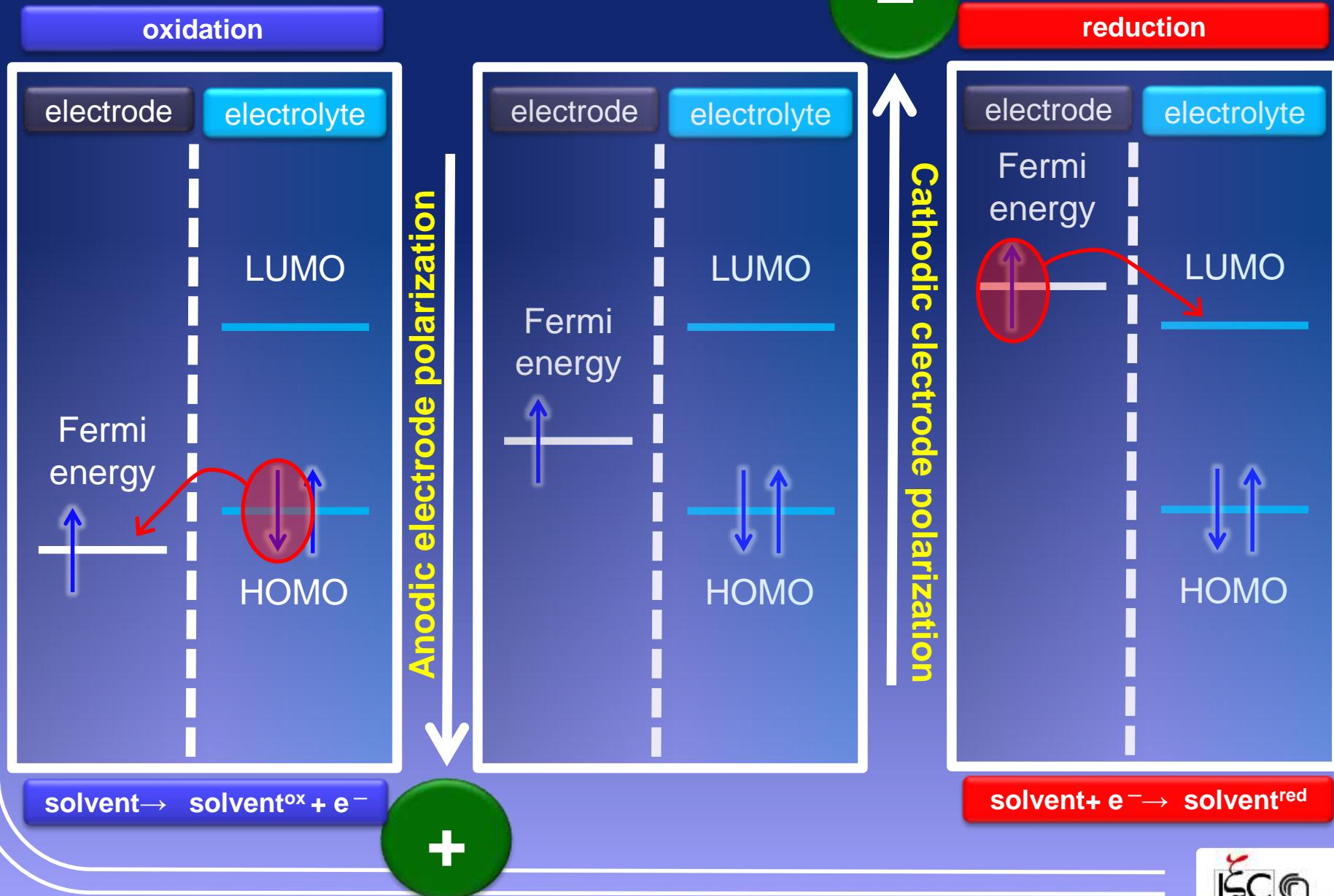
High DN to facilitate Li
salts dissociation

Low volatility @RT and
high boiling points



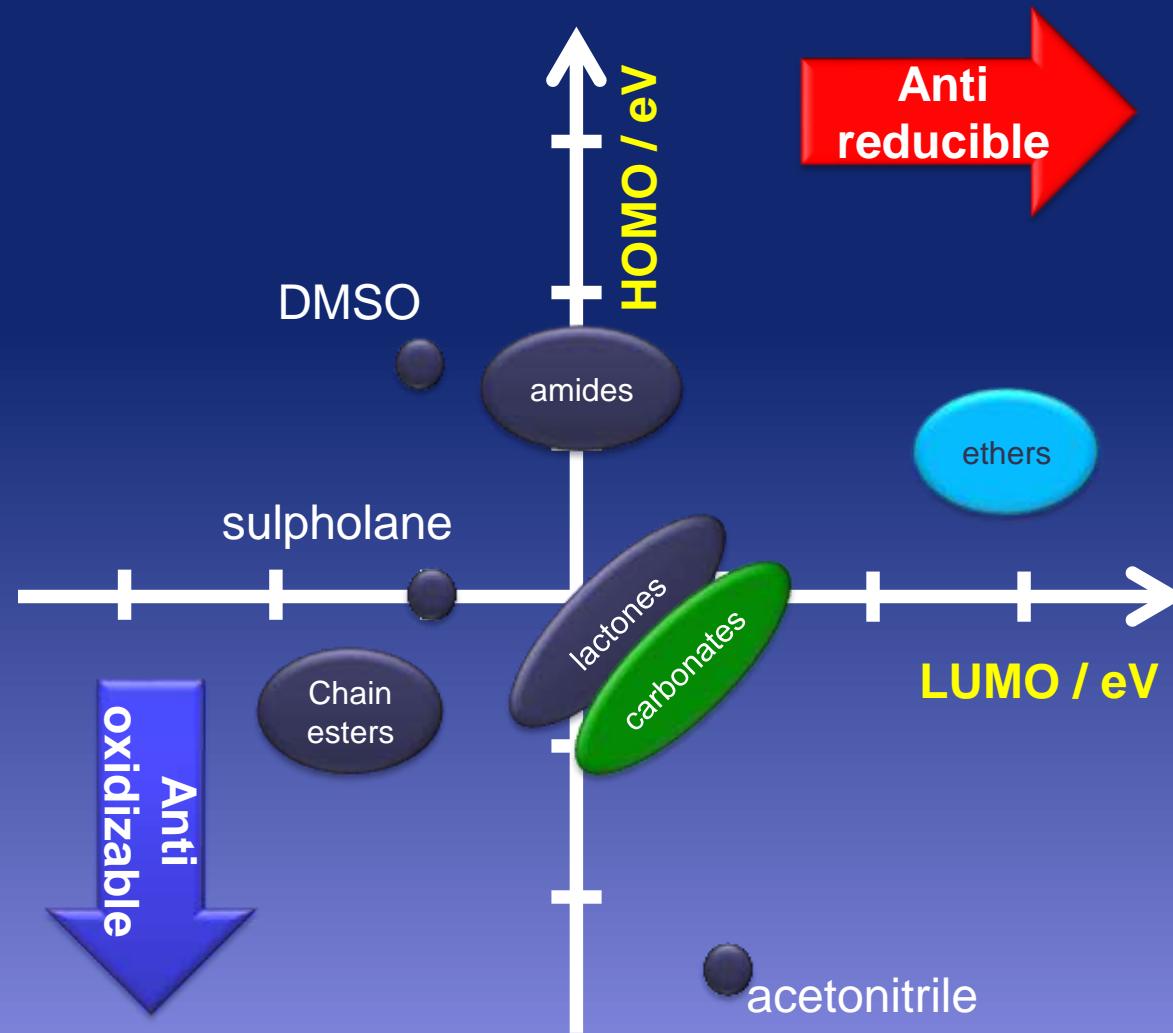


Solvents: thermodynamic background





Solvents: HOMO-LUMO energy levels



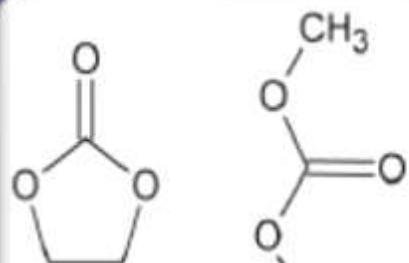
Wide electrochemical stability window



Liquid electrolytes: solvents

Electrolyte:
medium for the
movement of ions

Solvents: organic
carbonates
(cyclic/linear)



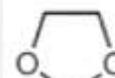
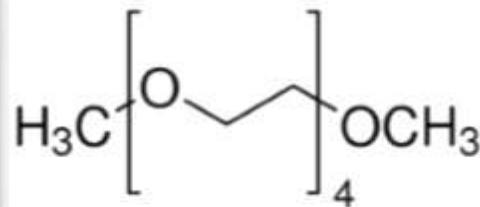
EC

DMC

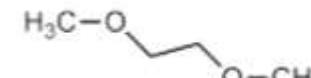
Li-ion

Liquid solution
(Li^+ salt and
solvent)

Solvents: ethers
(cyclic/linear)



DOL

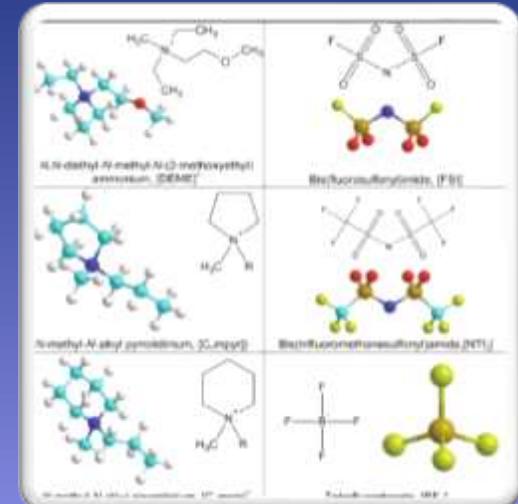


DME

Li-S & Li-O₂

Others: sulphones;
for high voltage Li-
ion

Solvents: Ionic
liquids



Li-ion & Li-S & Li-O₂



Salts: requirements

Electrolyte:
medium for the
movement of ions



Salts

High solubility

High ionic
conductivity

Poor
coordination at
low temperature

Thermal
stability

Electrochemical and
chemical stability
towards Al/Cu

Large anions (larger dissociations and smaller
transport number)

$$(\sigma/Sm^{-1}) = (\Lambda_m/Sm^2 mol^{-1}) \cdot (c/mol \cdot m^{-3})$$

$$\Lambda_m = \Lambda^+ + \Lambda^-$$

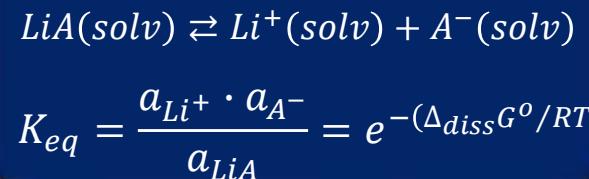
And the Stokes-Einstein law:

$$\Lambda^i \propto \frac{Z}{(\eta/cP) \cdot (r/\text{\AA})}$$

Transport number

$$\Lambda_{Li^+} = \frac{\Lambda_{Li^+}}{\Lambda_{Li^+} + \Lambda^-}$$

Dissociation costant



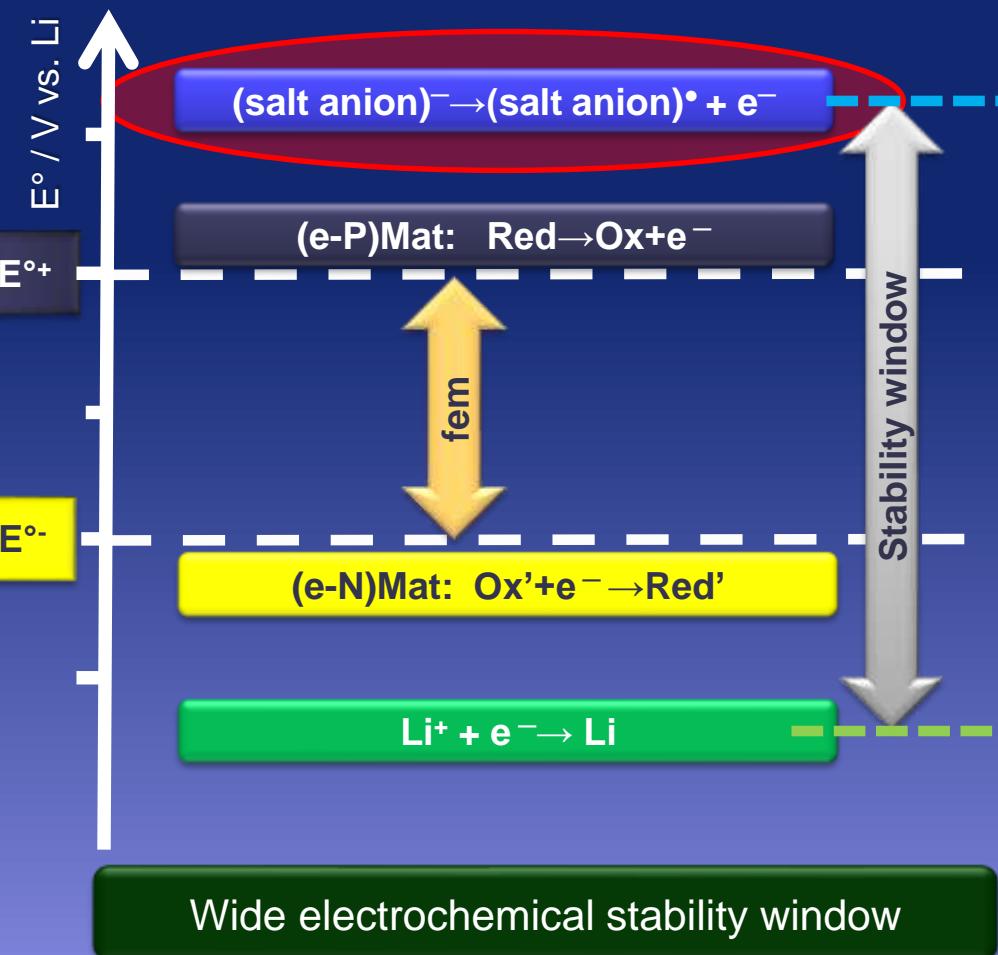


Salts: thermodynamic requirements

Electrolyte:
medium for the
movement of ions



Salts



Wide electrochemical stability window



Lithium salts for electrolytes

Electrolyte:
medium for the
movement of ions



Salts



LiClO_4 explosive

LiAsF_6 highly toxic

LiBF_4 poor anodic SEI

LiSO_3CF_3 small Li^+ conductivity

LiTFSI poor catodic SEI
film & Metal ion scavenger

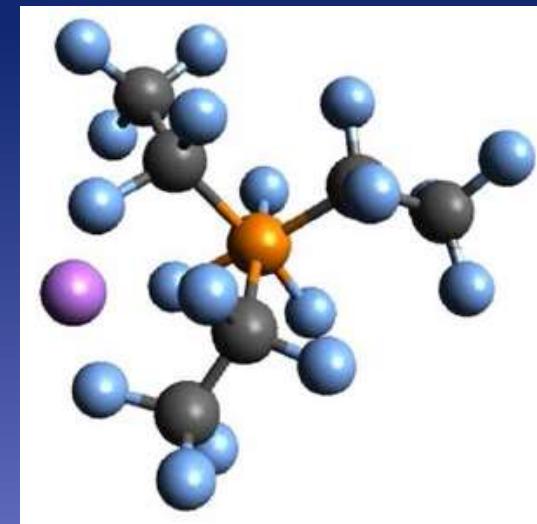
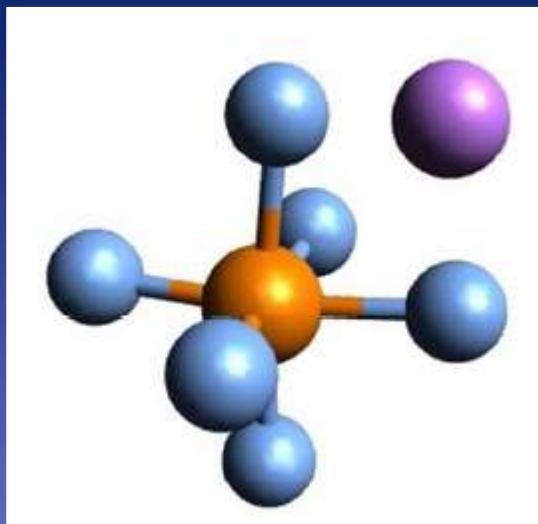


LiPF_6 best compromise



New salts: Li FAP

In the last decade a quite large number of innovative salts has been proposed: Li bis-(oxalato)borate (LiBOB), Li bis(malonato)borate (LiBMB), Li (malonato oxalato)borate (LiMOB), Li pentafluoroethyl trifluoroborate (LiC2F5BF3), Li tetrafluoro(oxalate)phosphate (LiPF4C2O4)

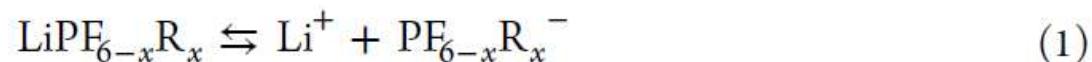


Li perfluoro-alkyl-fluorophosphates are hybrid organic-inorganic salts



Dissociation, self-dissociation & hydrolysis

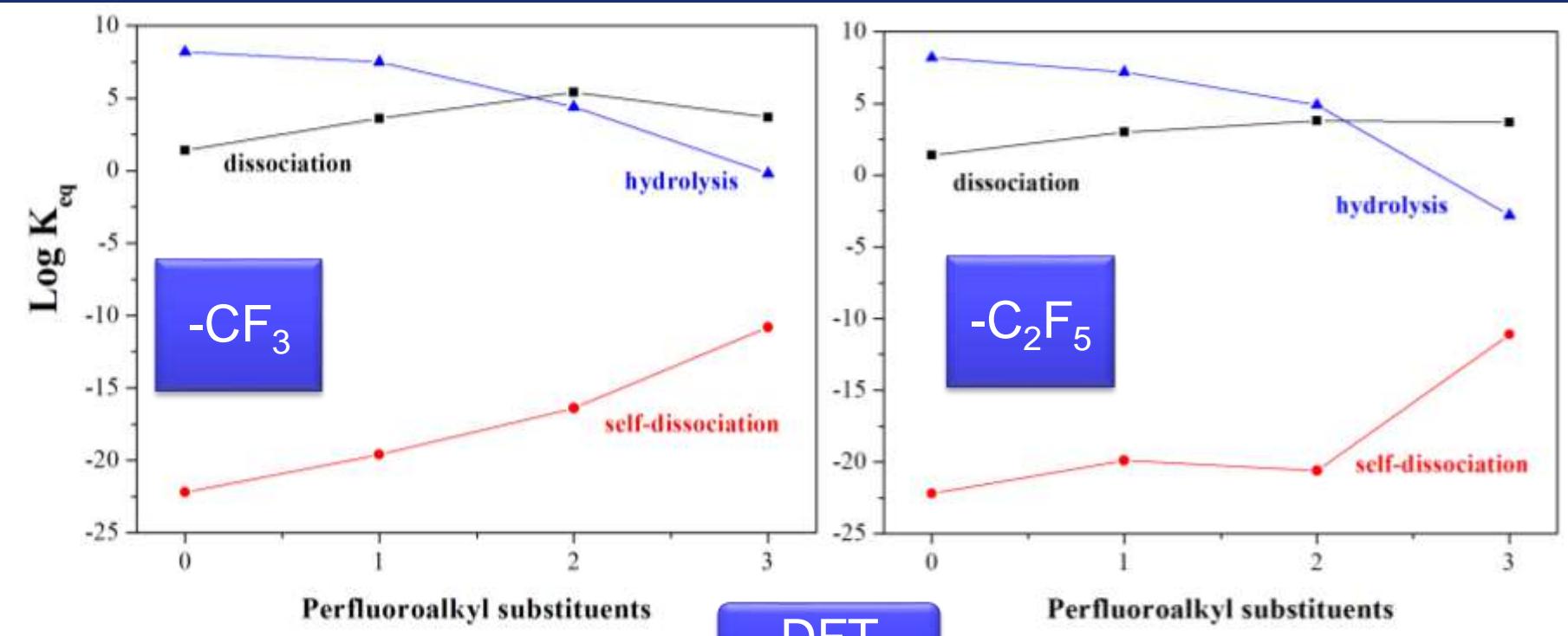
dissociation



Self-dissociation



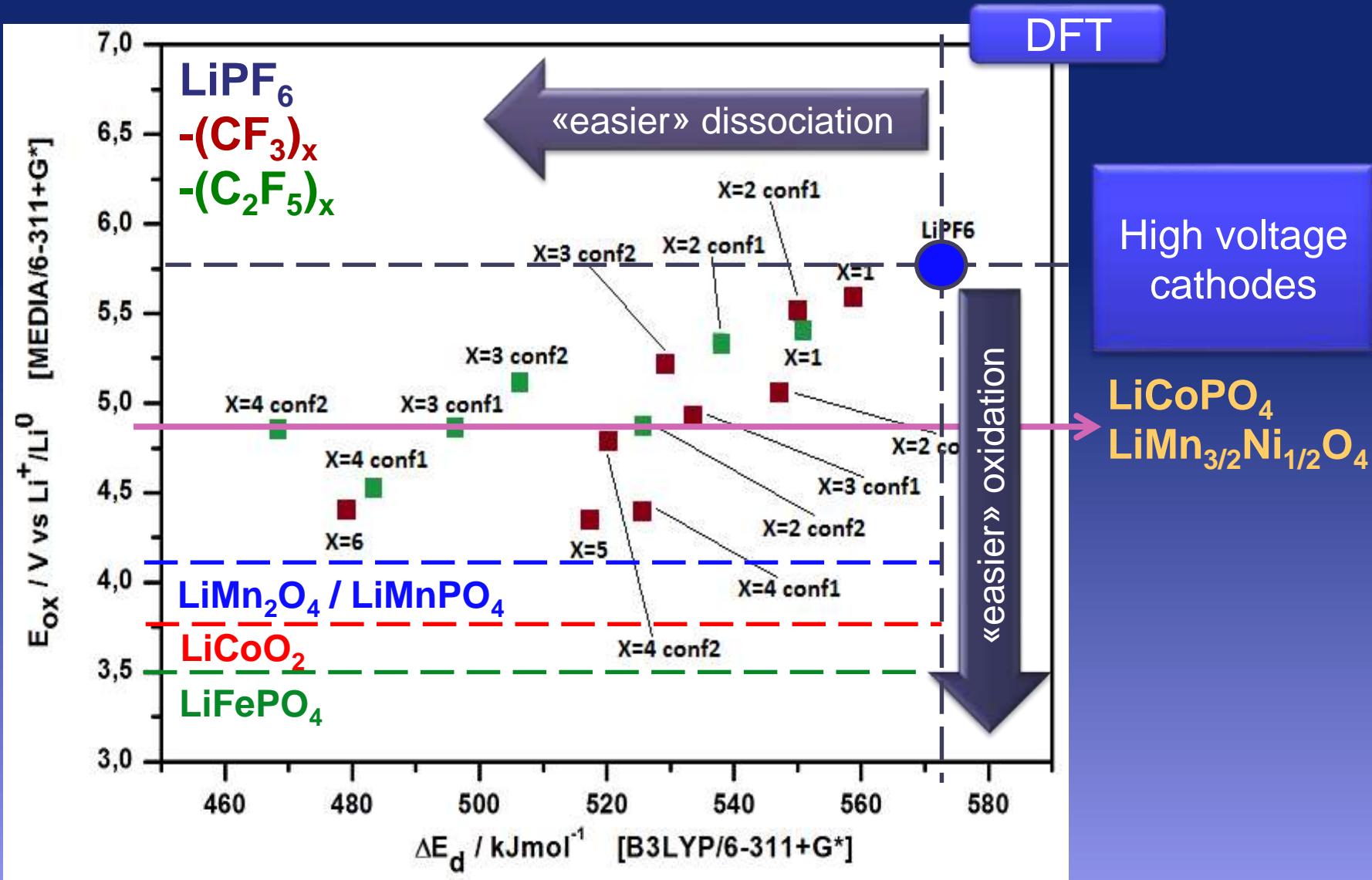
hydrolysis



DFT



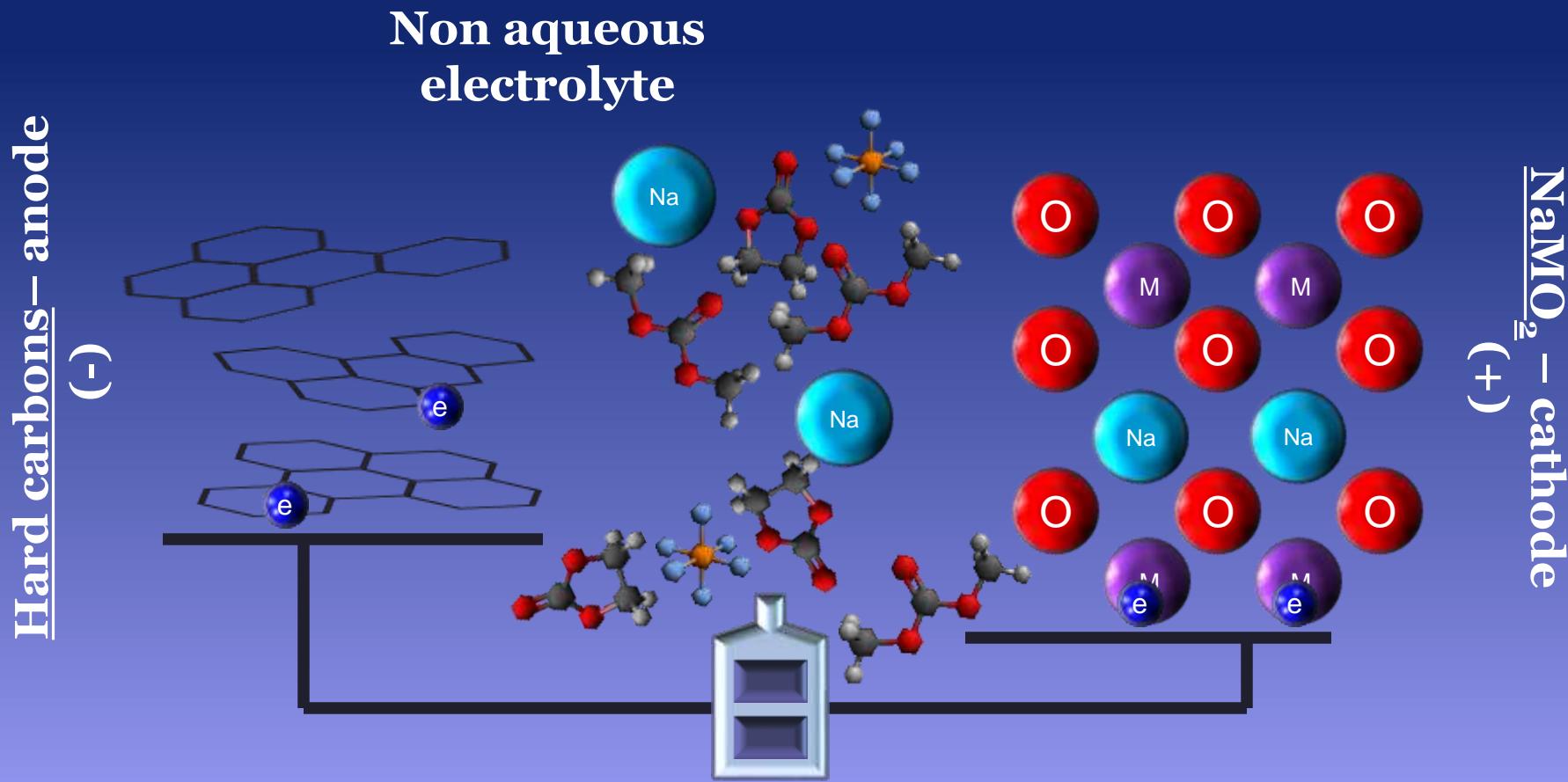
Ionization vs. Dissociation





Sodium ion cell

Similar to the lithium analogue Li-ion cell. It exploits the intercalation and de-intercalation of sodium ions into host materials

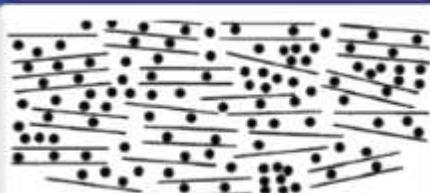
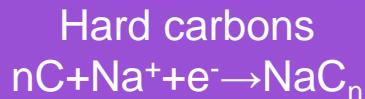




Na-ion battery: negative electrodes families



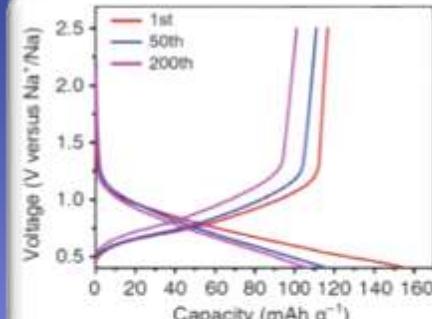
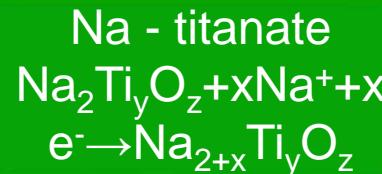
Intercalation & absorption chemistry



«HOUSE OF CARDS»

Insertion of Na^+ :
between \approx parallel
graphene sheets &
into the pores

Intercalation chemistry



Alloying chemistry

Sodium

12	13	14	15	16
5	6	7	8	
B	C	N	O	
13	14	15	16	
Al	Si	P	S	
30	32	33	34	
Zn	Ga	Ge	As	Se
48	49	50	51	52
Cd	In	Sn	Sb	Te
80	81	82	83	84
Hg	Tl	Pb	Bi	Po





Na-ion battery: positive electrodes families



Layered phases
intercalation

Polyanion

Prussian blue

others



P2

Na_xCoO_2 130-
140 mAhg⁻¹

O3

NaFeO_2
50-100 mAhg⁻¹

O3

$\text{Na(Fe/Mn/Co/Ni)O}_2$
180 mAhg⁻¹

Na exchanged

LiFePO_4
110 mAhg⁻¹

$\text{Na}_2\text{FePO}_4\text{F}$
90 mAhg⁻¹

$\text{Na}_3\text{V}_2(\text{PO}_4)_3$
100 mAhg⁻¹

$\text{Na}_x\text{MFe(CN)}_6$
M= Fe, Co, Ni...

Na exchanges
 KFeFe(CN)_6
70 mAhg⁻¹

$\text{Na}_{1.4}\text{MnFe(CN)}_6$
135 mAhg⁻¹

$\text{Na}_2\text{MnMn(CN)}_6$
180 mAhg⁻¹

Intercalation
materials
(sulphates and
sulphides)

&

Conversion
materials (e.g.
fluorides)



The Na⁺ aprotic electrolyte challenge

Similar solvents (organic carbonates) and salts compared to Li-Ion batteries

Dielectric properties

Organic Carbonates
e.g.
 $\epsilon(\text{PC})=64$

Ionic conductivity

e.g. NaPF₆
in PC (1m)
 $\sigma = 7$
mScm⁻¹

Thermal properties

e.g. NaPF₆
 $T_{\text{dec}} > 280^\circ\text{C}$
EC:DMC
 $T_{\text{dec}} > 250^\circ\text{C}$

Electrochemical stability

e.g.
NaPF₆/EC:DMC
stability window
 $0.5 < E_{\text{we}} < 4 \text{ V vs Na}$



Na⁺ salt dissolution

Na⁺ ions transport

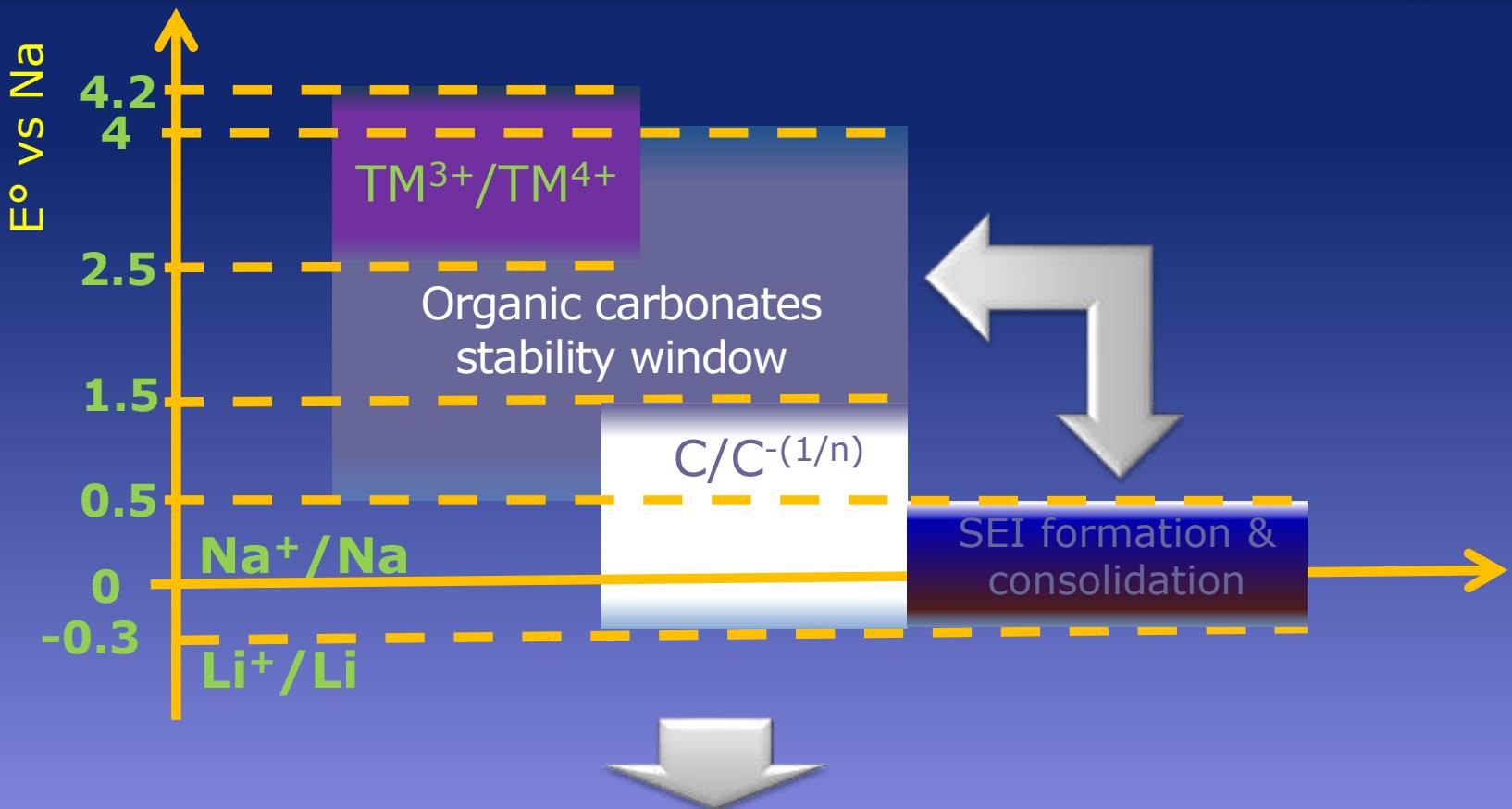
Safety upon abuse

Interface stability



The SEI-challenge

Solid electrolyte interphases grown on carbon electrodes show poor stability upon cycling

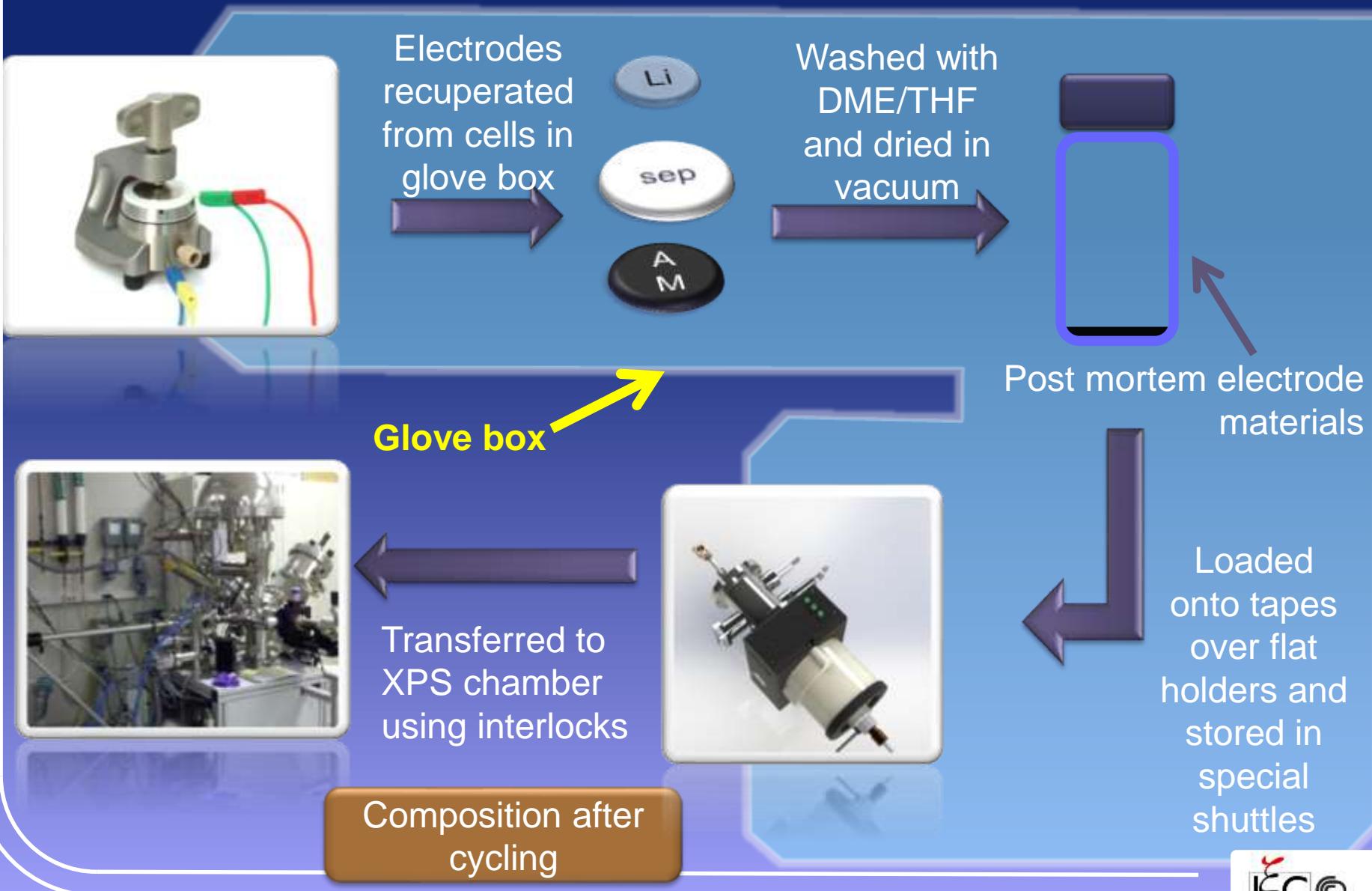


Modified electrolytes with fluorocarbonates improve stability



Post-mortem XPS sample preparation procedure

The preparation of the samples analysed by XPS requires a multistep procedure

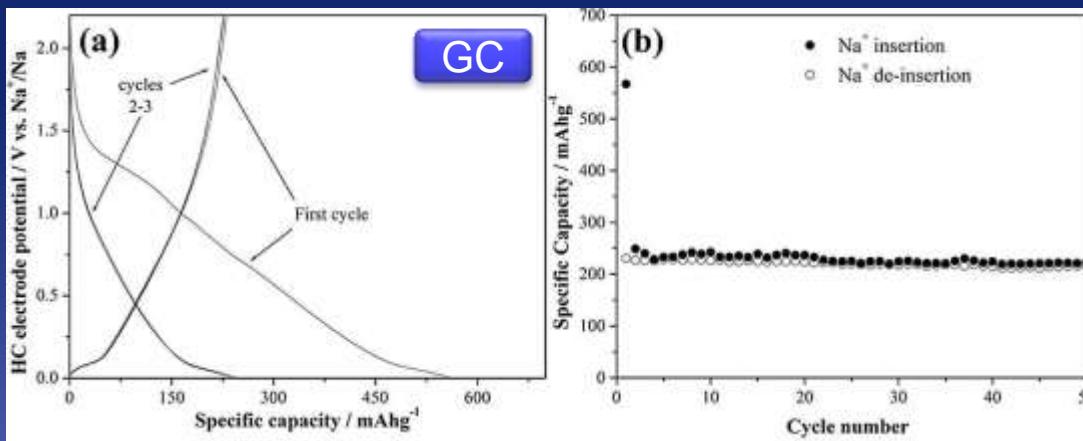




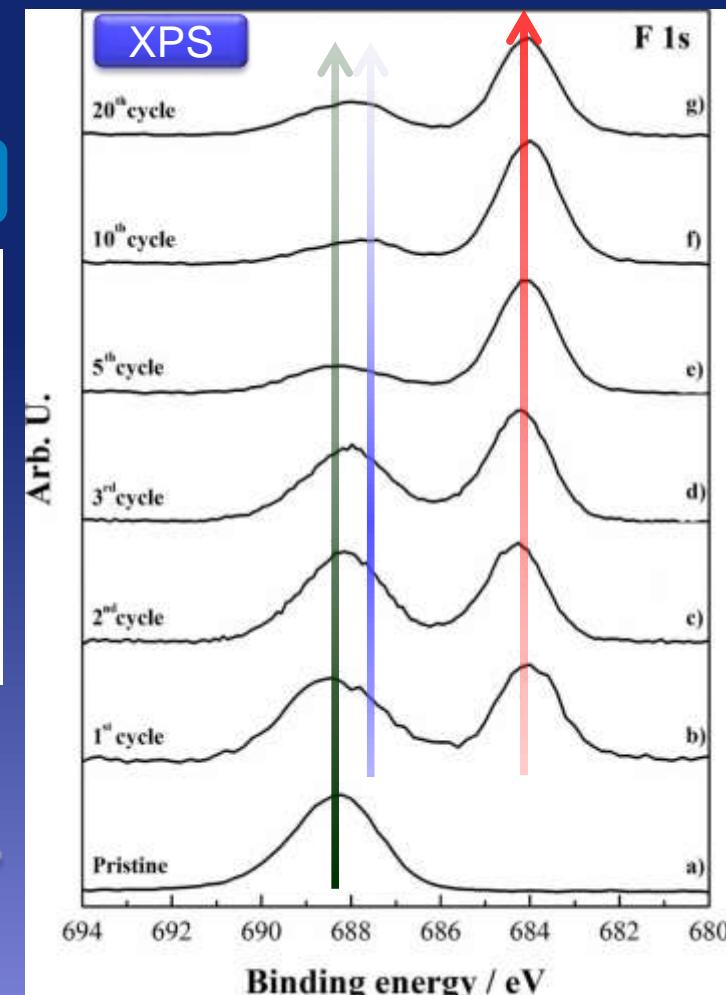
Hard carbon surfaces upon cycling

HCs are obtained by pyrolysis of organic matrix above 850°C in reducing environment.

(+)HC/ EC:PC:FEC NaTFSI/ Na (-)



The consolidation of the SEI layer over HC in Na-batteries lasts for many cycles before a full stabilization of the interface.



C-F pvdf

F- LiF

P-F fluorophosphates



Research in non-aqueous Na/Li batteries is a multi-disciplinary challenge and requires talented people working together